

# Convolutional Neural Networks II

Milan Straka

**■** March 18, 2024

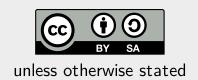








Charles University in Prague Faculty of Mathematics and Physics Institute of Formal and Applied Linguistics



### Main Takeaways From Previous Lecture



- Convolutions can provide
  - local interactions in spacial/temporal dimensions
  - shift invariance
  - much less parameters than a fully connected layer
- ullet Usually repeated 3 imes 3 convolutions are enough, no need for larger filter sizes.
- When pooling is performed, double the number of channels (i.e., the first convolution following the pooling layer will have twice as many output channels).
- If your network is deep enough (the last hidden neurons have a large receptive fields), final fully connected layers are not needed, and global average pooling is enough.
- ullet Batch normalization is a great regularization method for CNNs, allowing removal/decrease of dropout and  $L^2$  regularization.
- ullet Small weight decay (i.e.,  $L^2$  regularization) of usually 1e-4 is still useful for regularizing convolutional kernels.



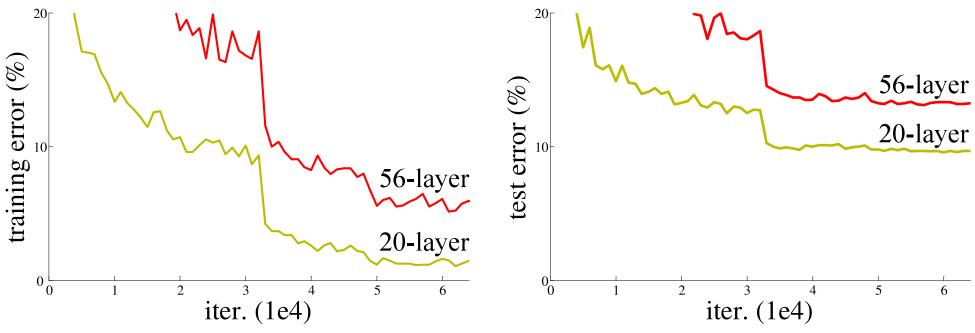


Figure 1. Training error (left) and test error (right) on CIFAR-10 with 20-layer and 56-layer "plain" networks. The deeper network has higher training error, and thus test error. Similar phenomena on ImageNet is presented in Fig. 4.

Figure 1 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385



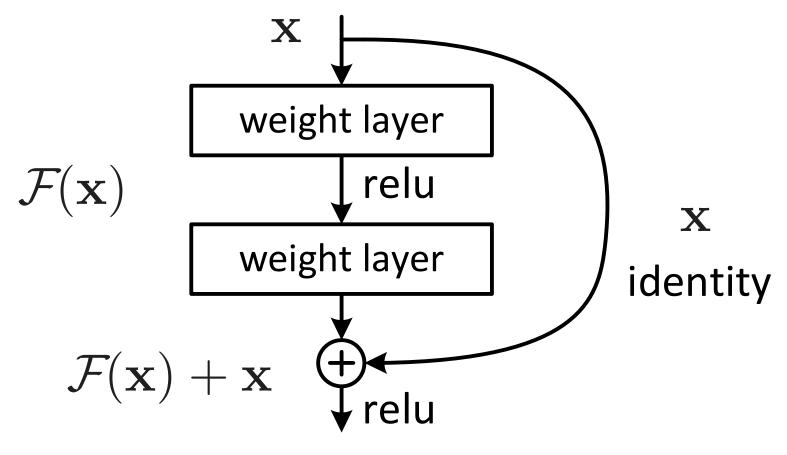


Figure 2. Residual learning: a building block.

Figure 2 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385



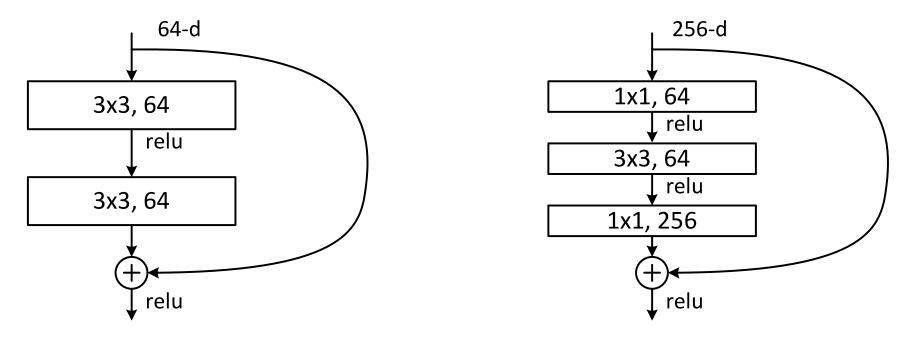


Figure 5. A deeper residual function  $\mathcal{F}$  for ImageNet. Left: a building block (on  $56 \times 56$  feature maps) as in Fig. 3 for ResNet-34. Right: a "bottleneck" building block for ResNet-50/101/152.

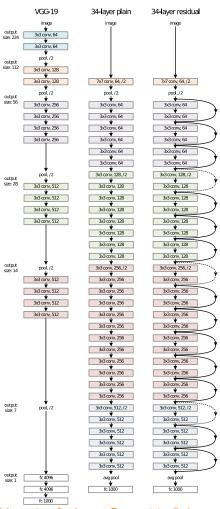
Figure 5 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385



layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer	
conv1	112×112		$7\times7$ , 64, stride 2				
				3×3 max pool, stric	le 2		
conv2_x	56×56	$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64 \end{array}\right]\times3$	$\left[\begin{array}{c} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{array}\right] \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	
conv3_x	28×28	$\left[\begin{array}{c} 3\times3, 128\\ 3\times3, 128 \end{array}\right] \times 2$	$\left[\begin{array}{c} 3 \times 3, 128 \\ 3 \times 3, 128 \end{array}\right] \times 4$	$ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4 $	$ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 4 $	$ \left[\begin{array}{c} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{array}\right] \times 8 $	
conv4_x	14×14	$\left[\begin{array}{c}3\times3,256\\3\times3,256\end{array}\right]\times2$	$\left[\begin{array}{c} 3 \times 3, 256 \\ 3 \times 3, 256 \end{array}\right] \times 6$	$ \left[\begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array}\right] \times 6 $	$ \left[\begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array}\right] \times 23 $	$ \left[\begin{array}{c} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{array}\right] \times 36 $	
conv5_x	7×7	$\begin{bmatrix} 3\times3,512\\ 3\times3,512 \end{bmatrix} \times 2$	$\left[\begin{array}{c} 3 \times 3,512 \\ 3 \times 3,512 \end{array}\right] \times 3$	$ \left[\begin{array}{c} 1 \times 1,512 \\ 3 \times 3,512 \\ 1 \times 1,2048 \end{array}\right] \times 3 $	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	$ \left[\begin{array}{c} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{array}\right] \times 3 $	
	$1\times1$	average pool, 1000-d fc, softmax					
FL0	OPs	$1.8 \times 10^9$	$3.6 \times 10^9$	$3.8 \times 10^9$	$7.6 \times 10^9$	$11.3 \times 10^9$	

Table 1 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385





The residual connections cannot be applied directly when number of channels increases.

The authors considered several alternatives, and chose the one where in case of channels increase a  $1 \times 1$  convolution + BN is used on the projections to match the required number of channels. The required spacial resolution is achieved by using stride 2.

Figure 3 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385



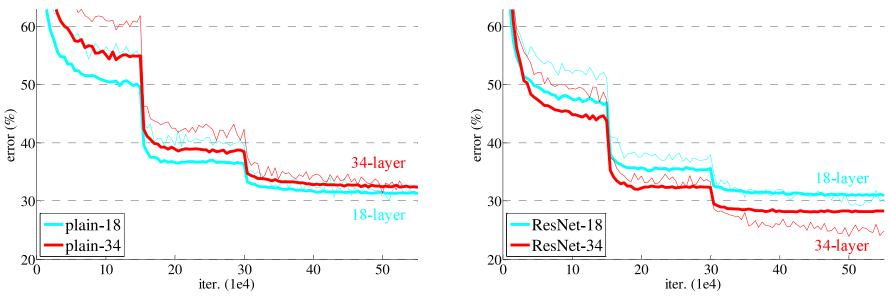


Figure 4. Training on **ImageNet**. Thin curves denote training error, and bold curves denote validation error of the center crops. Left: plain networks of 18 and 34 layers. Right: ResNets of 18 and 34 layers. In this plot, the residual networks have no extra parameter compared to their plain counterparts.

Figure 4 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385



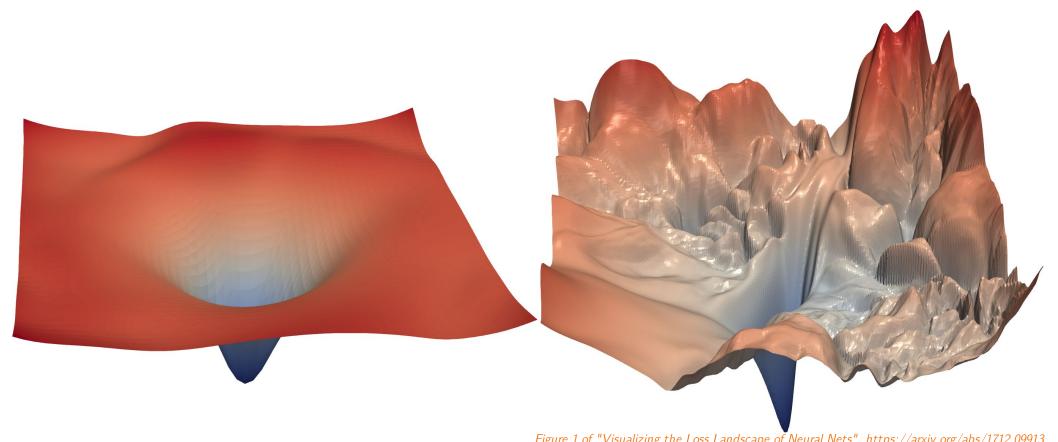


Figure 1 of "Visualizing the Loss Landscape of Neural Nets", https://arxiv.org/abs/1712.09913



#### Training details:

- batch normalizations after each convolution and before activation
- SGD with batch size 256 and momentum of 0.9
- ullet learning rate starts with 0.1 and "is divided by 10 when the error plateaus"
  - $\circ$  600k training iterations are used (120 epochs, each containing 1.281M images)
  - $^{\circ}$  according to one graph (and to their later paper) they decay at 25% and 50% of the training, so after epochs 30 and 60
    - other concurrent papers also use exponential decay or 25%-50%-75%
- no dropout, weight decay 0.0001
- ullet during training, an image is resized with its shorter side randomly sampled in the range [256,480], and a random 224 imes 224 crop is used
- during testing, 10-crop evaluation strategy is used
  - $^{\circ}$  for the best results, the scores across multiple scales are averaged the images are resized so that their smaller size is in  $\{224,256,384,480,640\}$



method	top-1 err.	top-5 err.
VGG [41] (ILSVRC'14)	_	8.43 <sup>†</sup>
GoogLeNet [44] (ILSVRC'14)	_	7.89
VGG [41] (v5)	24.4	7.1
PReLU-net [13]	21.59	5.71
BN-inception [16]	21.99	5.81
ResNet-34 B	21.84	5.71
ResNet-34 C	21.53	5.60
ResNet-50	20.74	5.25
ResNet-101	19.87	4.60
ResNet-152	19.38	4.49

Table 4. Error rates (%) of **single-model** results on the ImageNet validation set (except † reported on the test set).

Table 4 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385

method	top-5 err. (test)
VGG [41] (ILSVRC'14)	7.32
GoogLeNet [44] (ILSVRC'14)	6.66
VGG [41] (v5)	6.8
PReLU-net [13]	4.94
BN-inception [16]	4.82
ResNet (ILSVRC'15)	3.57

Table 5. Error rates (%) of **ensembles**. The top-5 error is on the test set of ImageNet and reported by the test server.

Table 5 of "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385

The ResNet-34 B uses the  $1\times 1$  convolution on residual connections with different number of input and output channels; ResNet-34 C uses this convolution on all residual connections. Variant B is used for ResNet-50/101/152.

### **ResNet Ablations – Shortcuts**



#### The authors of ResNet published an ablation study several months after the original paper.

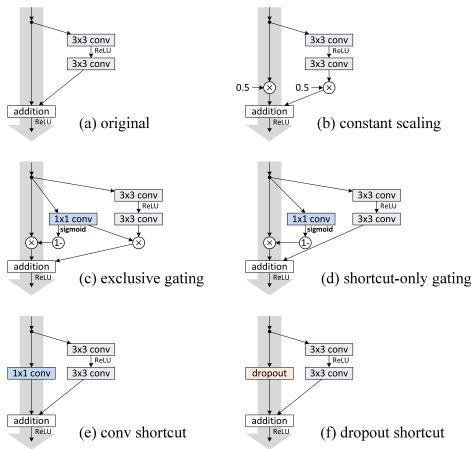


Figure 2 of "Identity Mappings in Deep Residual Networks", https://arxiv.org/abs/1603.05027

**Table 1.** Classification error on the CIFAR-10 test set using ResNet-110 [1], with different types of shortcut connections applied to all Residual Units. We report "fail" when the test error is higher than 20%.

	Г		ı		Г
case	Fig.	on shortcut	on $\mathcal{F}$	error (%)	remark
original [1]	Fig. 2(a)	1	1	6.61	
		0	1	fail	This is a plain net
$\begin{array}{c} { m constant} \\ { m scaling} \end{array}$	Fig. 2(b)	0.5	1	fail	
		0.5	0.5	12.35	frozen gating
		$1 - g(\mathbf{x})$	$g(\mathbf{x})$	fail	init $b_g$ =0 to -5
exclusive gating	Fig. 2(c)	$1 - g(\mathbf{x})$	$g(\mathbf{x})$	8.70	init $b_g = -6$
		$1 - g(\mathbf{x})$	$g(\mathbf{x})$	9.81	init $b_g = -7$
shortcut-only	Fig. 2(d)	$1 - g(\mathbf{x})$	1	12.86	init $b_g=0$
gating	1 1g. 2(u)	$1 - g(\mathbf{x})$	1	6.91	init $b_g = -6$
1×1 conv shortcut	Fig. 2(e)	$1 \times 1$ conv	1	12.22	
dropout shortcut	Fig. 2(f)	dropout 0.5	1	fail	

Table 1 of "Identity Mappings in Deep Residual Networks", https://arxiv.org/abs/1603.05027

#### **ResNet Ablations – Activations**



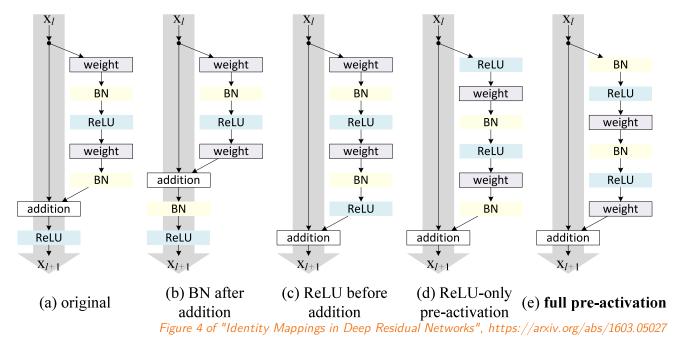


Table 2. Classification error (%) on the CIFAR-10 test set using different activation

case	Fig.	ResNet-110	ResNet-164
original Residual Unit [1]	Fig. 4(a)	6.61	5.93
BN after addition	Fig. 4(b)	8.17	6.50
ReLU before addition	Fig. 4(c)	7.84	6.14
ReLU-only pre-activation	Fig. 4(d)	6.71	5.91
full pre-activation	Fig. 4(e)	$\boldsymbol{6.37}$	5.46

Table 2 of "Identity Mappings in Deep Residual Networks", https://arxiv.org/abs/1603.05027

functions.

#### ResNet Ablations – Pre-Activation Results



The *pre-activation* architecture was evaluated also on ImageNet, in a single-crop regime.

Table 5. Comparisons of single-crop error on the ILSVRC 2012 validation set. All ResNets are trained using the same hyper-parameters and implementations as [1]). Our Residual Units are the full pre-activation version (Fig. 4(e)). †: code/model available at https://github.com/facebook/fb.resnet.torch/tree/master/pretrained, using scale and aspect ratio augmentation in [20].

method	augmentation	train crop	test crop	top-1	top-5
ResNet-152, original Residual Unit [1]	scale	224×224	$224 \times 224$	23.0	6.7
ResNet-152, original Residual Unit [1]	scale	224×224	320×320	21.3	5.5
ResNet-152, <b>pre-act</b> Residual Unit	scale	$224 \times 224$	$320\times320$	21.1	5.5
ResNet-200, original Residual Unit [1]	scale	$224 \times 224$	$320 \times 320$	21.8	6.0
ResNet-200, <b>pre-act</b> Residual Unit	scale	$224 \times 224$	$320 \times 320$	20.7	5.3
ResNet-200, <b>pre-act</b> Residual Unit	scale+asp ratio	$224 \times 224$	$320\times320$	$20.1^{\dagger}$	$4.8^{\dagger}$
Inception v3 [19]	scale+asp ratio	299×299	299×299	21.2	5.6

Table 5 of "Identity Mappings in Deep Residual Networks", https://arxiv.org/abs/1603.05027



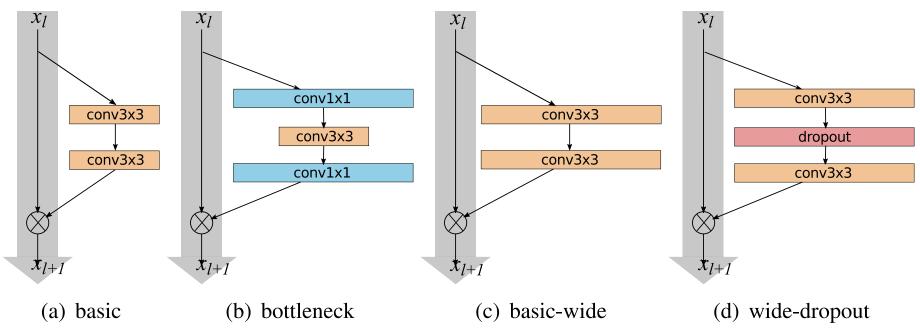


Figure 1: Various residual blocks used in the paper. Batch normalization and ReLU precede each convolution (omitted for clarity)

Figure 1 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146



• Authors do not consider bottleneck blocks. Instead, they experiment with different *block types*, e.g., B(1,3,1) or B(3,3).

block type	depth	# params	time,s	CIFAR-10
B(1,3,1)	40	1.4M	85.8	6.06
B(3,1)	40	1.2M	67.5	5.78
B(1,3)	40	1.3M	72.2	6.42
B(3,1,1)	40	1.3M	82.2	5.86
B(3,3)	28	1.5M	67.5	5.73
B(3,1,3)	22	1.1M	59.9	5.78

Table 2 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146

group name	output size	block type = $B(3,3)$
conv1	$32 \times 32$	$[3 \times 3, 16]$
conv2	32×32	$\left[\begin{array}{c} 3\times3, 16\times k \\ 3\times3, 16\times k \end{array}\right] \times N$
conv3	16×16	$\left[\begin{array}{c} 3\times3, 32\times k \\ 3\times3, 32\times k \end{array}\right] \times N$
conv4	8×8	$\begin{bmatrix} 3 \times 3, 64 \times k \\ 3 \times 3, 64 \times k \end{bmatrix} \times N$
avg-pool	$1 \times 1$	[8 × 8]

Table 1 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146

The B(3,3) is used in further experiments, unless specified otherwise.



#### ullet Authors evaluate various widening factors k

depth	k	# params	CIFAR-10	CIFAR-100
40	1	0.6M	6.85	30.89
40	2	2.2M	5.33	26.04
40	4	8.9M	4.97	22.89
40	8	35.7M	4.66	_
28	10	36.5M	4.17	20.50
28	12	52.5M	4.33	20.43
22	8	17.2M	4.38	21.22
22	10	26.8M	4.44	20.75
16	8	11.0M	4.81	22.07
16	10	17.1M	4.56	21.59

Table 4 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146

group name	output size	block type = $B(3,3)$
conv1	$32 \times 32$	$[3 \times 3, 16]$
conv2	32×32	$\left[\begin{array}{c} 3\times3, 16\times k \\ 3\times3, 16\times k \end{array}\right] \times N$
conv3	16×16	$\left[\begin{array}{c} 3 \times 3, 32 \times k \\ 3 \times 3, 32 \times k \end{array}\right] \times N$
conv4	8×8	$\left[\begin{array}{c} 3\times3, 64\times k \\ 3\times3, 64\times k \end{array}\right] \times N$
avg-pool	$1 \times 1$	[8×8]

Table 1 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146



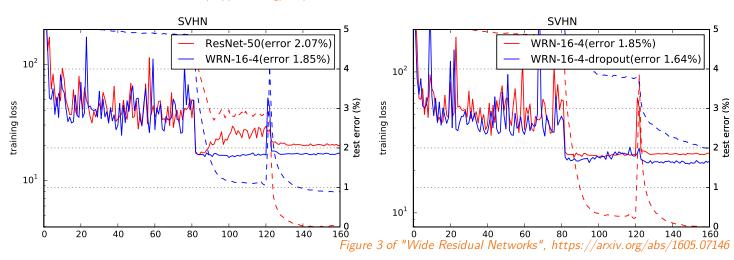
Authors measure the effect of dropping out inside the residual block (but not the residual connection itself)

depth	k	dropout	CIFAR-10	CIFAR-100	SVHN
16	4		5.02	24.03	1.85
16	4	✓	5.24	23.91	1.64
28	10		4.00	19.25	_
28	10	$\checkmark$	3.89	18.85	_
52	1		6.43	29.89	2.08
52	1	✓	6.28	29.78	1.70

Table 6 of "Wide Residual Networks", https://ar.	rxiv.org/abs/	1605.07146
--	---------------	------------

group name	output size	block type = $B(3,3)$
conv1	$32 \times 32$	$[3 \times 3, 16]$
conv2	32×32	$\left[\begin{array}{c} 3\times3, 16\times k \\ 3\times3, 16\times k \end{array}\right] \times N$
conv3	16×16	$\left[\begin{array}{c} 3\times3, 32\times k \\ 3\times3, 32\times k \end{array}\right] \times N$
conv4	8×8	$\left[\begin{array}{c} 3\times3, 64\times k \\ 3\times3, 64\times k \end{array}\right] \times N$
avg-pool	$1 \times 1$	[8 × 8]
8 F		[00]

Table 1 of "Wide Residual Networks", https://arxiv.org/abs/1605.07146



18/60

### WideNet - Results



Dataset	Results				
		depth-k	# params	CIFAR-10	CIFAR-100
	NIN [20]			8.81	35.67
	DSN [19]			8.22	34.57
	FitNet [24]			8.39	35.04
	Highway [ <mark>28</mark> ]			7.72	32.39
	ELU [ <mark>5</mark> ]			6.55	24.28
	original-ResNet[11]	110	1.7M	6.43	25.16
	original-Resnet[11]	1202	10.2M	7.93	27.82
CIFAR	stoc depth[14]	110	1.7M	5.23	24.58
	stoc-depth[14]	1202	10.2M	4.91	_
	pre-act-ResNet[13]	110	1.7M	6.37	_
		164	1.7 <b>M</b>	5.46	24.33
		1001	10.2M	4.92(4.64)	22.71
		40-4	8.9M	4.53	21.18
	WRN (ours)	16-8	11.0M	4.27	20.43
		28-10	36.5M	4.00	19.25
	Table 5 or	f "Wide Residu	al Networks",	https://arxiv.c	rg/abs/1605.07146
	Model	top-1 err, %	top-5 er	r, %   #para	ns time/batch
	ResNet-50	24.01	7.02	25.61	И 49
	ResNet-101	22.44	6.21	44.51	М 82
ImageNet	ResNet-152	22.16	6.16	60.21	А 115
THI GOLD TO THE	WRN-50-2-bottleneck	21.9	6.03	68.91	И 93
	pre-ResNet-200	21.66	5.79		
	Tal	ole 8 of "Wide	Residual Netv	vorks", https://	arxiv.org/abs/1605.

NPFL138, Lecture 5

ResNet

ResNetModifications

**CNNRegularization** 

EfficientNet

TransferLearning

TransposedConvolution

### **DenseNet**



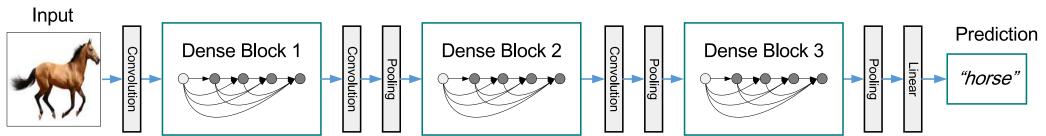


Figure 2 of "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993

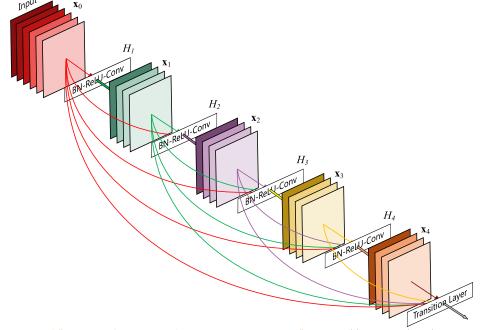


Figure 1 of "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993

### DenseNet - Architecture



The initial convolution generates 64 channels, each  $1 \times 1$  convolution in dense block 128, each  $3 \times 3$  convolution in dense block 32, and the transition layer reduces the number of channels in the initial convolution by half.

Layers	Output Size	DenseNet-121	DenseNet-169	DenseNet-201	DenseNet-264		
Convolution	$112 \times 112$			nv, stride 2			
Pooling	56 × 56		$3 \times 3$ max pool, stride 2				
Dense Block	56 × 56	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 3 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 3 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 6$		
(1)	30 × 30	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$		
Transition Layer	56 × 56		$1 \times 1$	conv			
(1)	$28 \times 28$		$2 \times 2$ average	e pool, stride 2			
Dense Block	$28 \times 28$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 12$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 12$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 12$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 2 \end{bmatrix} \times 12$		
(2)	20 × 20	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$		
Transition Layer	$28 \times 28$		$1 \times 1 \text{ conv}$				
(2)	14 × 14		$2 \times 2$ average	e pool, stride 2			
Dense Block	14 × 14	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 24 \end{bmatrix} \times 24$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 32 \end{bmatrix}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 3 \end{bmatrix} \times 48$	$\begin{bmatrix} 1 \times 1 \text{ conv} \\ 2 & 3 \end{bmatrix} \times 64$		
(3)	14 × 14	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 24}$	$\left[\begin{array}{c} 3 \times 3 \text{ conv} \end{array}\right]^{\times 32}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 46}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 04}$		
Transition Layer	14 × 14		$1 \times 1$	conv			
(3)	7 × 7		$2 \times 2$ average	e pool, stride 2			
Dense Block	7 × 7	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}$	$1 \times 1 \text{ conv}$		
(4)	/ × /	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 10}$	$\left[\begin{array}{c} 3 \times 3 \text{ conv} \end{array}\right]^{\times 32}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix} \times 32$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 46}$		
Classification	1 × 1		$7 \times 7$ global average pool				
Layer			1000D fully-cor	nnected, softmax			
Dense Block (4) Classification	7 × 7 1 × 1	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix} \times 16$			$1 1 1 \times 4$		

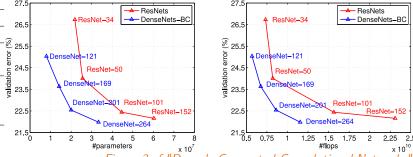
Table 1 of "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993

### DenseNet - Results



Method	Depth	Params	C10	C10+	C100	C100+	SVHN
Network in Network [22]	-	-	10.41	8.81	35.68	-	2.35
All-CNN [32]	-	-	9.08	7.25	_	33.71	_
Deeply Supervised Net [20]	_	_	9.69	7.97	_	34.57	1.92
Highway Network [34]	_	_	_	7.72	_	32.39	_
FractalNet [17]	21	38.6M	10.18	5.22	35.34	23.30	2.01
with Dropout/Drop-path	21	38.6M	7.33	4.60	28.20	23.73	1.87
ResNet [11]	110	1.7M	-	6.61	-	-	-
ResNet (reported by [13])	110	1.7M	13.63	6.41	44.74	27.22	2.01
ResNet with Stochastic Depth [13]	110	1.7M	11.66	5.23	37.80	24.58	1.75
	1202	10.2M	_	4.91	_	-	_
Wide ResNet [42]	16	11.0M	-	4.81	-	22.07	-
	28	36.5M	_	4.17	_	20.50	_
with Dropout	16	2.7M	<u>-</u>	=	=	-	1.64
ResNet (pre-activation) [12]	164	1.7M	11.26*	5.46	35.58*	24.33	-
	1001	10.2M	10.56*	4.62	33.47*	22.71	_
DenseNet $(k = 12)$	40	1.0M	7.00	5.24	27.55	24.42	1.79
DenseNet $(k = 12)$	100	7.0M	5.77	4.10	23.79	20.20	1.67
DenseNet $(k=24)$	100	27.2M	5.83	3.74	23.42	19.25	1.59
DenseNet-BC $(k = 12)$	100	0.8M	5.92	4.51	24.15	22.27	1.76
DenseNet-BC $(k=24)$	250	15.3M	5.19	3.62	19.64	17.60	1.74
DenseNet-BC $(k=40)$	190	25.6M	_	3.46	_	17.18	_

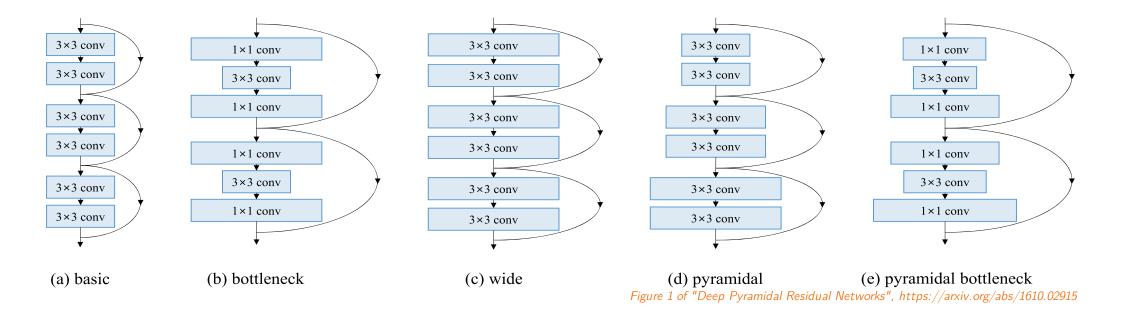
Table 2 of "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993



A 5 6 / 0 #Hops x 10
Figure 3 of "Densely Connected Convolutional Networks",
https://arxiv.org/abs/1608.06993

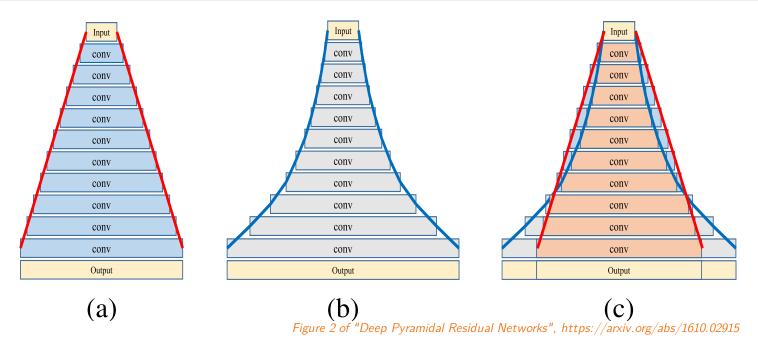
### **PyramidNet**





### **PyramidNet – Growth Rate**





In architectures up until now, number of filters doubled when spacial resolution was halved.

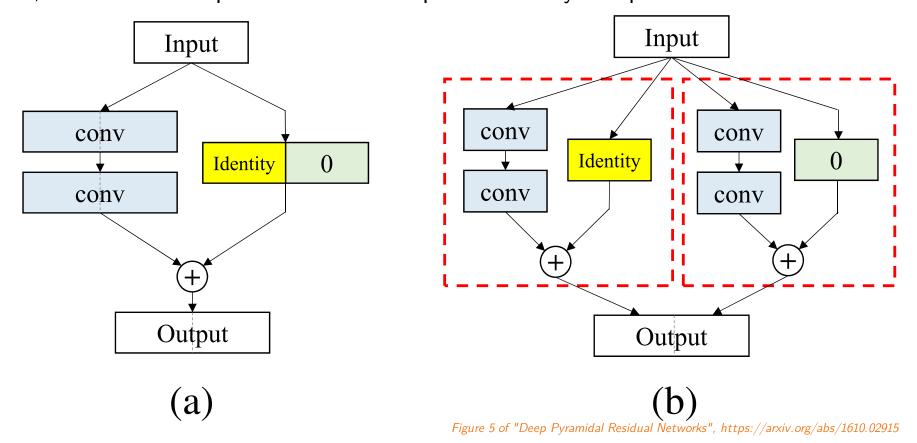
Such exponential growth would suggest gradual widening rule  $D_k = \lfloor D_{k-1} \cdot lpha^{1/N} 
floor$ .

However, the authors employ a linear widening rule  $D_k = \lfloor D_{k-1} + \alpha/N \rfloor$ , where  $D_k$  is number of filters in the k-th out of N convolutional block and  $\alpha$  is number of filters to add in total.

### PyramidNet – Residual Connections



No residual connection can be a real identity – the authors propose to zero-pad missing channels, where the zero-pad channels correspond to newly computed features.



### PyramidNet – CIFAR Results



Network	# of Params	Output Feat. Dim.	Depth	Training Mem.	CIFAR-10	CIFAR-100
NiN [18]	-	-	-	-	8.81	35.68
All-CNN [27]	_	<u>-</u>	_	<del>-</del>	7.25	33.71
DSN [17]	-	-	-	=	7.97	34.57
FitNet [21]	_	<del>-</del>	-	=	8.39	35.04
Highway [29]	<del>-</del>	<del>-</del>	-	=	7.72	32.39
Fractional Max-pooling [4]	-	<del>-</del>	_	=	4.50	27.62
ELU [29]	_	<del>-</del>	-	=	6.55	24.28
ResNet [7]	1.7M	64	110	547MB	6.43	25.16
ResNet [7]	10.2M	64	1001	2,921MB	_	27.82
ResNet [7]	19.4M	64	1202	2,069MB	7.93	-
Pre-activation ResNet [8]	1.7M	64	164	841MB	5.46	24.33
Pre-activation ResNet [8]	10.2M	64	1001	2,921MB	4.62	22.71
Stochastic Depth [10]	1.7M	64	110	547MB	5.23	24.58
Stochastic Depth [10]	10.2M	64	1202	2,069MB	4.91	=
FractalNet [14]	38.6M	1,024	21	=	4.60	23.73
SwapOut v2 (width×4) [26]	7.4M	256	32	-	4.76	22.72
Wide ResNet (width×4) [34]	8.7M	256	40	775MB	4.97	22.89
Wide ResNet (width $\times$ 10) [34]	36.5M	640	28	1,383MB	4.17	20.50
Weighted ResNet [24]	19.1M	64	1192	-	5.10	-
DenseNet $(k = 24)$ [9]	27.2M	2,352	100	4,381MB	3.74	19.25
DenseNet-BC $(k = 40)$ [9]	25.6M	2,190	190	7,247MB	3.46	17.18
PyramidNet ( $\alpha = 48$ )	1.7M	64	110	655MB	$4.58\pm0.06$	$23.12\pm0.04$
PyramidNet ( $\alpha = 84$ )	3.8M	100	110	781MB	$4.26\pm0.23$	$20.66\pm0.40$
PyramidNet ( $\alpha = 270$ )	28.3M	286	110	1,437MB	$3.73\pm0.04$	$18.25 \pm 0.10$
PyramidNet (bottleneck, $\alpha = 270$ )	27.0M	1,144	164	4,169MB	$3.48\pm0.20$	$17.01\pm0.39$
PyramidNet (bottleneck, $\alpha = 240$ )	26.6M	1,024	200	4,451MB	$3.44\pm0.11$	$16.51\pm0.13$
PyramidNet (bottleneck, $\alpha = 220$ )	26.8M	944	236	4,767MB	$3.40\pm0.07$	$16.37\pm0.29$
PyramidNet (bottleneck, $\alpha = 200$ )	26.0M	864	272	5,005MB	<b>3.31</b> ±0.08	<b>16.35</b> ±0.24

Group	Output size	Building Block				
conv 1	32×32	$[3 \times 3, 16]$				
conv 2	32×32	$\begin{bmatrix} 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \\ 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \end{bmatrix} \times N_2$				
conv 3	16×16	$\begin{bmatrix} 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \\ 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \end{bmatrix} \times N_3$				
conv 4	8×8	$\begin{bmatrix} 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \\ 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \end{bmatrix} \times N_4$				
avg pool	1×1	$[8 \times 8, 16 + \alpha]$				

Table 1 of "Deep Pyramidal Residual Networks", https://arxiv.org/abs/1610.02915

Table 4 of "Deep Pyramidal Residual Networks", https://arxiv.org/abs/1610.02915

### PyramidNet – ImageNet Results



Network	# of Params	Output Feat. Dim.	Augmentation	Train Crop	Test Crop	Top-1	Top-5
ResNet-152 [7]	60.0M	2,048	scale	224×224	224×224	23.0	6.7
Pre-ResNet-152 <sup>†</sup> [8]	60.0M	2,048	scale+asp ratio	$224 \times 224$	$224 \times 224$	22.2	6.2
Pre-ResNet-200 <sup>†</sup> [8]	64.5M	2,048	scale+asp ratio	$224 \times 224$	$224 \times 224$	21.7	5.8
WRN-50-2-bottleneck [34]	68.9M	2,048	scale+asp ratio	$224 \times 224$	$224 \times 224$	21.9	6.0
PyramidNet-200 ( $\alpha = 300$ )	62.1M	1,456	scale+asp ratio	$224 \times 224$	$224 \times 224$	20.5	5.3
PyramidNet-200 ( $\alpha = 300$ )*	62.1M	1,456	scale+asp ratio	$224 \times 224$	$224\times224$	20.5	5.4
PyramidNet-200 ( $\alpha = 450$ )*	116.4M	2,056	scale+asp ratio	$224 \times 224$	$224 \times 224$	20.1	5.4
ResNet-200 [7]	64.5M	2,048	scale	224×224	320×320	21.8	6.0
Pre-ResNet-200 [8]	64.5M	2,048	scale+asp ratio	$224 \times 224$	$320 \times 320$	20.1	4.8
Inception-v3 [32]	_	2,048	scale+asp ratio	299×299	$299 \times 299$	21.2	5.6
Inception-ResNet-v1 [30]	_	1,792	scale+asp ratio	299×299	299×299	21.3	5.5
Inception-v4 [30]	_	1,536	scale+asp ratio	299×299	$299 \times 299$	20.0	5.0
Inception-ResNet-v2 [30]	-	1,792	scale+asp ratio	299×299	$299 \times 299$	19.9	4.9
PyramidNet-200 ( $\alpha = 300$ )	62.1M	1,456	scale+asp ratio	$224 \times 224$	$320 \times 320$	19.6	4.8
PyramidNet-200 ( $\alpha = 300$ )*	62.1M	1,456	scale+asp ratio	$224 \times 224$	$320 \times 320$	19.5	4.8
PyramidNet-200 ( $\alpha = 450$ )*	116.4M	2,056	scale+asp ratio	$224\times224$	$320\times320$	19.2	4.7

Table 5 of "Deep Pyramidal Residual Networks", https://arxiv.org/abs/1610.02915



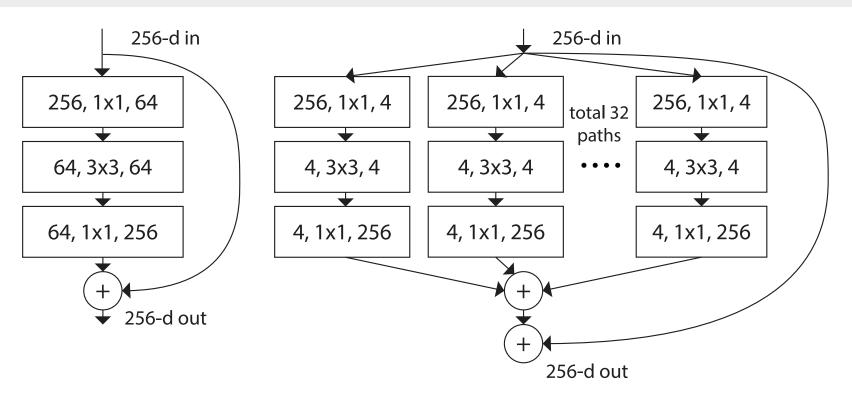


Figure 1. **Left**: A block of ResNet [14]. **Right**: A block of ResNeXt with cardinality = 32, with roughly the same complexity. A layer is shown as (# in channels, filter size, # out channels).

Figure 1 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431



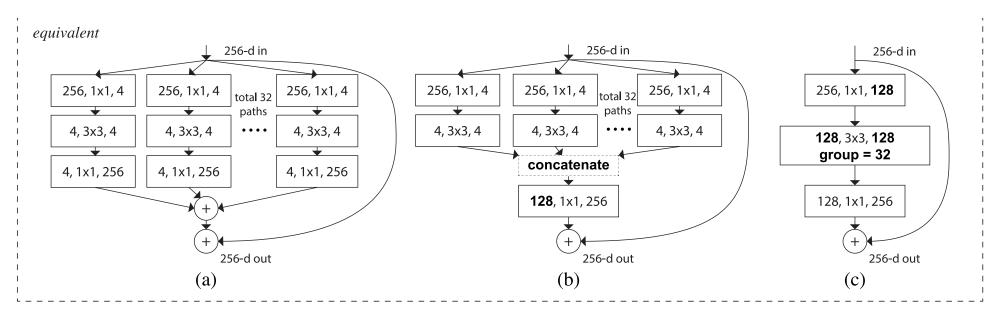


Figure 3. Equivalent building blocks of ResNeXt. (a): Aggregated residual transformations, the same as Fig. 1 right. (b): A block equivalent to (a), implemented as early concatenation. (c): A block equivalent to (a,b), implemented as grouped convolutions [24]. Notations in **bold** text highlight the reformulation changes. A layer is denoted as (# input channels, filter size, # output channels).

Figure 3 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431



stage	output	ResNet-50		ResNeXt-50 (32×4d)		
conv1	112×112	$7 \times 7$ , 64, stride	2	7×7, 64, stride 2		
		$3\times3$ max pool, str	ide 2	3×3 max pool, stride 2		
conv2	56×56	$\begin{bmatrix} 1 \times 1, 64 \end{bmatrix}$		1×1, 128		
COIIVZ	30 × 30	3×3, 64	×3	$3 \times 3, 128, C=32$	$\times 3$	
		$[1 \times 1, 256]$		$[1 \times 1, 256]$		
		$\begin{bmatrix} 1 \times 1, 128 \end{bmatrix}$		$\begin{bmatrix} 1 \times 1,256 \end{bmatrix}$		
conv3	$28\times28$	3×3, 128	$\times 4$	$3 \times 3, 256, C=32$	$\times 4$	
		$[1 \times 1, 512]$		$[1 \times 1, 512]$		
		$\begin{bmatrix} 1 \times 1, 256 \end{bmatrix}$		$\begin{bmatrix} 1 \times 1,512 \end{bmatrix}$		
conv4	$14 \times 14$	$3 \times 3,256$	×6	$3 \times 3,512, C=32$	×6	
		$[1\times1,1024]$		$[1 \times 1, 1024]$		
		$\begin{bmatrix} 1 \times 1,512 \end{bmatrix}$		$\begin{bmatrix} 1 \times 1, 1024 \end{bmatrix}$		
conv5	7×7	$3 \times 3,512$	$\times 3$	3×3, 1024, <i>C</i> =32	$\times 3$	
		$[1 \times 1, 2048]$		$1 \times 1,2048$		
	1×1	global average pool		global average pool		
1 × 1		1000-d fc, softm	nax	1000-d fc, softmax		
# pa	arams.	$25.5 \times 10^6$		$25.0 \times 10^6$		
FI	LOPs	<b>4.1</b> $\times 10^9$		<b>4.2</b> ×10 <sup>9</sup>		

Table 1 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431



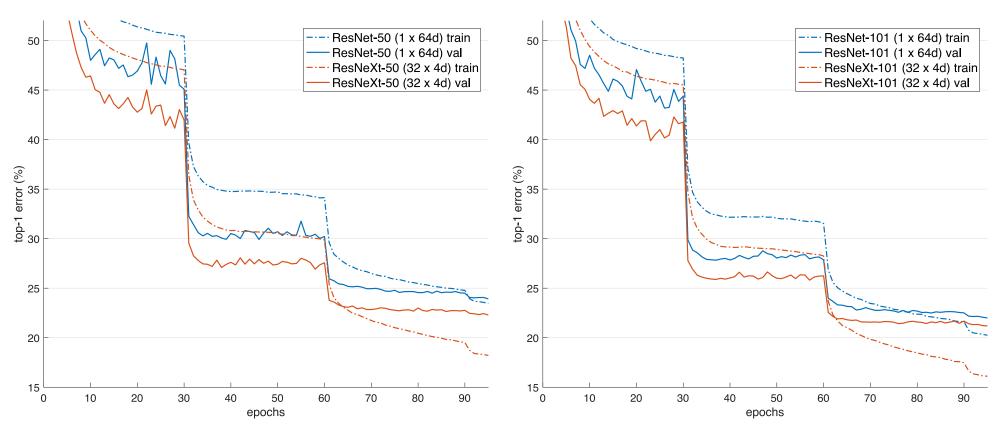


Figure 5. Training curves on ImageNet-1K. (**Left**): ResNet/ResNeXt-50 with preserved complexity ( $\sim$ 4.1 billion FLOPs,  $\sim$ 25 million parameters); (**Right**): ResNet/ResNeXt-101 with preserved complexity ( $\sim$ 7.8 billion FLOPs,  $\sim$ 44 million parameters).

Figure 5 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431



	setting	top-1 error (%)
ResNet-50	1 × 64d	23.9
ResNeXt-50	$2 \times 40d$	23.0
ResNeXt-50	$4 \times 24d$	22.6
ResNeXt-50	$8 \times 14d$	22.3
ResNeXt-50	$32 \times 4d$	22.2
ResNet-101	$1 \times 64d$	22.0
ResNeXt-101	$2 \times 40d$	21.7
ResNeXt-101	$4 \times 24d$	21.4
ResNeXt-101	$8 \times 14d$	21.3
ResNeXt-101	$32 \times 4d$	21.2

Table 3 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431

	setting	top-1 err (%)	top-5 err (%)						
1× complexity references:									
ResNet-101	1 × 64d	22.0	6.0						
ResNeXt-101	$32 \times 4d$	21.2	5.6						
2× complexity mode	els follow:								
ResNet-200 [15]	1 × 64d	21.7	5.8						
ResNet-101, wider	1 × <b>100</b> d	21.3	5.7						
ResNeXt-101	<b>2</b> × 64d	20.7	5.5						
ResNeXt-101	<b>64</b> × 4d	20.4	5.3						

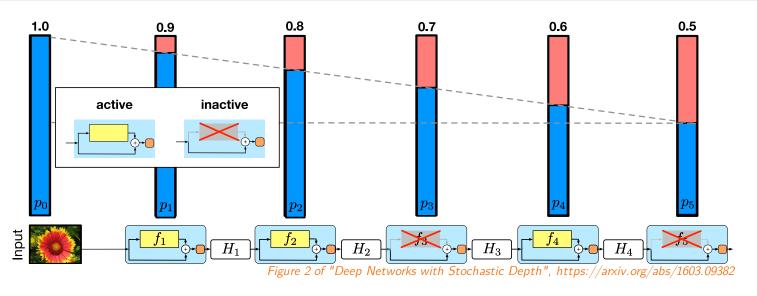
Table 4 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431

	224>	<224	320×320 / 299×299		
	top-1 err	top-5 err	top-1 err	top-5 err	
ResNet-101 [14]	22.0	6.0	-	-	
ResNet-200 [15]	21.7	5.8	20.1	4.8	
Inception-v3 [39]	-	-	21.2	5.6	
Inception-v4 [37]	_	-	20.0	5.0	
Inception-ResNet-v2 [37]	_	-	19.9	4.9	
$\overline{\text{ResNeXt-101} (64 \times \mathbf{4d})}$	20.4	5.3	19.1	4.4	

Table 5 of "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431

### Deep Networks with Stochastic Depth



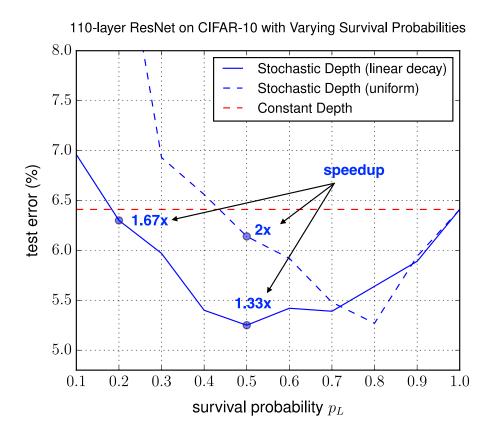


We drop a whole block (but not the residual connection) with probability  $1-p_l$ . During inference, we multiply the block output by  $p_l$  to compensate; or we can use the alternative approach like in regular dropout, where we divide the activation by  $p_l$  during training only.

All  $p_l$  can be set to a constant, but more effective approach is to utilize a simple linear decay  $p_l=1-\frac{l}{L}(1-p_L)$ , where  $p_L$  is the final probability of the last layer, motivated by the intuition that the initial blocks extract low-level features utilized by the later layers, and should therefore be present.

### Deep Networks with Stochastic Depth





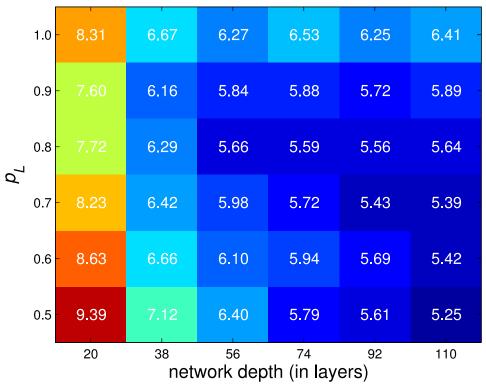


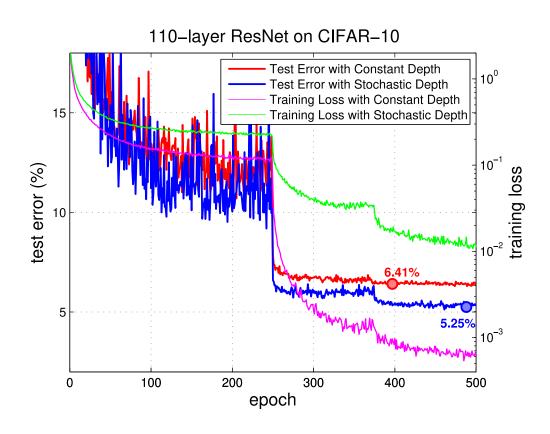
Figure 8 of "Deep Networks with Stochastic Depth", https://arxiv.org/abs/1603.09382

According to the ablation experiments, linear decay with  $p_L=0.5$  was selected.

NPFL138, Lecture 5

### Deep Networks with Stochastic Depth





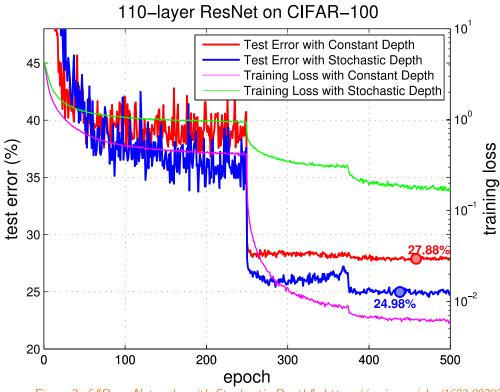


Figure 3 of "Deep Networks with Stochastic Depth", https://arxiv.org/abs/1603.09382

#### Cutout



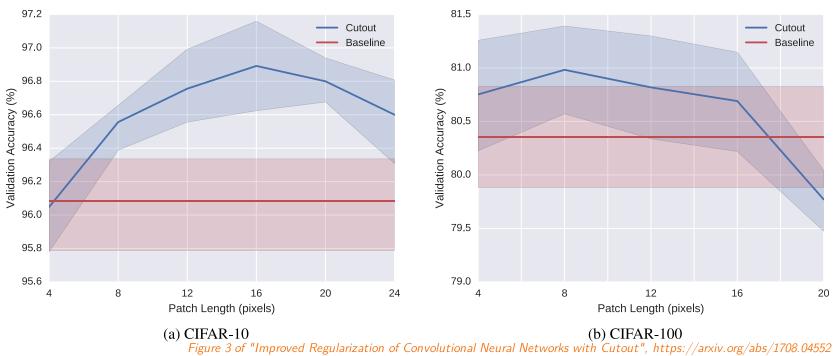


Figure 1 of "Improved Regularization of Convolutional Neural Networks with Cutout", https://arxiv.org/abs/1708.04552

Drop 16 imes 16 square in the input image, with randomly chosen center. The pixels are replaced by their mean value from the dataset.

## Cutout





Method	C10	C10+	C100	C100+	SVHN
ResNet18 [5]	$10.63 \pm 0.26$	$4.72 \pm 0.21$	$36.68 \pm 0.57$	$22.46 \pm 0.31$	-
ResNet18 + cutout	$9.31 \pm 0.18$	$3.99 \pm 0.13$	$34.98 \pm 0.29$	$21.96 \pm 0.24$	-
WideResNet [22]	$6.97 \pm 0.22$	$3.87 \pm 0.08$	$26.06 \pm 0.22$	$18.8 \pm 0.08$	$1.60 \pm 0.05$
WideResNet + cutout	$\boldsymbol{5.54 \pm 0.08}$	$3.08 \pm 0.16$	$23.94 \pm 0.15$	$18.41 \pm 0.27$	$1.30 \pm 0.03$
Shake-shake regularization [4]	-	2.86	-	15.85	-
Shake-shake regularization + cutout	_	$\boldsymbol{2.56 \pm 0.07}$	_	$15.20 \pm 0.21$	_

Table 1 of "Improved Regularization of Convolutional Neural Networks with Cutout", https://arxiv.org/abs/1708.04552



Dropout drops individual values, Spatial Dropout drops whole channels, Drop Block drops rectangular areas in all channels at the same time.

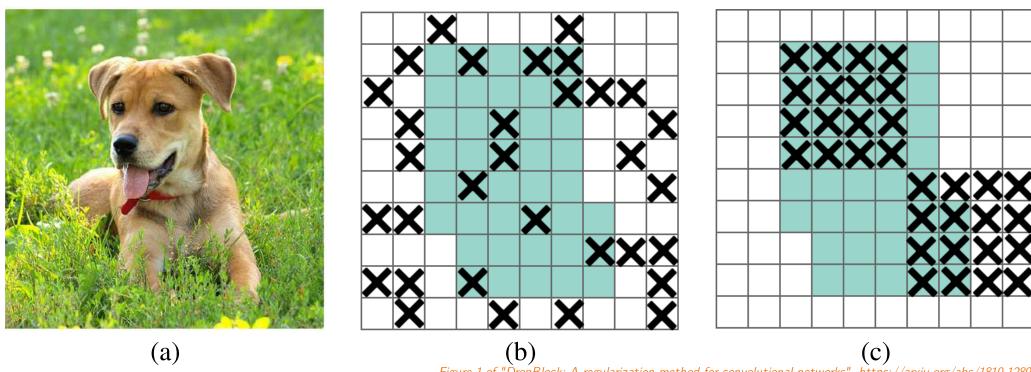


Figure 1 of "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890

The authors mention that they also tried applying DropBlock in every channel separately, but that masking all channels equally "tends to work better in our experiments".



#### **Algorithm 1** DropBlock

- 1: **Input:** output activations of a layer (A),  $block\_size$ ,  $\gamma$ , mode
- 2: **if** mode == Inference **then**
- 3: return A
- 4: **end if**
- 5: Randomly sample mask  $M: M_{i,j} \sim Bernoulli(\gamma)$
- 6: For each zero position  $M_{i,j}$ , create a spatial square mask with the center being  $M_{i,j}$ , the width, height being  $block\_size$  and set all the values of M in the square to be zero (see Figure 2).
- 7: Apply the mask:  $A = A \times M$
- 8: Normalize the features:  $A = A \times \mathbf{count}(M)/\mathbf{count\_ones}(M)$

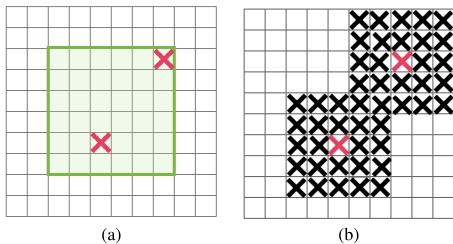


Figure 2 of "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890



The authors have chosen block size=7 and also employ linear schedule of the keep probability, which starts at 1 and linearly decays until the target value is reached at the end of training.

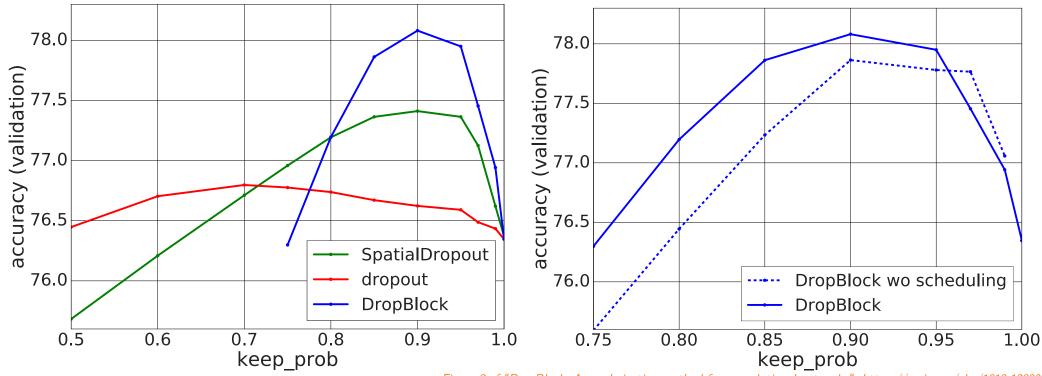


Figure 3 of "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890



Model	top-1(%)	top-5(%)
ResNet-50	$76.51 \pm 0.07$	$93.20 \pm 0.05$
ResNet-50 + dropout (kp=0.7) [1]	$76.80 \pm 0.04$	$93.41 \pm 0.04$
ResNet-50 + DropPath (kp=0.9) [17]	$77.10 \pm 0.08$	$93.50 \pm 0.05$
ResNet-50 + SpatialDropout (kp=0.9) [20]	$77.41 \pm 0.04$	$93.74 \pm 0.02$
ResNet-50 + Cutout [23]	$76.52 \pm 0.07$	$93.21 \pm 0.04$
ResNet-50 + AutoAugment [27]	77.63	93.82
ResNet-50 + label smoothing (0.1) [28]	$77.17 \pm 0.05$	$93.45 \pm 0.03$
ResNet-50 + DropBlock, (kp=0.9)	$78.13 \pm 0.05$	$94.02 \pm 0.02$
ResNet-50 + DropBlock (kp=0.9) + label smoothing (0.1)	$78.35 \pm 0.05$	$94.15 \pm 0.03$

Table 1 of "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890

The results are averages of three runs.

#### **CutMix**



Image	ResNet-50	Mixup [48]	Cutout [3]	CutMix
Label	Dog 1.0	Dog 0.5 Cat 0.5	Dog 1.0	Dog 0.6 Cat 0.4
ImageNet	76.3	77.4	77.1	78.6
Cls (%)	(+0.0)	(+1.1)	(+0.8)	(+2.3)
ImageNet Loc (%)	46.3	45.8	46.7	47.3
	(+0.0)	(-0.5)	(+0.4)	(+1.0)
Pascal VOC	75.6	73.9	75.1	76.7
Det (mAP)	(+0.0)	(-1.7)	(-0.5)	(+1.1)

Figure 1 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable Features", https://arxiv.org/abs/1905.04899

#### To perform CutMix:

- ullet First we sample  $\lambda$  uniformly from (0,1).
- We sample bounding box center uniformly.
- Width and height are set to  $W\sqrt{\lambda}$ ,  $H\sqrt{\lambda}$ .
- Labels are combined as  $\lambda y_A + (1-\lambda)y_B$ .

Model	# Params	Top-1 Err (%)	Top-5 Err (%)
ResNet-152*	60.3 M	21.69	5.94
ResNet-101 + SE Layer* [15]	49.4 M	20.94	5.50
ResNet-101 + GE Layer* [14]	58.4 M	20.74	5.29
ResNet-50 + SE Layer* [15]	28.1 M	22.12	5.99
ResNet-50 + GE Layer* [14]	33.7 M	21.88	5.80
ResNet-50 (Baseline)	25.6 M	23.68	7.05
ResNet-50 + Cutout [3]	25.6 M	22.93	6.66
ResNet-50 + StochDepth [17]	25.6 M	22.46	6.27
ResNet- $50 + Mixup [48]$	25.6 M	22.58	6.40
ResNet-50 + Manifold Mixup [42]	25.6 M	22.50	6.21
ResNet-50 + DropBlock* [8]	25.6 M	21.87	5.98
ResNet-50 + Feature CutMix	25.6 M	21.80	6.06
ResNet-50 + CutMix	25.6 M	21.40	5.92

Table 3 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable Features", https://arxiv.org/abs/1905.04899

Model	# Params	Top-1 Err (%)	Top-5 Err (%)	
ResNet-101 (Baseline) [12]	44.6 M	21.87	6.29	
ResNet-101 + Cutout [3]	44.6 M	20.72	5.51	
ResNet-101 + Mixup [48]	44.6 M	20.52	5.28	
ResNet-101 + CutMix	44.6 M	20.17	5.24	
ResNeXt-101 (Baseline) [45]	44.1 M	21.18	5.57	
ResNeXt-101 + CutMix	44.1 M	19.47	5.03	

Table 4 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable Features", https://arxiv.org/abs/1905.04899

#### **CutMix**



PyramidNet-200 ( $\tilde{\alpha}$ =240) (# params: 26.8 M)	Top-1 Err (%)	Top-5 Err (%)
Baseline	16.45	3.69
+ StochDepth [17]	15.86	3.33
+ Label smoothing ( $\epsilon$ =0.1) [38]	16.73	3.37
+ Cutout [3]	16.53	3.65
+ Cutout + Label smoothing ( $\epsilon$ =0.1)	15.61	3.88
+ DropBlock [8]	15.73	3.26
+ DropBlock + Label smoothing ( $\epsilon$ =0.1)	15.16	3.86
+ Mixup ( $\alpha$ =0.5) [48]	15.78	4.04
+ Mixup ( $\alpha$ =1.0) [48]	15.63	3.99
+ Manifold Mixup ( $\alpha$ =1.0) [42]	16.14	4.07
+ Cutout + Mixup ( $\alpha$ =1.0)	15.46	3.42
+ Cutout + Manifold Mixup ( $\alpha$ =1.0)	15.09	3.35
+ ShakeDrop [46]	15.08	2.72
+ CutMix	14.47	2.97
+ CutMix + ShakeDrop [46]	13.81	2.29

Table 5: Comparison of state-of-the-art regularization methods on CIFAR-100.

Table 5 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable "Features", https://arxiv.org/abs/1905.04899

PyramidNet-200 ( $\tilde{\alpha}$ =240)	Top-1 Error (%)
Baseline	3.85
+ Cutout	3.10
+ Mixup ( $\alpha$ =1.0)	3.09
+ Manifold Mixup ( $\alpha$ =1.0)	3.15
+ CutMix	2.88

Table 7: Impact of CutMix on CIFAR-10.

Table 7 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable Features", https://arxiv.org/abs/1905.04899

#### In the following, $\lambda \sim \mathrm{Beta}(\alpha, \alpha)$ .

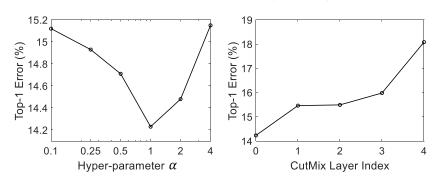


Figure 3: Impact of  $\alpha$  and CutMix layer depth on CIFAR-100 top-1 error.

Figure 3 of "CutMix: Regularization Strategy to Train Strong Classifiers with Localizable Features", https://arxiv.org/abs/1905.04899

## Squeeze and Excitation



The ILSVRC 2017 winner was SENet, Squeeze and Excitation Network, augmenting existing architectures by a **squeeze and excitation** block, which learns to emphasise informative channels and suppress less useful ones according to global information.

- squeeze (global information embedding) computes the average value of every channel;
- excitation (adaptive recalibration) computes a weight for every channel using a sigmoid activation function and multiplies the corresponding channel with it. To not increase the number of parameters too much (by  $C^2$ ), an additional small hidden layer with C/16

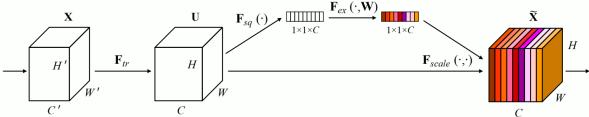


Figure 1 of "Squeeze-and-Excitation Networks", https://arxiv.org/abs/1709.01507

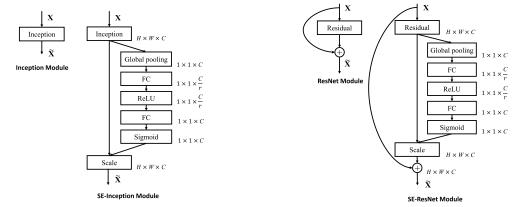


Fig. 2. The schema of the original Inception module (left) and the SE-Fig. 3. The schema of the original Residual module (left) and the SE-Inception module (right). ResNet module (right).

Figure 2 of "Squeeze-and-Excitation Networks", https://arxiv.org/abs/1709.01507

neurons is employed (to reduce the additional parameters to  $C^2/8$  only).

#### Mobile Inverted Bottleneck Convolution



When designing convolutional neural networks for mobile phones, the following **mobile** inverted bottleneck block was proposed.

- Regular convolution is replaced by separable convolution, which consists of
  - a depthwise separable convolution (for example  $3 \times 3$ ) acting on each channel separately (which reduces time
  - Figure 3 of "MobileNetV2: Inverted Residuals and Linear Bottlenecks", https://arxiv.org/abs/1801.04381 and space complexity of a regular convolution by a factor equal to the number of channels);
  - a **pointwise** 1 imes 1 convolution acting on each position independently (which reduces time and space complexity of a regular convolution by a factor of  $3 \cdot 3$ ).
- The residual connections connect bottlenecks (layers with least channels).
- There is no nonlinear activation on the bottlenecks (it would lead to loss of information given small capacity of bottlenecks).



(a) Residual block

(b) Inverted residual block

#### Mobile Inverted Bottleneck Convolution



The mobile inverted bottleneck convolution is denoted for example as  $MBConv6\ k3x3$ , where the 6 denotes expansion factor after the bottleneck and  $3\times3$  is the kernel size of the separable convolution.

Furthermore, the mobile inverted bottleneck convolution can be augmented with squeeze and excitation blocks.

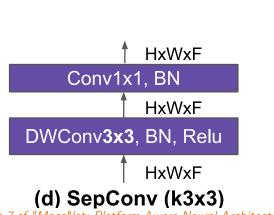


Figure 7 of "MnasNet: Platform-Aware Neural Architecture Search for Mobile", https://arxiv.org/abs/1807.11626

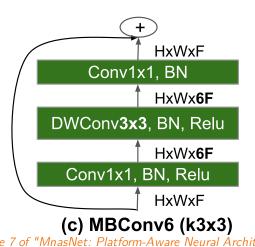


Figure 7 of "MnasNet: Platform-Aware Neural Architecture Search for Mobile", https://arxiv.org/abs/1807.11626

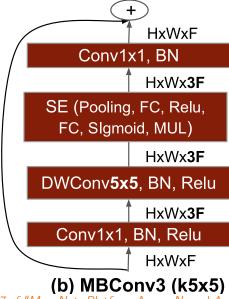


Figure 7 of "MnasNet: Platform-Aware Neural Architecture Search for Mobile", https://arxiv.org/abs/1807.11626

#### **EfficientNet**



In 2019, very performant and efficient convolutional architecture **EfficientNet** was proposed.

The EfficientNet architecture was created using a multi-objective neural architecture search that – optimized both accuracy and computation complexity.

The resulting network is denoted as **EfficientNet-B0** baseline network.

It was trained using RMSProp with  $\beta$ =0.9 and momentum 0.9, weight decay 1e-5, and initial learning rate 0.256 decayed by 0.97 every 2.4

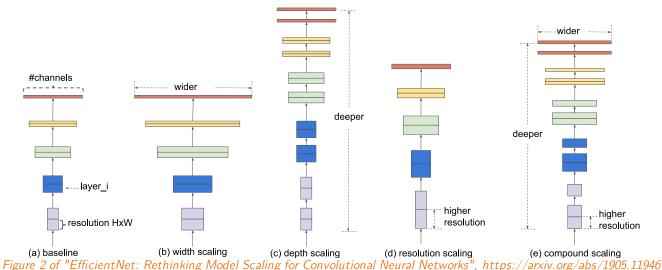
Stage i	Operator $\hat{\mathcal{F}}_i$	Resolution $\hat{H}_i \times \hat{W}_i$	#Channels $\hat{C}_i$	#Layers $\hat{L}_i$
1	Conv3x3	$224 \times 224$	32	1
2	MBConv1, k3x3	$112 \times 112$	16	1
3	MBConv6, k3x3	$112 \times 112$	24	2
4	MBConv6, k5x5	$56 \times 56$	40	2
5	MBConv6, k3x3	$28 \times 28$	80	3
6	MBConv6, k5x5	$14 \times 14$	112	3
7	MBConv6, k5x5	$14 \times 14$	192	4
8	MBConv6, k3x3	$7 \times 7$	320	1
9	Conv1x1 & Pooling & FC	$7 \times 7$	1280	1

Table 1 of "EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks", https://arxiv.org/abs/1905.11946

epochs. Dropout with dropout rate 0.2 is used on the last layer, stochastic depth with survival probability 0.8 is employed, and  $\mathrm{swish}(\boldsymbol{x}) \stackrel{\text{def}}{=} \boldsymbol{x} \odot \sigma(\boldsymbol{x})$  activation function is utilized.

#### **EfficientNet – Compound Scaling**





To effectively scale the network, the authors propose a simultaneous increase of three qualities:

- width, which is the number of channels;
- **depth**, which is the number of layers;
- **resolution**, which is the input image resolution.

By a grid search on a network with double computation complexity, the best trade-off of scaling width by 1.1, depth by 1.2 and resolution by 1.15 was found  $(1.1^2 \cdot 1.2 \cdot 1.15^2 \approx 2)$ .

## **EfficientNet – Results**

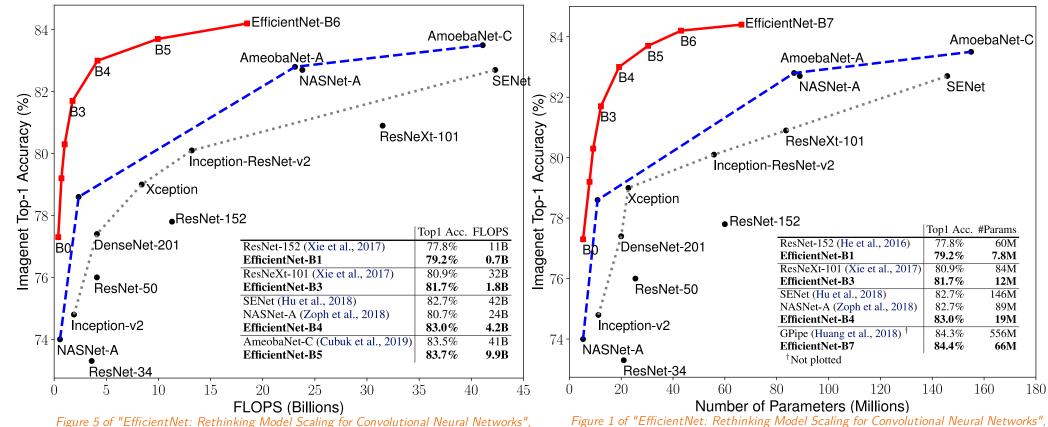


Model	Top-1 Acc.	Top-5 Acc.	#Params	Ratio-to-EfficientNet	#FLOPS	Ratio-to-EfficientNet
EfficientNet-B0	77.3%	93.5%	5.3M	1x	0.39B	1x
ResNet-50 (He et al., 2016)	76.0%	93.0%	26M	4.9x	4.1B	11x
DenseNet-169 (Huang et al., 2017)	76.2%	93.2%	14M	2.6x	3.5B	8.9x
EfficientNet-B1	79.2%	94.5%	7.8M	1x	0.70B	1x
ResNet-152 (He et al., 2016)	77.8%	93.8%	60M	7.6x	11B	16x
DenseNet-264 (Huang et al., 2017)	77.9%	93.9%	34M	4.3x	6.0B	8.6x
Inception-v3 (Szegedy et al., 2016)	78.8%	94.4%	24M	3.0x	5.7B	8.1x
Xception (Chollet, 2017)	79.0%	94.5%	23M	3.0x	8.4B	12x
EfficientNet-B2	80.3%	95.0%	9.2M	1x	1.0B	1x
Inception-v4 (Szegedy et al., 2017)	80.0%	95.0%	48M	5.2x	13B	13x
Inception-resnet-v2 (Szegedy et al., 2017)	80.1%	95.1%	56M	6.1x	13B	13x
EfficientNet-B3	81.7%	95.6%	12M	1x	1.8B	1x
ResNeXt-101 (Xie et al., 2017)	80.9%	95.6%	84M	7.0x	32B	18x
PolyNet (Zhang et al., 2017)	81.3%	95.8%	92M	7.7x	35B	19x
EfficientNet-B4	83.0%	96.3%	19M	1x	4.2B	1x
SENet (Hu et al., 2018)	82.7%	96.2%	146M	7.7x	42B	10x
NASNet-A (Zoph et al., 2018)	82.7%	96.2%	89M	4.7x	24B	5.7x
AmoebaNet-A (Real et al., 2019)	82.8%	96.1%	87M	4.6x	23B	5.5x
PNASNet (Liu et al., 2018)	82.9%	96.2%	86M	4.5x	23B	6.0x
EfficientNet-B5	83.7%	96.7%	30M	1x	9.9B	1x
AmoebaNet-C (Cubuk et al., 2019)	83.5%	96.5%	155M	5.2x	41B	4.1x
EfficientNet-B6	84.2%	96.8%	43M	1x	19B	1x
EfficientNet-B7	84.4%	97.1%	66M	1x	37B	1x
GPipe (Huang et al., 2018)	84.3%	97.0%	557M	8.4x	-	-

Table 2 of "EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks", https://arxiv.org/abs/1905.11946

#### EfficientNet - Results





https://arxiv.org/abs/1905.11946

https://arxiv.org/abs/1905.11946

#### EfficientNetV2



In April 2021, an improved version of EfficientNet, **EfficientNetV2**, was published. It is currently one of very good CNNs available for image recognition.

The improvements between EfficientNet and EfficientNetV2 are not large:

- The separable convolutions have fewer parameters, but are slow to execute on modern hardware. The authors therefore "fuse" the  $1 \times 1$  convolution and a  $3 \times 3$  depthwise convolution into a regular convolution, which has more parameters and require more computation, but is in fact executed faster.
- Very large images make training very slow. EfficientNetV2 avoids aggressively scaling the image sizes, limiting maximum image size to 480.
- The authors utilize progressive training the image size is gradually increased during training, as is the regularization strength (dropout, mixup, RandAugment magnitude).

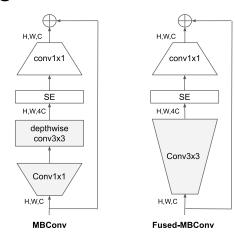


Figure 2. Structure of MBConv and Fused-MBConv. Figure 2 of "EfficientNetV2: Smaller Models and Faster Training", https://arxiv.org/abs/2104.00298

#### EfficientNetV2 - Architecture



*Table 4.* EfficientNetV2-S architecture – MBConv and Fused-MBConv blocks are described in Figure 2.

Stage	Operator	Stride	#Channels	#Layers
0	Conv3x3	2	24	1
1	Fused-MBConv1, k3x3	1	24	2
2	Fused-MBConv4, k3x3	2	48	4
3	Fused-MBConv4, k3x3	2	64	4
4	MBConv4, k3x3, SE0.25	2	128	6
5	MBConv6, k3x3, SE0.25	1	160	9
6	MBConv6, k3x3, SE0.25	2	256	15
7	Conv1x1 & Pooling & FC	_	1280	1

Stage i	Operator $\hat{\mathcal{F}}_i$	Resolution $\hat{H}_i \times \hat{W}_i$	#Channels $\hat{C}_i$	$\left  egin{array}{l} \# { m Layers} \ \hat{L}_i \end{array}  ight $
1	Conv3x3	$224 \times 224$	32	1
2	MBConv1, k3x3	$112 \times 112$	16	1
3	MBConv6, k3x3	$112 \times 112$	24	2
4	MBConv6, k5x5	$56 \times 56$	40	2
5	MBConv6, k3x3	$28 \times 28$	80	3
6	MBConv6, k5x5	$14 \times 14$	112	3
7	MBConv6, k5x5	$14 \times 14$	192	4
8	MBConv6, k3x3	$7 \times 7$	320	1
9	Conv1x1 & Pooling & FC	$7 \times 7$	1280	1

Table 4 of "EfficientNetV2: Smaller Models and Faster Training", https://arxiv.org/abs/2104.00298

Table 1 of "EfficientNet: Rethinking Model Scaling for Convolutional Neural Networks", https://arxiv.org/abs/1905.11946

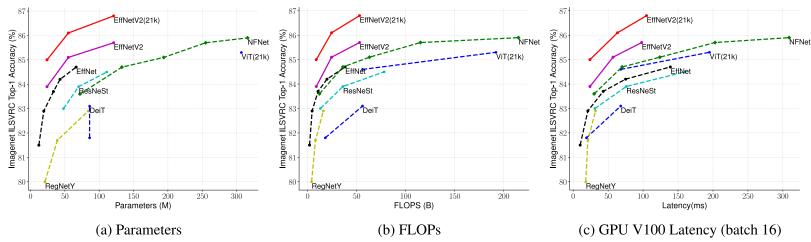


Figure 5. Model Size, FLOPs, and Inference Latency – Latency is measured with batch size 16 on V100 GPU. 21k denotes pretrained on ImageNet21k images, others are just trained on ImageNet ILSVRC2012. Our EfficientNetV2 has slightly better parameter efficiency with EfficientNet, but runs 3x faster for inference.

Figure 5 of "EfficientNetV2: Smaller Models and Faster Training", https://arxiv.org/abs/2104.00298

## EfficientNetV2 - Results



	Model	Top-1 Acc.	Params	FLOPs	Infer-time(ms)	Train-time (hours)
	EfficientNet-B3 (Tan & Le, 2019a)	81.5%	12M	1.9B	19	10
	EfficientNet-B4 (Tan & Le, 2019a)	82.9%	19M	4.2B	30	21
	EfficientNet-B5 (Tan & Le, 2019a)	83.7%	30M	10B	60	43
	EfficientNet-B6 (Tan & Le, 2019a)	84.3%	43M	19B	97	75
	EfficientNet-B7 (Tan & Le, 2019a)	84.7%	66M	38B	170	139
	RegNetY-8GF (Radosavovic et al., 2020)	81.7%	39M	8B	21	-
	RegNetY-16GF (Radosavovic et al., 2020)	82.9%	84M	16B	32	-
	ResNeSt-101 (Zhang et al., 2020)	83.0%	48M	13B	31	-
	ResNeSt-200 (Zhang et al., 2020)	83.9%	70M	36B	76	-
	ResNeSt-269 (Zhang et al., 2020)	84.5%	111M	78B	160	-
ConvNets	TResNet-L (Ridnik et al., 2020)	83.8%	56M	_	45	-
& Hybrid	TResNet-XL (Ridnik et al., 2020)	84.3%	78M	_	66	-
	EfficientNet-X (Li et al., 2021)	84.7%	73M	91B	-	-
	NFNet-F0 (Brock et al., 2021)	83.6%	72M	12B	30	8.9
	NFNet-F1 (Brock et al., 2021)	84.7%	133M	36B	70	20
	NFNet-F2 (Brock et al., 2021)	85.1%	194M	63B	124	36
	NFNet-F3 (Brock et al., 2021)	85.7%	255M	115B	203	65
	NFNet-F4 (Brock et al., 2021)	85.9%	316M	215B	309	126
	LambdaResNet-420-hybrid (Bello, 2021)	84.9%	125M	_	-	67
	BotNet-T7-hybrid (Srinivas et al., 2021)	84.7%	75M	46B	-	95
	BiT-M-R152x2 (21k) (Kolesnikov et al., 2020)	85.2%	236M	135B	500	-
	ViT-B/32 (Dosovitskiy et al., 2021)	73.4%	88M	13B	13	-
	ViT-B/16 (Dosovitskiy et al., 2021)	74.9%	87M	56B	68	-
	DeiT-B (ViT+reg) (Touvron et al., 2021)	81.8%	86M	18B	19	-
Vision	DeiT-B-384 (ViT+reg) (Touvron et al., 2021)	83.1%	86M	56B	68	-
Transformers	T2T-ViT-19 (Yuan et al., 2021)	81.4%	39M	8.4B	=	-
	T2T-ViT-24 (Yuan et al., 2021)	82.2%	64M	13B	=	-
	ViT-B/16 (21k) (Dosovitskiy et al., 2021)	84.6%	87M	56B	68	-
	ViT-L/16 (21k) (Dosovitskiy et al., 2021)	85.3%	304M	192B	195	172
	EfficientNetV2-S	83.9%	22M	8.8B	24	7.1
	EfficientNetV2-M	85.1%	54M	24B	57	13
ConvNets	EfficientNetV2-L	85.7%	120M	53B	98	24
(ours)	EfficientNetV2-S (21k)	84.9%	22M	8.8B	24	9.0
	EfficientNetV2-M (21k)	86.2%	54M	24B	57	15
	EfficientNetV2-L (21k)	86.8%	120M	53B	98	26
	EfficientNetV2-XL (21k)	87.3%	208M	94B	-	45

Table 7 of "EfficientNetV2: Smaller Models and Faster Training", https://arxiv.org/abs/2104.00298

#### **Transfer Learning**



In many situations, we would like to utilize a model trained on a different dataset – generally, this cross-dataset usage is called **transfer learning**.

In image processing, models trained on ImageNet are frequently used as general **feature** extraction models.

The easiest scenario is to take a ImageNet model, drop the last classification layer, and use the result of the global average pooling as image features. The ImageNet model is not modified during training.

For efficiency, we may precompute the image features once and reuse it later many times.

## **Transfer Learning – Finetuning**



After we have successfully trained a network employing an ImageNet model, we may improve performance further by **finetuning** – training the full network including the ImageNet model, allowing the feature extraction to adapt to the current dataset.

- The layers after the ImageNet models **should** be already trained to convergence.
- Usually a smaller learning rate is necessary, because the original model probably finished training with a very small learning rate. A good starting point is one tenth of the original starting learning rate (therefore, 0.0001 for Adam).
- We have to think about batch normalization, data augmentation, or other regularization techniques.

#### **Transposed Convolution**



So far, the convolution operation produces either an output of the same size, or it produced a smaller one if stride was larger than one.

In order to come up with **upscaling convolution**, we start by considering how a gradient is backpropagated through a fully connected layer and a regular convolution.

In a fully connected layer without activation:

- ullet during the forward pass, the input  $oldsymbol{X}$  is multiplied by the weight matrix  $oldsymbol{W}$  as  $oldsymbol{X}oldsymbol{W}$ ;
- ullet during the backward pass, the gradient  $oldsymbol{G}$  is multiplied by the *transposed* weight matrix as  $oldsymbol{GW}^T$ .

## **Transposed Convolution**



Analogously, in a convolutional layer without activation:

ullet during the forward pass, the cross-correlation operation between input I and kernel K is performed as

$$(\mathsf{K}\star\mathsf{I})_{i,j,o} = \sum_{m,n,c} \mathsf{I}_{i\cdot S+m,j\cdot S+n,c} \mathsf{K}_{m,n,c,o};$$

• during the backward pass, we obtain  $G_{i,j,o}=\frac{\partial L}{\partial (\mathsf{K}\star\mathsf{I})_{i,j,o}}$ , and we need to backpropagate it to obtain  $\frac{\partial L}{\partial \mathsf{I}_{i,j,c}}$ . It is not difficult to show that

$$rac{\partial L}{\partial \mathsf{I}_{i,j,c}} = \sum_{\substack{i',m \ i'\cdot S+m=i \ j'\cdot S+n=j}} \sum_{o} \mathsf{G}_{i',j',o} \mathsf{K}_{m,n,c,o}.$$

This operation is called **transposed** or **upscaling** convolution and stride greater than one makes the output larger, not smaller.

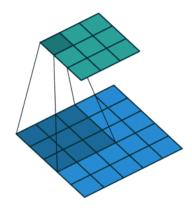
NPFL138, Lecture 5

## **Transposed Convolution Animation**

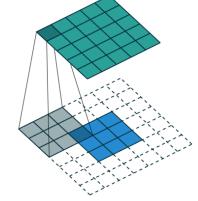


Illustration of the padding schemes and different strides for a  $3 \times 3$  kernel.

• **valid**, stride=1, regular:

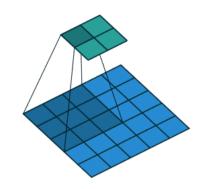


https://github.com/vdumoulin/conv arithmetic

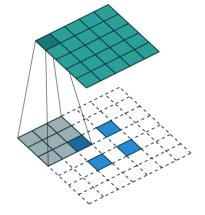


https://github.com/vdumoulin/conv\_arithmetic

• valid, stride=2, regular:



https://github.com/vdumoulin/conv\_arithmetic



https://github.com/vdumoulin/conv\_arithmetic

transposed:

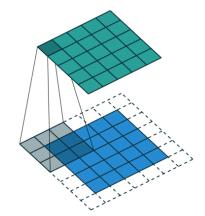
transposed:

## **Transposed Convolution Animation**

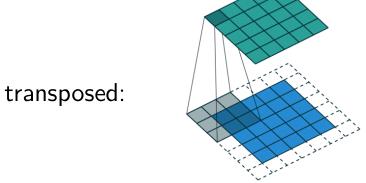


Illustration of the padding schemes and different strides for a 3 imes 3 kernel.

• **same**, stride=1, regular:

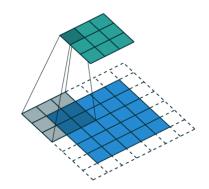


https://github.com/vdumoulin/conv\_arithmetic

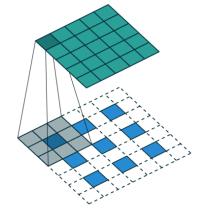


https://github.com/vdumoulin/conv\_arithmetic

• **same**, stride=2, regular:



https://github.com/vdumoulin/conv\_arithmetic



https://github.com/vdumoulin/conv\_arithmetic

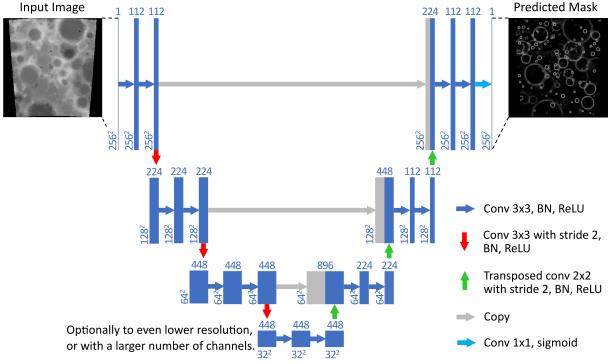
transposed:

#### **Transposed Convolution**



Given that the transposed convolution must be implemented for efficient backpropagation of a regular convolution, it is usually available for direct usage in neural network frameworks.

It is frequently used to perform upscaling of an image, as an "inverse" operation to pooling (or convolution with stride > 1), which is useful for example in *image segmentation*:



Modification of Figure 2 of "Lunar Crater Identification via Deep Learning", https://arxiv.org/abs/1803.02192