# **Deep Learning Applications in Natural Language Processing**

Jindřich Libovický

🖬 April 9, 2025



Charles University Faculty of Mathematics and Physics Institute of Formal and Applied Linguistics



After this lecture you should be able to ...

- 1. Tell how various NLP tasks can be **formulated** as a **sequence labeling** problem
- 2. Reason about **problems with benchmarking** in NLP (using Visual Question Answering and Answer Span Selection as an Example)
- 3. Describe how Named Entity Recognition and Answer Span Selection solved using pre-trained language representations



Named Entity Recognition

Answer Span Selection

## Named Entity Recognition

#### Information Extraction = Subfield of NLP

# Find who did what to whom.

Named entity recognition (NER) is one of the tasks.

#### Named Entity Recognition: Example



# created by Leonardo Leonardo. It's held at the Louvre Louvre

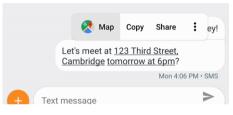


#### **NER:** application areas

- Part of information extraction pipeline
  - Entity linking (e.g., matching Wikipedia articles)
  - Coreference resolution

Whom does pronoun "they" refer to? Who is "the president" in a text?

- Indexing text for search
- Direct use in smart devices



# NER used to create links in text to different apps.

Image source: Google AI Blog. https://ai.googleblog.com/ 2018/08/the-machine-learning-behind-android.html

## **Entity Types**

#### Different entity recognizers use different sets. Example:

Person	Names of people.
${\tt PersonType}$	Job types or roles held by a person.
Location	Natural and human-made landmarks, structures, geographical features, and geopolitical entities
Organization	Companies, political groups, musical bands, sport clubs, government bodies, and public organizations.
Event	Historical, social, and naturally occurring events.
Product	Physical objects of various categories.
Skill	A capability, skill, or expertise.
Address	Full mailing addresses.
Phone	number Phone numbers.
Email	Email addresses.
URL	URLs to websites.
IP	Network IP addresses.
DateTime	Dates and times of day.
Quantity	Numerical measurements and units.

List from Microsoft Text Analytics API (https://docs.microsoft.com/en-us/azure/cognitive-services/text-analytics/named-entity-types)

#### NER as Sequence Labeling

- Assign each token with a tag saying what entity it belongs to
- Different tagging schemes

#### **IOB Scheme**

- I Token is inside an entity.
- 0 Token is outside an entity.
- B Token is the beginning of an entity.

Lance A. Ramshaw and Mitch Marcus. Text chunking using transformation-based learning. In David Yarowsky and Kenneth Church, editors, *Third Workshop on Very Large Corpora, VLC@ACL 1995, Cambridge, Massachusetts, USA, June 30, 1995*, 1995. URL https://www.aclweb.org/anthology/W95-0107/

#### **BILUO Scheme** $\leftarrow$ usually better

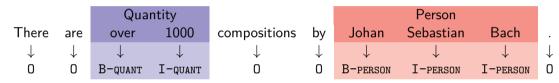
- B Token is the beginning of a multi-token entity.
- I Token is inside a multi-token entity.
- L Token is the last token of a

multi-token entity.

- U Token is a single-token unit entity.
- 0 Token is outside an entity.

Lev Ratinov and Dan Roth. Design challenges and misconceptions in named entity recognition. In *Proceedings of the Thirteenth Conference* on *Computational Natural Language Learning (CoNLL-2009)*, pages 147-155, Boulder, Colorado, June 2009. Association for Computational Linguistics. URL https://www.aclweb.org/anthology/W09-1119

#### A sentence with 2 named entities:



Special B and I tags for each of the entity types.

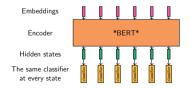
### **Deep learning solution**

As usual ...

- 1. Embed input tokens as vectors
- 2. Contextualize the input embeddings using RNN/Transformer
- 3. Apply a classifier over each of the hidden states to predict the label

Current best solution:

 $\label{eq:Pre-trained Transformer (BERT) + finetuning for sequence labeling$ 



#### **Evaluation**

#### Precision

 $P = \frac{\# \text{ words correctly assigned to entities}}{\# \text{ words in all } \mathbf{detected} \text{ entities}}$ 

Interpretation: How correct the system output is.

#### F-Score

Harmonic mean of the previous two:

$$F_1 = \frac{2PR}{P+R}$$

Reasonable numbers are  $>\!90\%$  on standard datasets.

## a of the previous two:

#### Recall

 $R = \frac{\# \text{ words correctly assigned to entities}}{\# \text{ words in all ground-truth entities}}$ 

Interpretation: How well the are the "real" entities covered.

#### Adding Conditional Random Field

- Standard tagging: conditional independence assumption i.e., given the hidden states all predictions are independent
- Tags have their internal grammar e.g., I-PERSON can only follow I-PERSON or B-PERSON
- If the model is unsure about the tag, it can lead to inconsistent predictions

# $\Rightarrow$ Conditional Random Fields (CRF) can help restrict the models to produce more consistent outputs.

Original model: John D. Lafferty, Andrew McCallum, and Fernando C. N. Pereira. Conditional random fields: Probabilistic models for segmenting and labeling sequence data. In Carla E. Brodley and Andrea Pohoreckyj Danyluk, editors, *Proc. of the 18th ICML*, pages 282–289. Morgan Kaufmann, 2001

Neural CRF: Trinh Minh Tri Do and Thierry Artières. Neural conditional random fields. In Yee Whye Teh and D. Mike Titterington, editors, Proc. of the 13th International Conference on Artificial Intelligence and Statistics, volume 9 of JMLR Proceedings, pages 177–184. JMLR.org, 2010

Neural CRF for NER: Guillaume Lample, Miguel Ballesteros, Sandeep Subramanian, Kazuya Kawakami, and Chris Dyer. Neural architectures for named entity recognition. In Proc. NAACL-HLT, pages 260–270. ACL, June 2016

#### Formal definition of the CRF

$$P(\mathbf{y}|\mathbf{h}) = \frac{1}{Z} \exp\left(\sum_{i=1}^n \psi(y_i|h_i) + \sum_{i=1}^{n-1} \phi(y_i, y_{i+1})\right)$$

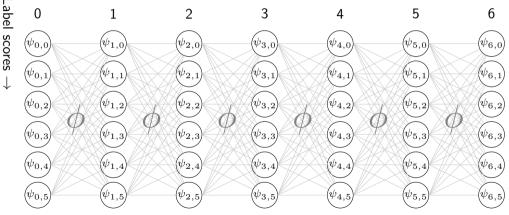
 $\mathbf{y}=(y_1,\ldots,y_n)\sim$  output tags,  $\mathbf{h}=(h_1,\ldots,h_n)\sim$  encoder states, Z is a normalizer, such that the probabilities sum up to 1

A linear projection of  $h_i$ , the same as in stantard labeling. No softmax here. A table of transitions scores between the tags  $\approx$  grammar of the tags.

 $P(\mathbf{y}|\mathbf{h})$  is a probability distribution over the space of **all possible tag sequences**, not single tags.

#### **CRF** as a Trellis

Words  $\rightarrow$ 



Best labeling = finding best path in the graph

## Making CRF tractable

- ☺ Good news: Everything is differentiable
- ③ Bad news: There are exponentially many possible tag sequence y.

## 1. Inference

Easy: we are only interested in the maximum  $\Rightarrow$  throw away Z, throw away exponentiating, find maximum path in a trellis

## 2. Traning

Tricky, we need the normalizer:

$$Z = \sum_{\mathbf{y}} \exp\left(\sum_{i=1}^n \psi(y_i|h_i) + \sum_{i=1}^{n-1} \phi(y_i,y_{i+1})\right)$$

Simple algebraic tricks allow dynamic programming algorithm.

#### **CRF** Inference: Pseudcode

psi is a 2D array with labels scores, length × labels
 of length T with n\_labels labels
 phi label transition scores, shape: n\_labels × n\_labels

```
# 1. Search for the max-scoring path
scores = psi[0]
prev pointers = []
for t range(T):
 prev = []; new scores = []
 for i in range(n_labels):
   cost to i = scores + phi[:, i] + psi[t, i]
   prev.append(cost to i.argmax())
   new scores.append(cost to i.max())
 prev_pointers.append(prev)
 scores = new_scores
```

```
# 2. Reverse-decode the path
# indices
best_path = [scores.armax()]
for prev in
    reversed(prev_pointers):
    best_path.append(
    prev[best_path[-1]])
return reversed(best_path)
```

#### **CRF Training: Compute the normalizer**

Factor out the last step to get a recurrent equation:

$$\begin{array}{lll} \alpha_t(k) &=& \psi_k + \log \sum_i \exp\left(\alpha_{t-1}(j) + \phi_{i,k}\right) \\ \alpha_0(k) &=& \psi_0(k) \\ Z &=& \log \sum_k \exp\alpha_T(k) \end{array}$$

There is an efficient implentation of log-sum-exp.

```
alphas = psi[0]
for t in range(1, T):
    new_alphas = []
    for i in ranage(n_labels):
        new_alpha.append(
            psi[t, i] +
            logsumexp(alpha[j] + phi[j, i]
                for j in range(n_lables)))
        alphas = new_alphas
return logsumexp(alphas)
```

Package pytorch-crf

pip install pytorch-crf

Initialize the model:

```
import torch
from torchcrf import CRF
num_tags = 5 # number of tags is 5
model = CRF(num_tags)
```

The modul expects the unnormalized tag scores as the input

#### **Answer Span Selection**

#### **Answer Span Selection**

#### Task: Find an answer for a question given question in a coherent text.

#### Machine Comprehension

Machine Comprehension (MC) answers natural language questions by selecting an answer span within an evidence text. The AllentitUP toolkit provides the following MC visualization, which can be used for any MC model in Allentit. This page demonstrates a reimplementation of BIDAF (See et al., 2017), or Bi-Directional Altention Flow, a widely used MC baseline that achieved state-of thean accuracies on the SQL0A dataset. Utiligeal santence) in early 2017.

Enter text or Choose an example... \*

#### Passage

Weaving, and Joe Prantoliano. It depicts a dystopan future in which reality as perceived by more humans is actually as imuliated really called "the Matrix", created by sentient machines to subdue the human population, while their bodies' heat and electrical activity are used as a newny source. Computer pagament "Neo" learns this truth and is drawn into a rebellion against the machines, which involves other people who have been def from the "drawn words."

Question

Who stars in The Matrix?

#### Answer

Keanu Reeves, Laurence Fishburne, Carrie-Anne Moss, Hugo Weaving, and Joe Pantoliano

#### Passage Context

The Matrix is a 1999 science fiction action fill written and directed by The Wachowskis, starring <u>Feature Reverses\_Leurence Fichabume, Carries, Amen</u> <u>Wachowskis</u>, ritego Weaves, and Jone Pantalismo, It depicts ad systopian future in which reality as perceived by most humans is actually a simulated reality called "the Matrix", created by sentient machines to subdue the human population, while their bodies' heat and electrical activity are used as an energy source. Computer programmer "Neo" learns this truth and is drawn into a rebellion against the machines, which involves other people who have been freed from the "drawn wold".

#### Model internals (be

http://demo.allennlp.org/machine-comprehension

#### Standard Dataset: SQuAD

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity. The main forms of precipitation include drizzle, rain, sleet, snow, graupel and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals within a cloud. Short, intense periods of rain in scattered locations are called "showers".

What causes precipitation to fall? gravity

What is another main form of precipitation besides drizzle, rain, snow, sleet and hail? graupel

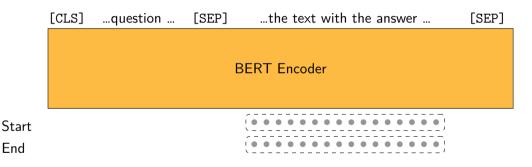
Where do water droplets collide with ice crystals to form precipitation? within a cloud

- best articles from Wikipedia, of reasonable size (23k paragraphs, 500 articles)
- crowd-sourced more than 100k question-answer pairs
- complex quality testing (which got estimate of single human doing the task)

#### https://rajpurkar.github.io/SQuAD-explorer/explore/1.1/dev/

Pranav Rajpurkar, Jian Zhang, Konstantin Lopyrev, and Percy Liang. Squad: 100,000+ questions for machine comprehension of text. In Proceedings of the 2016 Conference on Empirical Methods in Natural Language Processing, pages 2383–2392, Austin, Texas, November 2016. Association for Computational Linguistics. URL https://aclweb.org/anthology/D16-1264

Just throw everything into BERT: both the text and the question.



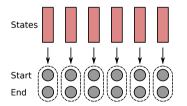
Assign start the start and end labels.

#### **Output Layer**

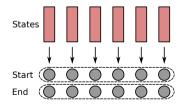
- 1. Start-token probabilities: project each state to scalar ightarrow apply softmax over the context
- 2. End-token the same
- 3. At the end select the most probable span

A difference from standard labeling: scores get normalized:

Standard: per label



Here: over the entire text



#### SQuAD Leaderboard

method	Exact Match	F1 Score
Human performacne	82.304	91.221
BiDAF trained from scratch	73.744	81.525
BERT	87.433	93.160
FPNet (Best in leaderboard; Feb 2021)	90.871	93.183

 $\wedge$ 

Any time an NLP model is **better than humans**, something is **wrong**. Probably overfitting to specifics of the dataset.



After this lecture you should be able to ...

- 1. Tell how various NLP tasks can be **formulated** as a **sequence labeling** problem
- 2. Reason about **problems with benchmarking** in NLP (using Visual Question Answering and Answer Span Selection as an Example)
- 3. Describe how Named Entity Recognition and Answer Span Selection solved using pre-trained language representations

Deep Learning Applications in Natural Language Processing

#### Summary

- 1. Named Entity Recognition: a labeling problem with more clever training objective
- 2. **Answer Span Selection:** showcasing the strength of Transformers, in the end labeling problem too

#### http://ufal.cz/courses/npfl124