

Stratificational Approach to Language Description

Markéta Lopatková

Institute of Formal and Applied Linguistics, MFF UK lopatkova@ufal.mff.cuni.cz

Stratificational Approach – Basic Principles

- every language comprises a restricted number of structural layers or strata,
- strata hierarchically related in such a way that
 - units or combinations of units on one stratum realize units or combinations of units of the next higher stratum
 - strata are linearly ordered

Stratificational Approach – Basic Principles

- every language comprises a restricted number of structural layers or strata,
- strata hierarchically related in such a way that
 - units or combinations of units on one stratum realize units or combinations of units of the next higher stratum
 - strata are linearly ordered
- the number of strata vary from (linguistic) theory to theory
 - semantics, constituted by ...
 - sememic stratal system (semantics, deep structure)
 - grammar, constituted by
 - lexemic stratal system and
 - morphemic stratal system (surface structure)
 - phonology, constituted by
 - phonemic system

Stratificational Approach – Basic Principles

- every language comprises a restricted number of structural layers or strata,
- strata hierarchically related in such a way that
 - units or combinations of units on one stratum realize units or combinations of units of the next higher stratum
 - · strata are linearly ordered
- the number of strata vary from (linguistic) theory to theory
 - semantics, constituted by ...
 - sememic stratal system (deep structure)
 - grammar, constituted by
 - lexemic stratal system and
 - morphemic stratal system (surface structure)
 - phonology, constituted by
 - phonemic system
- grammar relates to semantics and phonology in a same way
 as the lexemic and the morphemic stratal systems within the grammar
- language as a network of relationships (rather than system of rules)

S. Lamb and Stratificational Approach

- Sydney M. Lamb ... Outline of Stratificational Grammar (1966)
 - Berkeley, follower of glossematic school
 - four necessary levels of sentence analysis:
 - the sememic stratum ... structure of clauses and sentences
 - the lexemic stratum ... structure of phrases
 - the morphemic stratum ... structure of word forms
 - the phonemic stratum ... syllabe structure
 - each stratum has its elementary units
 - each stratum has its own combinatorial pattern
 - strata are hierarchically related
 - each "realized" by the elements in the level structurally beneath it
 - without making use of rules that convert one entity into another

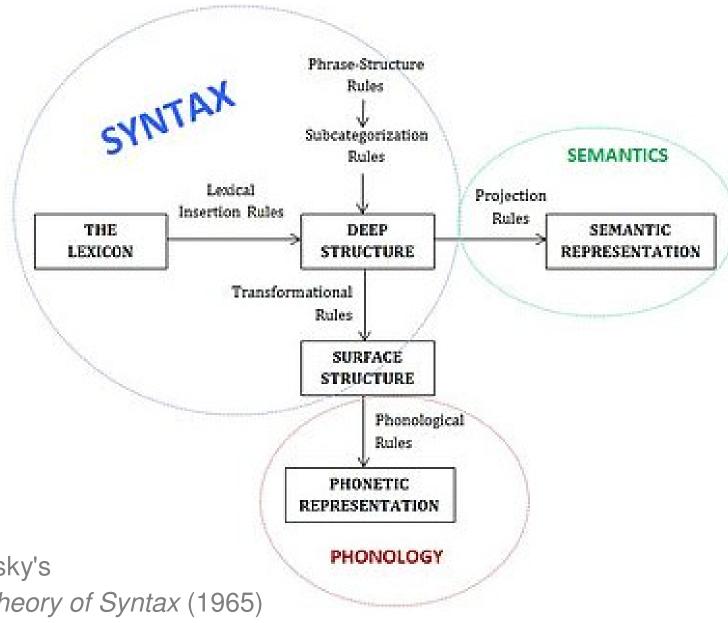
S. Lamb and Stratificational Approach

- Sydney M. Lamb ... Outline of Stratificational Grammar (1966)
 - Berkeley, follower of glossematic school
 - four necessary levels of sentence analysis:
 - the sememic stratum ... structure of clauses and sentences
 - the lexemic stratum ... structure of phrases
 - the morphemic stratum ... structure of word forms
 - the phonemic stratum ... syllabe structure
 - each stratum has its elementary units
 - each stratum has its own combinatorial pattern
 - strata are hierarchically related
 - each "realized" by the elements in the level structurally beneath it
 - without making use of rules that convert one entity into another



- Functional Generative Description (FGD)
- Meaning ⇔ Text Theory (MTT)

Stratificational grammar as an alternative to transformational grammar (Chomsky)



vs. Noam Chomsky's

Aspects of the Theory of Syntax (1965)

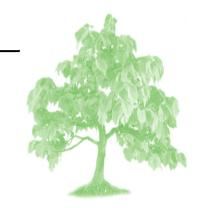
- system of three components (syntax, semantics, phonology)
- three types of rules (phrase-structure, transformational, morphophonemic)

Dependency Grammars and Treebanks – Stratificational Approach

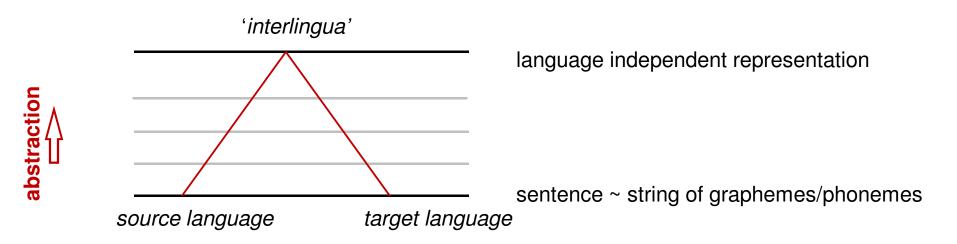
Functional Generative Description



Functional Generative Description

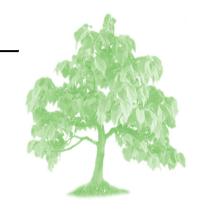


motivation: machine translation

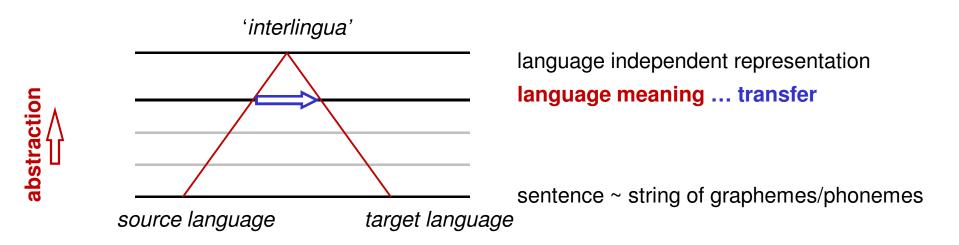


Sgall, P. (1967) Generativní popis jazyka a česká deklinace. Academia, Praha

Functional Generative Description



motivation: machine translation

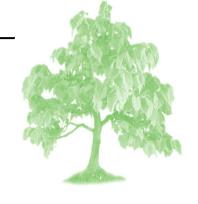


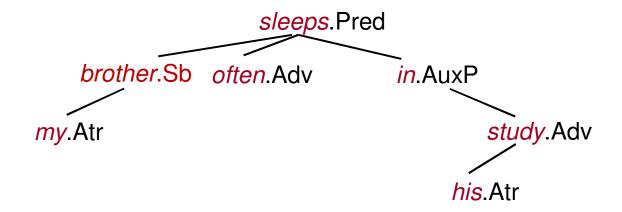
Sgall, P. (1967) Generativní popis jazyka a česká deklinace. Academia, Praha

Basic characteristics of FGD

'classical' version of FGD:

- dependency framework
 - formal description
 - suitable mathematical formalism

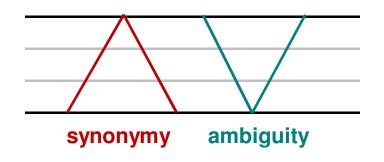


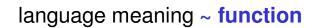


Basic characteristics of FGD

'classical' version of FGD:

- dependency framework
- stratificational approach





string of graphemes/phonemes ~ form



Basic characteristics of FGD

'classical' version of FGD:

- dependency framework
- stratificational approach
- relation between a form and its function
 / a function and its form







'classical' version of FGD:

- dependency framework
- stratificational approach
- relation between a form and its function
 / a function and its form



structural linguistics:

- language meaning (not cognitive content)
- language as a system ~ langue
 vs. individual utterances ~ parole
- stress on testable criteria for distinguishing lang. phenomena



Two components of FGD

- generative component
 - ~ to define all formally correct meaning representations (of possible sentences of a given language)
- formalism: 1) phrase rules, phrase structure trees + functors
 2) dependency trees
 3 generative
- push-down automaton



Two components of FGD

- generative component
 - ~ to define all formally correct meaning representations (of possible sentences of a given language)
- formalism: 1) phrase rules, phrase structure trees + functors
 2) dependency trees
 generative
- push-down automaton
- translation component
 - ~ translating meaning representations to lower layers
- sequence of push-down transducers plus finite-state automaton

System of layers in FGD



| meaning | deep / underlying syntax tectogrammar |
|------------|--|
| | surface syntax |
| | morphematics |
| | morphonology |
| expression | phonology/phonetics |

sentence ... full representation on each layer of description

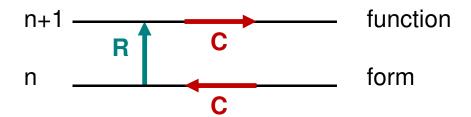
each layer ~ set of descriptions for all possible sentences

- finite set of elementary units
- finite set of operations and relations → set of complex units
- finite set of relations between sentence representations on a particular layer and its representations on adjacent layers

sentence ... full representation on each layer of description

each layer ~ set of descriptions for all possible sentences

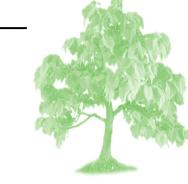
- finite set of elementary units
- finite set of operations and relations → set of complex units
- finite set of relations between sentence representations on a particular layer and its representations on adjacent layers

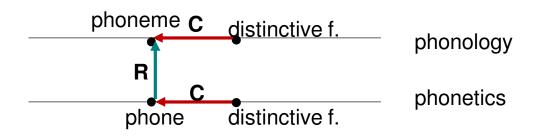


type C relations (composition): elementary units constitute complex units i.e., relations between units of the same layer

type R relations (representation): form-function relation i.e., relation between adjacent layers





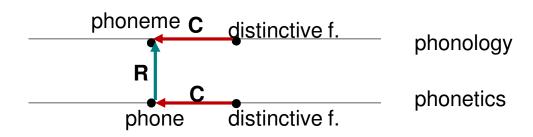


layer of phonetics

<u>distinctive features</u> ... elementary units <u>phones</u> (~ a speech sound) ... complex units

suprasegmental units ... prosody, intonation





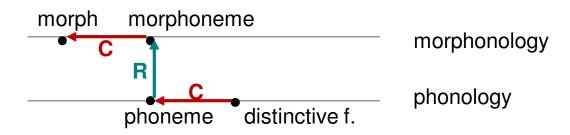
layer of phonetics

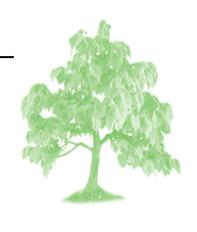
<u>distinctive features</u> ... elementary units <u>phones</u> (~ a speech sound) ... complex units

suprasegmental units ... prosody, intonation

layer of phonology

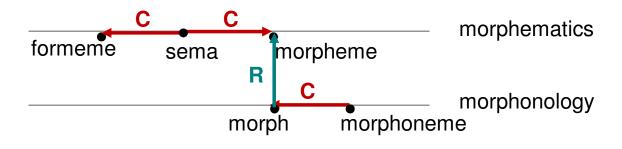
<u>distinctive features</u> ... elementary units <u>phonemes</u> (~ 'smallest' units that distinguish meaning) ... complex units asymmetry ... allophones ~ variants of a single phoneme language dependent (sing vs. sin)





layer of morphonology

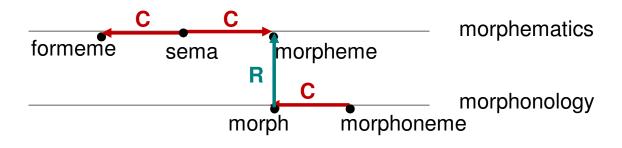
```
morphoneme ~ set of phoneme variants e.g. k/c/c/.k in "matka" morph ~ string of morphonemes lexical variants (matk, matc, matc, mat.k) ... 4 allomorphs mat(k/c/c/.k) 1 morph lexical variants (foot, feet) ... 2 allomorphs f(oo/ee)t 1 morph
```





layer of morphematics

- morpheme ~ the smallest component that has semantic meaning
- lexical morpheme
 - roots
 - e.g. lex. morpheme for *matka* consists of 4 allomorphs (*matk, matc, matč, mat.k*); for *to write* (*writ, wrot*); for *leaf* (*leaf, leav*)
 - derivational morphemes (affixes: prefixes, infixes, suffixes, ...)
 il- (as in illegal), non- (as in nonproblematic)
 -ly (as in legally), -ess (as in actress)





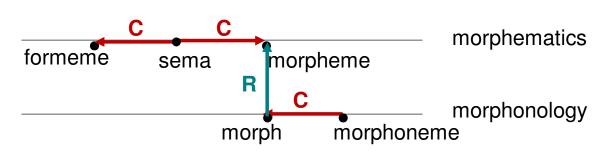
layer of morphematics

- morpheme ~ the smallest component that has semantic meaning
- <u>lexical morpheme</u>
- grammatical morpheme
 - inflectional affixes e.g. Cz: suffixes nouns: case, gender, number, ...

verbs: gender, number, tense, voice,

Eng: suffixes nouns: plural -s

verbs: past tense -ed, continuous -ing

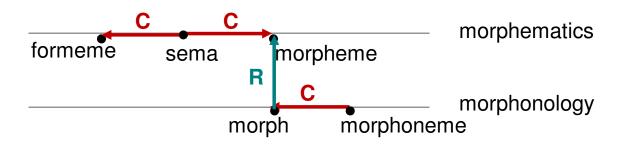




layer of morphematics

- morpheme ~ the smallest component that has semantic meaning
- <u>lexical morpheme</u>
- grammatical morpheme
 - inflectional affixes e.g. Cz: suffixes nouns: case, gender, number, ...
 verbs: gender, number, tense, voice,
 nouns: plural -s
 verbs: past tense -ed, continuous -ing
 koup + il play + ed

• *sema* ... a combination of grammatical morphemes that characterize a lexical morpheme (or strings of lexical morphemes)





layer of morphematics

- morpheme ~ the smallest component that has semantic meaning
- lexical morpheme
- grammatical morpheme
- formeme:

sequence of morphs realizing a single tagmeme / sentence member lexical f., case f. (i.e., prep+case), conjunction formemes (i.e., conj+verb mood)

Cz: vysok+á škol+a; lamp+a; na+lavic+i; chod+í; bud+e+chod+it Eng: white-collar; lamp; on+ table; walk+s; will+be+walk+ing

morpheme ~ the smallest component that has semantic meaning

```
Czech ... (inflection language):

nejneobhospodařovatelnější

nej-ne ob-hospod-ař -ova-teln-ějš - í

most-non- cultivate [iter]- [adj] - [super]-[sg+nom+fem|sg+acc+neutr|... pl+voc+masc]

root 23 combinations ("meanings")
```

grammatical morphemes

morpheme ~ the smallest component that has semantic meaning

Hungarian (agglutinative language):

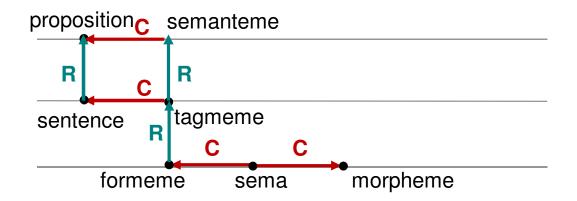
fi-ú boy

fi-a his/her son fi-a-i his/her sons

fi-á-é his/her son's (singular object) fi-a-i-é his/her sons' (singular object)

fi-á-é-i his/her son's (plural object) fi-a-i-é-i his/her sons' (plural object)

System of layers in FGD



deep / underlying syntax tectogrammar

surface syntax

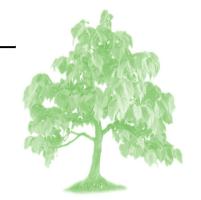
morphematics

two layers of syntax

- tree-based dependency structure
 - nodes for tagmemes / sememes (complex symbols)
 - edges labeled with a type of a respective syntactic relation

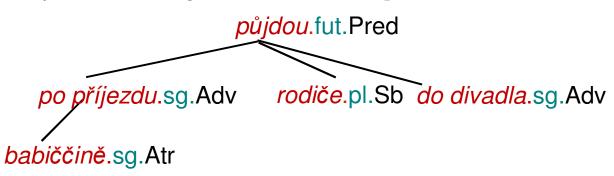
The layer of surface syntax

My brother often sleeps in his study.



sleeps.pres.Pred *brother*.sg.Sb *often*.Adv *in study*.sg.Adv *my*.Atr *his*.Atr

Po babiččině příjezdu půjdou rodiče do divadla. [After grandma's arrival the parents will go to the theatre.]



The layer of surface syntax



surface syntactic tree

- ~ nodes for formemes → *tagmemes* / sentence members (cz school syntax: větné členy)
- ~ edges for syntactic relations

surface word order ... linear ordering of tree nodes





surface syntactic tree

- ~ nodes for formemes → *tagmemes* / sentence members (cz school syntax: větné členy)
- ~ edges for syntactic relations

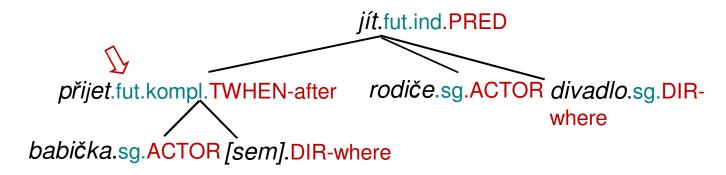
surface word order ... linear ordering of tree nodes

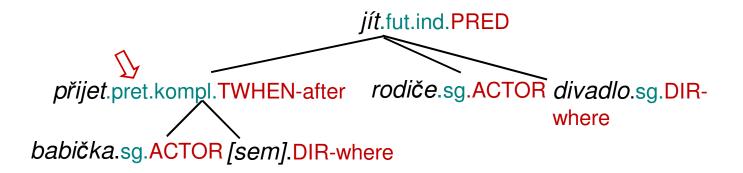
3 types of elementary units:

- lexical: units from a dictionary
- morphological: set of morphological features ~ *tags* (a pair of) trousers ... sema plural
- syntactic: subject, object, attribute, adverbial, complement,...

Po babiččině příjezdu půjdou rodiče do divadla.

[After grandma's arrival the parents will go to the theatre.]





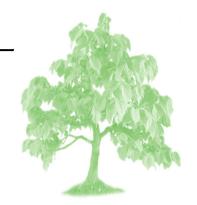
- ~ meaning of a sentence:
- I. *semantemes*: only content (lexical) words as nodes, their lexical and morphological features and mutual relations terminology: deep / underlying / tectogrammatical representation (TR)
 - modal verbs
 Peter wants to attend the concert. [to attend + volitive]
 Charles has to pass the exam. [to pass + debitive]
 - nominalization

 After grandma's <u>arrival</u> ... → [to arrive]
 - active / passive verbs → [active form]
 Tato krásná kniha <u>byla vydána</u> nakladatelstvím Albatros.
 [This beautiful book was published by the Albatros publishing house.]

- ~ meaning of a sentence:
- II. 3 basic types of elementary units:
 - lexical: units from a (tectogrammatical) dictionary
 - morphological: *grammatemes meaning* of individual morphological categories
 (a pair of) trousers ... singular *denominating* (pojmenovávací)

vs. correlating (usouvztažňující) categories

• syntactic: types of relation, *functors* and *subfunctors*Actor, Patient, Addressee, ... local, temporal modifications ...



~ meaning of a sentence:

III. completeness of the representation

(surface) ellipses are restored

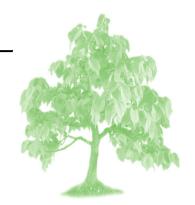
• omitted surface subject, object, comparison ... valency

Czech: Vidíš bratra? Vidím. Přichází.

→ [Ty] vidíš bratra? [Já] vidím [ho]. [On] přichází [sem].

Russian: Ты видел брата? Вижу [его]. Идёт.

Spanish: ¿Ves este tronco? [(Do) you see this log?]



~ meaning of a sentence:

IV. deep word order

- information structure / topic focus articulation
- increasing communicative dynamism: word order reflects "relative degree of importance in comparison with other expressions in the sentence [...]"
- condition of projectivity !!!

The layers of surface vs. deep syntax

- I. different sets of elementary units
 - 'morphological' lemma vs. tectogrammatical lemma
 - morphological categories vs. grammatemes
 - surface sentence members vs. functors
- different sets of complex units
 - tagmeme vs. semanteme

The layers of surface vs. deep syntax

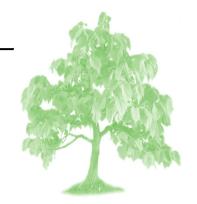
- I. different sets of elementary units
 - 'morphological' lemma vs. tectogrammatical lemma
 - morphological categories vs. grammatemes
 - surface sentence members vs. functors
- different sets of complex units
 - tagmeme vs. semanteme
- II. elipses and completeness
 - nodes represent formemes as appear in the surface sentence vs. completeness of the representation (valency)

The layers of surface vs. deep syntax

- I. different sets of elementary units
 - 'morphological' lemma vs. tectogrammatical lemma
 - morphological categories vs. grammatemes
 - surface sentence members vs. functors
- different sets of complex units
 - tagmeme vs. semanteme
- II. elipses and completeness
 - nodes represent formemes as appear in the surface sentence vs. completeness of the representation (valency)
- III. surface vs. deep word order
 - order of words in a surface sentence
 - vs. information structure
 - nonprojective trees allowed

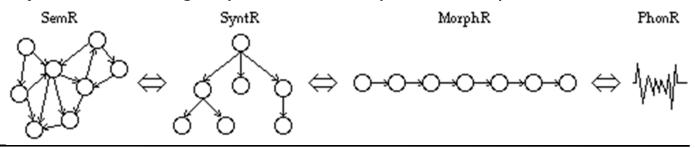
vs. just projective trees

Meaning⇔Text Theory (MTT)



Meaning⇔Text Theory (MTT)

- Aleksandr Žolkovskij and Igor Mel'čuk, Moscow, 1965-...
 - formal representation of natural language (Russian)
 - aim: applications in NLP (machine translation, phraseology, lexicography)
- basic principle:
 - language consists in a mapping from the content or meaning (semantics)
 of an utterance to its form or text (phonetics)
 - sequence of mappings
 - the unordered network of the semantic representation (SemR)
 - dependency tree-structures of the syntactic representation (SyntR)
 - linearized chain of morphemes of the morphological representation (MorphR)
 - (the temporally-ordered string of phones of the phonetic representation, PhonR

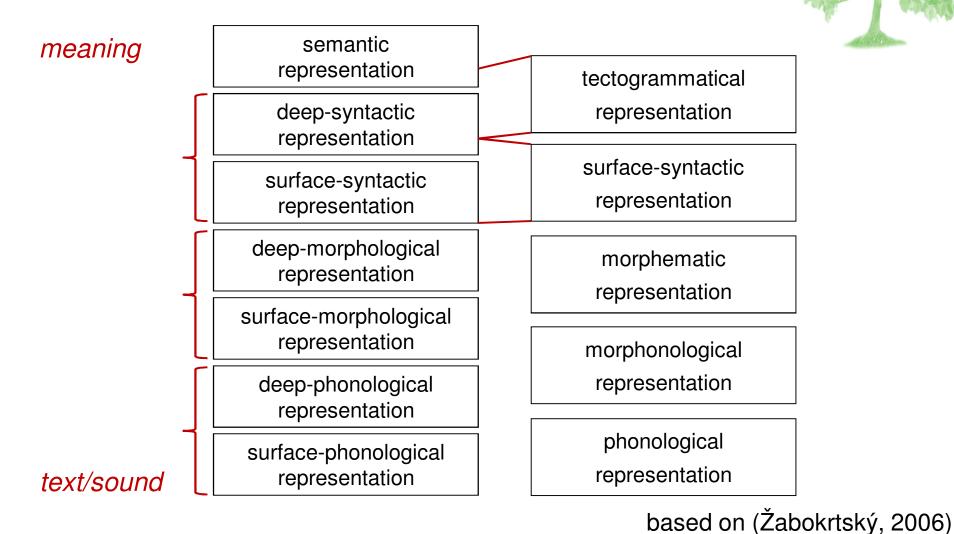




- both originated almost at the same time (Žolkovskij, Mel'čuk, 1965), (Sgall, 1967)
- the same roots in European structural linguistics
- both stratificational
- both dependency oriented (syntax)
- distinguishing deep and surface syntactic representations
- independence between the dependency structure and word order in a sentence.
- orientation to languages typologically different than English
- both proved to be useful and successful in large-scale implementations
 - machine translation system ETAP for MTT (Apresjan et al., 2003);
 - Prague Dependency Treebank (PDT) for FGD, (Hajič et al., 2001), machine translation systems, esp. CZ-Eng pair

based on (Žabokrtský, 2006)

Meaning⇔Text Theory (MTT) vs. FGD



Dependency Grammars and Treebanks – Stratificational Approach

References

- Hajičová, E., Panevová, J., Sgall, P. (2002) Úvod do teoretické a počítačové lingvistiky, sv. I. Karolinum, Praha.
- Štekauer, P., ed. (2000) Rudiments of English Linguistics. Slovacontact, Prešov.
- Sgall, P. (1967) Generativní popis jazyka a česká deklinace. Academia, Praha
- Žabokrtský, Z. (2006) Resemblances between Meaning
 ⇔ Text Theory and Functional Generative Description. In Proceedings of the 2nd International Conference of Meaning-Text Theory, Slavic Culture Languages Publishers House, Moskva, pp. 549-557.
- https://www.britannica.com/science/linguistics/Stratificational-grammar
- Sgall, P., Hajičová, E., Panevová, J. (1986) *The Meaning of the Sentence in Its Semantic and Pragmatic Aspects*. Reidel, Dordrecht.
- Petkevič, V. (1995) A New Formal Specification of Underlying Structure. Theoretical Linguistics Vol.21, No.1.