## NPFL114, Lecture 5



# **Convolutional Neural Networks II**

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unless otherwise stated

## **Designing and Training Neural Networks**



Designing and training a neural network is not a one-shot action, but instead an iterative procedure.

- When choosing hyperparameters, it is important to verify that the model does not underfit and does not overfit.
- Underfitting can be checked by increasing model capacity or training longer.
- Overfitting can be tested by observing train/dev difference and by trying stronger regularization.

Specifically, this implies that:

- We need to set number of training epochs so that training loss/performance no longer increases at the end of training.
- Generally, we want to use a large batchsize that does not slow us down too much (GPUs sometimes allow larger batches without slowing down training). However, with increasing batch size we need to increase learning rate, which is possible only to some extent. Also, small batch size sometimes work as regularization (especially for vanilla SGD algorithm).

## **Loading and Saving Models**

- Using tf.keras.Model.save, both the architecture and model weights are saved. But saving the architecture is currently quite brittle:
  - $^{\odot}$  tf.keras.layers.InputLayer does not work correctly
  - object losses (inherited from tf.losses.Loss) cannot be loaded
  - TensorFlow specific functions (not in tf.keras.layers) works only sometimes
     ...

Of course, the bugs are being fixed.

• Using tf.keras.Model.save\_weights, only the weights of the model are saved. If the model is constructed again by the script (which usually required specifying the same hyperparameters as during model training), weights can be loaded using tf.keras.Model.load\_weights.

## Main Takeaways From Previous Lecture

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- Convolutions can provide
  - $\circ~$  local interactions in spacial/temporal dimensions
  - $^{\circ}$  shift invariance
  - $\circ~$  much less parameters than a fully connected layer
- Usually repeated 3 imes 3 convolutions are enough, no need for larger filter sizes.
- When pooling is performed, double number of channels.
- Final fully connected layers are not needed, global average pooling is usually enough.
- Batch normalization is a great regularization method for CNNs.





Figure 1. Training error (left) and test error (right) on CIFAR-10 with 20-layer and 56-layer "plain" networks. The deeper network has higher training error, and thus test error. Similar phenomena on ImageNet is presented in Fig. 4.

Figure 1 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.

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# Figure 2. Residual learning: a building block.

Figure 2 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.

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ResNet Modifications



Figure 5. A deeper residual function  $\mathcal{F}$  for ImageNet. Left: a building block (on 56×56 feature maps) as in Fig. 3 for ResNet-34. Right: a "bottleneck" building block for ResNet-50/101/152.

Figure 5 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.

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layer name	output size	18-layer	34-layer	50-layer	101-layer	152-layer
conv1	112×112			7×7, 64, stride 2	2	
				$3 \times 3$ max pool, stric	le 2	
conv2_x	56×56	$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3, 64\\ 3\times3, 64\end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 64 \\ 3 \times 3, 64 \\ 1 \times 1, 256 \end{bmatrix} \times 3$
conv3_x	28×28	$\left[\begin{array}{c} 3\times3,128\\ 3\times3,128\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,128\\ 3\times3,128\end{array}\right]\times4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 4$	$\left[\begin{array}{c}1\times1,128\\3\times3,128\\1\times1,512\end{array}\right]\times4$	$\begin{bmatrix} 1 \times 1, 128 \\ 3 \times 3, 128 \\ 1 \times 1, 512 \end{bmatrix} \times 8$
conv4_x	14×14	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,256\\ 3\times3,256\end{array}\right]\times6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 6$	$\begin{bmatrix} 1 \times 1, 256 \\ 3 \times 3, 256 \\ 1 \times 1, 1024 \end{bmatrix} \times 23$	$\begin{bmatrix} 1 \times 1, 256\\ 3 \times 3, 256\\ 1 \times 1, 1024 \end{bmatrix} \times 36$
conv5_x	7×7	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512\end{array}\right]\times2$	$\left[\begin{array}{c} 3\times3,512\\ 3\times3,512\end{array}\right]\times3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$	$\begin{bmatrix} 1 \times 1, 512 \\ 3 \times 3, 512 \\ 1 \times 1, 2048 \end{bmatrix} \times 3$
	1×1		ave	erage pool, 1000-d fc,	softmax	
FLO	OPs	$1.8 \times 10^9$	$3.6 \times 10^9$	$3.8 \times 10^9$	$7.6 \times 10^9$	$11.3 \times 10^9$

Table 1 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.





The residual connections cannot be applied directly when number of channels increase.

The authors considered several alternatives, and chose the one where in case of channels increase a  $1 \times 1$  convolution is used on the projections to match the required number of channels.

Figure 3 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.

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Figure 4. Training on **ImageNet**. Thin curves denote training error, and bold curves denote validation error of the center crops. Left: plain networks of 18 and 34 laye s. Right: ResNets of 18 and 34 layers. In this plot, the residual networks have no extra parameter compared to their plain counterparts.

Figure 4 of paper "Deep Residual Learning for Image Recognition", https://arxiv.org/abs/1512.03385.

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ResNet Modifications





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**ResNet Modifications** 

CNN Regularization

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method	top-1 err.	top-5 err.
VGG [41] (ILSVRC'14)	-	8.43 <sup>†</sup>
GoogLeNet [44] (ILSVRC'14)	-	7.89
VGG [41] (v5)	24.4	7.1
PReLU-net [13]	21.59	5.71
BN-inception [16]	21.99	5.81
ResNet-34 B	21.84	5.71
ResNet-34 C	21.53	5.60
ResNet-50	20.74	5.25
ResNet-101	19.87	4.60
ResNet-152	19.38	4.49

method	top-5 err. (test)
VGG [41] (ILSVRC'14)	7.32
GoogLeNet [44] (ILSVRC'14)	6.66
VGG [41] (v5)	6.8
PReLU-net [13]	4.94
BN-inception [16]	4.82
ResNet (ILSVRC'15)	3.57

Table 5. Error rates (%) of **ensembles**. The top-5 error is on the test set of ImageNet and reported by the test server.

Table 5 of paper "Deep Residual Learning for Image Recognition",<br/>https://arxiv.org/abs/1512.03385.

Table 4. Error rates (%) of **single-model** results on the ImageNet validation set (except  $\dagger$  reported on the test set).

Table 4 of paper "Deep Residual Learning for Image Recognition",<br/>https://arxiv.org/abs/1512.03385.



Figure 1: Various residual blocks used in the paper. Batch normalization and ReLU precede each convolution (omitted for clarity)

Figure 1 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

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• Authors do not consider bottleneck blocks. Instead, they experiment with different *block types*, e.g., B(1,3,1) of B(3,3)

block type	depth	# params	time,s	CIFAR-10
B(1,3,1)	40	1.4M	85.8	6.06
B(3,1)	40	1.2M	67.5	5.78
B(1,3)	40	1.3M	72.2	6.42
B(3, 1, 1)	40	1.3M	82.2	5.86
B(3,3)	28	1.5M	67.5	5.73
B(3,1,3)	22	1.1M	59.9	5.78

Table 2 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

group name	output size	block type = $B(3,3)$
conv1	$32 \times 32$	[3×3, 16]
conv2	32×32	$\left[\begin{array}{c} 3\times3, 16\times k\\ 3\times3, 16\times k \end{array}\right]\times N$
conv3	16×16	$\left[\begin{array}{c} 3\times3, 32\times k\\ 3\times3, 32\times k \end{array}\right]\times N$
conv4	8×8	$\left[\begin{array}{c} 3\times3, 64\times k\\ 3\times3, 64\times k\end{array}\right]\times N$
avg-pool	$1 \times 1$	[8 × 8]

Table 1 of paper "Wide Residual Networks",<br/>https://arxiv.org/abs/1605.07146

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•	Authors	evaluate	various	widening	factors	ķ	ł
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depth	k	# params	CIFAR-10	CIFAR-100
40	1	0.6M	6.85	30.89
40	2	2.2M	5.33	26.04
40	4	8.9M	4.97	22.89
40	8	35.7M	4.66	-
28	10	36.5M	4.17	20.50
28	12	52.5M	4.33	20.43
22	8	17.2M	4.38	21.22
22	10	26.8M	4.44	20.75
16	8	11.0M	4.81	22.07
16	10	17.1M	4.56	21.59

group name	output size	block type = $B(3,3)$
conv1	$32 \times 32$	[3×3, 16]
conv2	32×32	$\left[\begin{array}{c} 3\times3, 16\times k\\ 3\times3, 16\times k\end{array}\right]\times N$
conv3	16×16	$\left[\begin{array}{c} 3\times3, 32\times k\\ 3\times3, 32\times k \end{array}\right]\times N$
conv4	8×8	$\left[\begin{array}{c} 3\times3, 64\times k\\ 3\times3, 64\times k\end{array}\right]\times N$
avg-pool	$1 \times 1$	[8×8]

Table 1 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

Table 4 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

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idua	l block (	but not th	e residual c	onnectio	ı itself)
k	dropout	CIFAR-10	CIFAR-100	SVHN	
4		5.02	24.03	1.85	
4	$\checkmark$	5.24	23.91	1.64	
10		4.00	19.25	_	
10	$\checkmark$	3.89	18.85	-	
1		6.43	29.89	2.08	
1	$\checkmark$	6.28	29.78	1.70	
	idua	idual block ( $\begin{array}{c c} k & \text{dropout} \\ \hline 4 & \checkmark \\ 10 & \\ 10 & \checkmark \\ 1 & \\ 1 & \checkmark \\ \end{array}$	kdropoutCIFAR-104 $\checkmark$ 5.024 $\checkmark$ 5.2410 $\checkmark$ 4.0010 $\checkmark$ 3.891 $\checkmark$ 6.431 $\checkmark$ 6.28	idual block (but not the residual ckdropoutCIFAR-10CIFAR-1004 $\checkmark$ $5.02$ $24.03$ 4 $\checkmark$ $5.24$ $23.91$ 10 $\checkmark$ $4.00$ $19.25$ 10 $\checkmark$ $3.89$ $18.85$ 1 $-6.43$ $29.89$ 1 $\checkmark$ $6.28$ $29.78$	idual block (but not the residual connectionkdropoutCIFAR-10CIFAR-100SVHN4 $\checkmark$ 5.0224.031.854 $\checkmark$ 5.2423.911.6410 $\checkmark$ 4.0019.25-10 $\checkmark$ <b>3.8918.85</b> -1 $\leftarrow$ 6.4329.892.081 $\checkmark$ 6.2829.781.70

Table 6 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

Aш	thors	s measur	e the effec	t of <i>droppi</i>	<i>ng out</i> inside the	group name	output size	block type = $B(3,3)$
* • • •	:dual		but pot th		opportion itself)	conv1	$32 \times 32$	[3×3, 16]
res	iuua	ι διοςκ (	but not th	e residual c	onnection itself)	<b>)</b>	22,222	$\begin{bmatrix} 3 \times 3, 16 \times k \end{bmatrix}_{\sim \mathbf{N}}$
pth	k	dropout	CIFAR-10	CIFAR-100	SVHN	conv2	32×32	$\begin{bmatrix} 3 \times 3, 16 \times k \end{bmatrix}^{\times N}$
6	4		5.02	24.03	1.85	2	16 16	$\begin{bmatrix} 3 \times 3, 32 \times k \end{bmatrix}$
6	4	$\checkmark$	5.24	23.91	1.64	conv3	16×16	$3 \times 3, 32 \times k$ × N
8	10		4.00	19.25	-			$\begin{bmatrix} 3 \times 3 & 64 \times k \end{bmatrix}$
8	10	$\checkmark$	3.89	18.85	-	conv4	8×8	$\begin{vmatrix} 3 \times 3, 0 + \times k \\ 3 \times 3, 64 \times k \end{vmatrix} \times N$
2	1		6.43	29.89	2.08	avg-pool	1 × 1	$[8 \times 8]$
<u>^</u>	1	/	$(\mathbf{a})$	20.70	1 70		INI	[0,1,0]

Segmentation

Table 1 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

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Image Detection

## WideNet – CIFAR Results

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	depth-k	# params	CIFAR-10	CIFAR-100
NIN [20]			8.81	35.67
DSN [ <mark>19</mark> ]			8.22	34.57
FitNet [24]			8.39	35.04
Highway [ <mark>28</mark> ]			7.72	32.39
ELU [ <mark>5</mark> ]			6.55	24.28
original DasNat[11]	110	1.7M	6.43	25.16
	1202	10.2M	7.93	27.82
staa dapth[14]	110	1.7M	5.23	24.58
stoc-depuil[14]	1202	10.2M	4.91	-
	110	1.7M	6.37	-
pre-act-ResNet[13]	164	1.7M	5.46	24.33
	1001	10.2M	4.92(4.64)	22.71
	40-4	8.9M	4.53	21.18
WRN (ours)	16-8	11.0M	4.27	20.43
	28-10	36.5M	4.00	19.25

Table 5 of paper "Wide Residual Networks", https://arxiv.org/abs/1605.07146

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## DenseNet



Figure 2 of paper "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993



Figure 1 of paper "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993

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## **DenseNet – Architecture**



Layers	Output Size	DenseNet-121	DenseNet-169	DenseNet-201	DenseNet-264
Convolution	$112 \times 112$		$7 \times 7 \mathrm{cor}$	nv, stride 2	
Pooling	56 × 56		$3 \times 3 \max p$	pool, stride 2	
Dense Block	56 × 56	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 6}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 6}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 6}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 6}$
(1)	50 × 50	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 0}$
Transition Layer	56 × 56		$1 \times 1$	conv	
(1)	28  imes 28		$2 \times 2$ average	e pool, stride 2	
Dense Block	$28 \times 28$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 12}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 12}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 12}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 12}$
(2)	20 × 20	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 12}$
Transition Layer	28  imes 28		$1 \times 1$	conv	
(2)	$14 \times 14$		$2 \times 2$ average	e pool, stride 2	
Dense Block	$14 \times 14$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix} \sim 24$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 32}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 48}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 64}$
(3)		$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{24}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{32}$	$\left[ 3 \times 3 \text{ conv} \right]^{1}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{1}$
Transition Layer	$14 \times 14$		$1 \times 1$	conv	
(3)	$7 \times 7$		$2 \times 2$ average	e pool, stride 2	
Dense Block		$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix} \times 16$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 32}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 32}$	$\begin{bmatrix} 1 \times 1 \text{ conv} \end{bmatrix}_{\times 48}$
(4)		$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{10}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{32}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{3/2}$	$\begin{bmatrix} 3 \times 3 \text{ conv} \end{bmatrix}^{\times 40}$
Classification	$1 \times 1$		$7 \times 7$ global	average pool	
Layer			1000D fully-cor	nnected, softmax	

Table 1 of paper "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993



Method	Depth	Params	C10	C10+	C100	C100+	SVHN	
Network in Network [22]	-	-	10.41	8.81	35.68	-	2.35	_
All-CNN [32]	-	-	9.08	7.25	-	33.71	-	
Deeply Supervised Net [20]	-	-	9.69	7.97	-	34.57	1.92	
Highway Network [34]	-	-	-	7.72	-	32.39	-	
FractalNet [17]	21	38.6M	10.18	5.22	35.34	23.30	2.01	_
with Dropout/Drop-path	21	38.6M	7.33	4.60	28.20	23.73	1.87	27.5
ResNet [11]	110	1.7M	-	6.61	-	-	-	→ ResNets AResNet-34 → DenseNets-BC 26 F AResNet-34 → DenseNets-BC
ResNet (reported by [13])	110	1.7M	13.63	6.41	44.74	27.22	2.01	
ResNet with Stochastic Depth [13]	110	1.7M	11.66	5.23	37.80	24.58	1.75	¯© 25.5 Ϸ ΔDenseNet−121 Ϸ ΔDenseNet−121
	1202	10.2M	-	4.91	-	-	-	5 24.5 ResNet-50 5 24.5 ResNet-50
Wide ResNet [42]	16	11.0M	-	4.81	-	22.07	-	23.5 DenseNet-169
	28	36.5M	-	4.17	-	20.50	-	DenseNet-201 ResNet-101
with Dropout	16	2.7M	-	-	-	-	1.64	22.5 ResNet-152 22.5 DenseNet-264
ResNet (pre-activation) [12]	164	1.7M	11.26*	5.46	35.58*	24.33	-	21.5 1 2 3 4 5 6 7 8 21.5 1.75 1.75 2 2.25 2.5
	1001	10.2M	10.56*	4.62	33.47*	22.71	-	#parameters $x 10^7$ #flops $x 10^{10}$
DenseNet $(k = 12)$	40	1.0M	7.00	5.24	27.55	24.42	1.79	Figure 3 of paper "Densely Connected Convolutional Networks",
DenseNet $(k = 12)$	100	7.0M	5.77	4.10	23.79	20.20	1.67	nttps://arxiv.org/abs/1008.00993
DenseNet $(k = 24)$	100	27.2M	5.83	3.74	23.42	19.25	1.59	
DenseNet-BC $(k = 12)$	100	0.8M	5.92	4.51	24.15	22.27	1.76	_
DenseNet-BC $(k = 24)$	250	15.3M	5.19	3.62	19.64	17.60	1.74	
DenseNet-BC $(k = 40)$	190	25.6M	-	3.46	-	17.18	-	

Table 2 of paper "Densely Connected Convolutional Networks", https://arxiv.org/abs/1608.06993

Howto ResNet

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## **PyramidNet**





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tion Segme

## **PyramidNet – Growth Rate**





In architectures up until now, number of filters doubled when spacial resolution was halved. Such exponential growth would suggest gradual widening rule  $D_k = \lfloor D_{k-1} \cdot \alpha^{1/N} \rfloor$ . However, the authors employ a linear widening rule  $D_k = \lfloor D_{k-1} + \alpha/N \rfloor$ , where  $D_k$  is number of filters in the k-th out of N convolutional block and  $\alpha$  is number of filters to add in total.

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## **PyramidNet – Residual Connections**

No residual connection can be a real identity – the authors propose to zero-pad missing channels, where the zero-pad channels correspond to newly computed features.



Segmentation



## **PyramidNet – CIFAR Results**

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Network	# of Params	Output Feat. Dim.	Depth	Training Mem.	CIFAR-10	CIFAR-100	]		
NiN [18]	-	-	-	-	8.81	35.68			
All-CNN [27]	-	-	-	-	7.25	33.71			
DSN [17]	-	-	-	-	7.97	34.57			
FitNet [21]	-	-	-	-	8.39	35.04			
Highway [29]	-	-	-	-	7.72	32.39			
Fractional Max-pooling [4]	-	-	-	-	4.50	27.62			
ELU [29]	-	-	-	-	6.55	24.28			
ResNet [7]	1.7M	64	110	547MB	6.43	25.16	ĺ		
ResNet [7]	10.2M	64	1001	2,921MB	-	27.82			
ResNet [7]	19.4M	64	1202	2,069MB	7.93	-	Crown	Output size	Duilding Dlock
Pre-activation ResNet [8]	1.7M	64	164	841MB	5.46	24.33	Group		
Pre-activation ResNet [8]	10.2M	64	1001	2,921MB	4.62	22.71	conv 1	32×32	$\begin{bmatrix} 3 \times 3, 16 \end{bmatrix}$
Stochastic Depth [10]	1.7M	64	110	547MB	5.23	24.58	conv 2	32×32	$\begin{vmatrix} 5 \times 5, \lfloor 10 + \alpha(k-1)/N \rfloor \\ 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \end{vmatrix} \times N_2$
Stochastic Depth [10]	10.2M	64	1202	2,069MB	4.91	-	2	16,16	$3 \times 3,  16 + \alpha(k-1)/N $
FractalNet [14]	38.6M	1,024	21	-	4.60	23.73	conv 5	16×16	$3 \times 3, [16 + \alpha(k-1)/N] \times N_3$
SwapOut v2 (width×4) [26]	7.4M	256	32	-	4.76	22.72	conv 4	8×8	$\begin{vmatrix} 3 \times 3, \lfloor 16 + \alpha(k-1)/N \rfloor \\ 2 \times 2, \lfloor 16 + \alpha(k-1)/N \rfloor \\ \times N_4 \end{vmatrix}$
Wide ResNet (width $\times$ 4) [34]	8.7M	256	40	775MB	4.97	22.89	avg pool	1×1	$\begin{bmatrix} 3 \times 3, \begin{bmatrix} 16 + \alpha(k-1)/N \end{bmatrix} \end{bmatrix}$
Wide ResNet (width $\times 10$ ) [34]	36.5M	640	28	1,383MB	4.17	20.50		1 1 1	
Weighted ResNet [24]	19.1M	64	1192	-	5.10	-	l able .	L of paper "L	Deep Pyramidal Residual Networks" https://arxiv.org/abs/1610.0201
DenseNet $(k = 24)$ [9]	27.2M	2,352	100	4,381MB	3.74	19.25			1010.0291
DenseNet-BC $(k = 40)$ [9]	25.6M	2,190	190	7,247MB	3.46	17.18			
PyramidNet ( $\alpha = 48$ )	1.7M	64	110	655MB	$4.58 \pm 0.06$	$23.12 \pm 0.04$	ĺ		
PyramidNet ( $\alpha = 84$ )	3.8M	100	110	781MB	4.26±0.23	$20.66 \pm 0.40$			
PyramidNet ( $\alpha = 270$ )	28.3M	286	110	1,437MB	3.73±0.04	$18.25 {\pm} 0.10$			
PyramidNet (bottleneck, $\alpha = 270$ )	27.0M	1,144	164	4,169MB	3.48±0.20	$17.01 \pm 0.39$			
PyramidNet (bottleneck, $\alpha = 240$ )	26.6M	1,024	200	4,451MB	3.44±0.11	$16.51 \pm 0.13$			
PyramidNet (bottleneck, $\alpha = 220$ )	26.8M	944	236	4,767MB	3.40±0.07	$16.37 \pm 0.29$			
PyramidNet (bottleneck, $\alpha = 200$ )	26.0M	864	272	5,005MB	<b>3.31</b> ±0.08	<b>16.35</b> ±0.24			

Table 4 of paper "Deep Pyramidal Residual Networks", https://arxiv.org/abs/1610.02915

## ResNeXt



Figure 1. Left: A block of ResNet [14]. Right: A block of ResNeXt with cardinality = 32, with roughly the same complexity. A layer is shown as (# in channels, filter size, # out channels).

Figure 1 of paper "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431

## ResNeXt

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stage	output	ResNet-50		ResNeXt-50 (32×4d	l)
conv1	112×112	7×7, 64, stride	e 2	7×7, 64, stride 2	
		$3 \times 3$ max pool, st	ride 2	$3 \times 3$ max pool, stride	2
conv2	56~56	[ 1×1, 64 ]		[ 1×1, 128 ]	
COIIV2	30×30	3×3, 64	$\times 3$	3×3, 128, <i>C</i> =32	$\times 3$
		1×1,256		1×1, 256	
		[ 1×1, 128 ]		[ 1×1, 256 ]	
conv3	$28 \times 28$	3×3, 128	$\times 4$	3×3, 256, <i>C</i> =32	$\times 4$
		1×1,512		1×1, 512	
		1×1,256		[ 1×1, 512 ]	
conv4	$14 \times 14$	3×3, 256	$\times 6$	3×3, 512, <i>C</i> =32	$\times 6$
		1×1, 1024		1×1, 1024	
		1×1, 512		1×1, 1024	]
conv5	$7 \times 7$	3×3, 5 2	$\times 3$	3×3, 1024, <i>C</i> =32	×3
		1×1, 2048		1×1, 2048	
	1 × 1	global average p	ool	global average pool	
	1 × 1	1000-d fc, softn	nax	1000-d fc, softmax	
# pa	arams.	<b>25.5</b> ×10 <sup>6</sup>		<b>25.0</b> $\times 10^{6}$	
FL	LOPs	<b>4.1</b> $\times 10^{9}$		$4.2 \times 10^9$	

Table 1 of paper "Aggregated Residual Transformations for Deep Neural Networks", https://arxiv.org/abs/1611.05431

## **ResNeXt**



Figure 5. Training curves on ImageNet-1K. (Left): ResNet/ResNeXt-50 with preserved complexity (~4.1 billion FLOPs, ~25 million parameters); (Right): ResNet/ResNeXt-101 with preserved complexity (~7.8 billion FLOPs, ~44 million parameters).

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## **Deep Networks with Stochastic Depth**



We drop a whole block (but not the residual connection) with probability  $1 - p_l$ . During inference, we multiply the block output by  $p_l$  to compensate.

All  $p_l$  can be set to a constant, but more effective is to use a simple linear decay  $p_l = 1 - l/L(1 - p_L)$  where  $p_L$  is the final probability of the last layer, motivated by the intuition that the initial blocks extract low-level features utilized by the later layers and should therefore be present.

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## **Deep Networks with Stochastic Depth**







Figure 8 of paper "Deep Networks with Stochastic Depth", https://arxiv.org/abs/1603.09382

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## **Deep Networks with Stochastic Depth**



Figure 3 of paper "Deep Networks with Stochastic Depth", https://arxiv.org/abs/1603.09382

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### Cutout





Figure 1 of paper "Improved Regularization of Convolutional Neural Networks with Cutout", https://arxiv.org/abs/1708.04552

Drop  $16 \times 16$  square in the input image, with randomly chosen center. The pixels are replaced by a their mean value from the dataset.

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### Cutout



(a) CIFAR-10

(b) CIFAR-100

Figure 3 of paper "Improved Regularization of Convolutional Neural Networks with Cutout", https://arxiv.org/abs/1708.04552

Method	C10	C10+	C100	C100+	SVHN
ResNet18 [5]	$10.63\pm0.26$	$4.72\pm0.21$	$36.68\pm0.57$	$22.46 \pm 0.31$	-
ResNet18 + cutout	$9.31\pm0.18$	$3.99\pm0.13$	$34.98 \pm 0.29$	$21.96 \pm 0.24$	-
WideResNet [22]	$6.97 \pm 0.22$	$3.87\pm0.08$	$26.06 \pm 0.22$	$18.8\pm0.08$	$1.60\pm0.05$
WideResNet + cutout	$5.54 \pm 0.08$	$3.08\pm0.16$	$23.94 \pm 0.15$	$18.41\pm0.27$	$1.30 \pm 0.03$
Shake-shake regularization [4]	-	2.86	-	15.85	-
Shake-shake regularization + cutout	-	$2.56 \pm 0.07$	-	$15.20 \pm 0.21$	-

Table 1 of paper "Improved Regularization of Convolutional Neural Networks with Cutout", https://arxiv.org/abs/1708.04552

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DropBlock





(a)





Figure 1 of paper "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890

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## DropBlock



#### Algorithm 1 DropBlock

- 1: **Input:**output activations of a layer (A),  $block\_size, \gamma, mode$
- 2: if mode == Inference then
- 3: return A
- 4: **end if**
- 5: Randomly sample mask  $M: M_{i,j} \sim Bernoulli(\gamma)$
- 6: For each zero position  $M_{i,j}$ , create a spatial square mask with the center being  $M_{i,j}$ , the width, height being *block\_size* and set all the values of M in the square to be zero (see Figure 2).
- 7: Apply the mask:  $A = A \times M$
- 8: Normalize the features:  $A = A \times count(M)/count_ones(M)$



*Figure 2 of paper "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890* 

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## DropBlock



Model	top-1(%)	top-5(%)
ResNet-50	$76.51 \pm 0.07$	$93.20\pm0.05$
ResNet-50 + dropout (kp=0.7) [1]	$76.80 \pm 0.04$	$93.41 \pm 0.04$
ResNet-50 + DropPath (kp=0.9) [17]	$77.10 \pm 0.08$	$93.50\pm0.05$
ResNet-50 + SpatialDropout (kp=0.9) [20]	$77.41 \pm 0.04$	$93.74\pm0.02$
ResNet-50 + Cutout [23]	$76.52 \pm 0.07$	$93.21 \pm 0.04$
ResNet-50 + AutoAugment [27]	77.63	93.82
ResNet-50 + label smoothing $(0.1)$ [28]	77.17 $\pm 0.05$	93.45 ±0.03
ResNet-50 + DropBlock, (kp=0.9)	$78.13 \pm 0.05$	$94.02 \pm 0.02$
ResNet-50 + DropBlock ( $kp=0.9$ ) + label smoothing (0.1)	$78.35 \pm 0.05$	$94.15\pm0.03$

Table 1 of paper "DropBlock: A regularization method for convolutional networks", https://arxiv.org/abs/1810.12890

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## **Beyond Image Classification**

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## **Beyond Image Classification**

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• Object detection (including location)



Figure 3 of paper "Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks", https://arxiv.org/abs/1506.01497

• Image segmentation



Figure 2 of paper "Mask R-CNN", https://arxiv.org/abs/1703.06870.

• Human pose estimation



Figure 7 of paper "Mask R-CNN", https://arxiv.org/abs/1703.06870.

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• Start with a network pre-trained on ImageNet (VGG-16 is used in the original paper).

## **Rol Pooling**

- Crucial for fast performance.
- The last max-pool layer  $(14 \times 14 \rightarrow 7 \times 7 \text{ in VGG})$  is replaced by a Rol pooling layer, producing output of the same size. For each output sub-window we max-pool the corresponding values in the output layer.
- Two sibling layers are added, one predicting K + 1 categories and the other one predicting 4 bounding box parameters for each of K categories.





Figure 1 of paper "Fast R-CNN", https://arxiv.org/abs/1504.08083.

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The bounding box is parametrized as follows. Let  $x_r, y_r, w_r, h_r$  be center coordinates and width and height of the RoI, and let x, y, w, h be parameters of the bounding box. We represent them as follows:

$$egin{aligned} t_x &= (x-x_r)/w_r, & t_y &= (y-y_r)/h_r \ t_w &= \log(w/w_r), & t_h &= \log(h/h_r) \end{aligned}$$

Usually a  $\operatorname{smooth}_{L_1}$  loss, or *Huber loss*, is employed for bounding box parameters

$$\mathrm{smooth}_{L_1}(x) = egin{cases} 0.5x^2 & ext{if} \ |x| < 1 \ |x| - 0.5 & ext{otherwise} \end{cases}$$

The complete loss is then

$$L(\hat{c}, \hat{t}, c, t) = L_{\text{cls}}(\hat{c}, c) + \lambda[c \ge 1] \sum_{i \in \{\text{x}, \text{y}, \text{w}, \text{h}\}} \text{smooth}_{L_1}(\hat{t}_i - t_i).$$
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### Intersection over union

For two bounding boxes (or two masks) the *intersection over union* (*IoU*) is a ration of the intersection of the boxes (or masks) and the union of the boxes (or masks).

## **Choosing Rols for training**

During training, we use 2 images with 64 Rols each. The Rols are selected so that 25% have intersection over union (IoU) overlap with ground-truth boxes at least 0.5; the others are chosen to have the IoU in range [0.1, 0.5).

## **Choosing Rols during inference**

Single object can be found in multiple Rols. To choose the most salient one, we perform *non-maximum suppression* -- we ignore Rols which have an overlap with a higher scoring Rol of the same type, where the IoU is larger than a given threshold (usually, 0.3 is used). Higher scoring Rol is the one with higher probability from the classification head.

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## **Average Precision**

Evaluation is performed using Average Precision (AP).

We assume all bounding boxes (or masks) produced by a system have confidence values which can be used to rank them. Then, for a single class, we take the boxes (or masks) in the order of the ranks and generate precision/recall curve, considering a bounding box correct if it has IoU at least 0.5 with any ground-truth box. We define AP as an average of precisions for recall levels  $0, 0.1, 0.2, \ldots, 1$ .



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ire 6 of paper "The PASCAL Visual Object Classes (VOC) Challenge", http://homepages.inf.ed.ac.uk/ckiw/postscript/ijcv\_voc09.pdf.



For Fast R-CNN, the most time consuming part is generating the Rols.

Therefore, Faster R-CNN jointly generates *regions of interest* using a *region proposal network* and performs object detection.



Figure 2 of paper "Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks", https://arxiv.org/abs/1506.01497

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The region proposals are generated using a  $3 \times 3$  sliding window, with 3 different scales  $(128^2, 256^2 \text{ and } 512^2)$  and 3 aspect ratios (1:1, 1:2, 2:1). For every anchor, there is a Fast-R-CNN-like object detection head – a classification into two classes (background, object) and a boundary regressor.



Figure 3 of paper "Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks", https://arxiv.org/abs/1506.01497

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During training, we generate

- positive training examples for every anchor that has highest IoU with a ground-truth box;
- furthermore, a positive example is also any anchor with IoU at least 0.7 for any groundtrugh box;
- negative training examples for every anchor that has IoU at most 0.3 with all ground-truth boxes.

During inference, we consider all predicted non-background regions, run non-maximum suppression on them using a 0.7 IoU threshold, and then take N top-scored regions (i.e., the ones with highest probability from the classification head) – the paper uses 300 proposals, compared to 2000 in the Fast R-CNN.



Table 3: Detection results on **PASCAL VOC 2007 test set**. The detector is Fast R-CNN and VGG-16. Training data: "07": VOC 2007 trainval, "07+12": union set of VOC 2007 trainval and VOC 2012 trainval. For RPN, the train-time proposals for Fast R-CNN are 2000. <sup>†</sup>: this number was reported in [2]; using the repository provided by this paper, this result is higher (68.1).

method	# proposals	data	mAP (%)
SS	2000	07	66.9 <sup>†</sup>
SS	2000	07+12	70.0
RPN+VGG, unshared	300	07	68.5
RPN+VGG, shared	300	07	69.9
RPN+VGG, shared	300	07+12	73.2
RPN+VGG, shared	300	COCO+07+12	78.8

Table 4: Detection results on **PASCAL VOC 2012 test set**. The detector is Fast R-CNN and VGG-16. Training data: "07": VOC 2007 trainval, "07++12": union set of VOC 2007 trainval+test and VOC 2012 trainval. For RPN, the train-time proposals for Fast R-CNN are 2000. <sup>†</sup>: http://host.robots.ox.ac.uk:8080/anonymous/HZJTQA.html. <sup>‡</sup>: http://host.robots.ox.ac.uk:8080/anonymous/YNPLXB.html. <sup>§</sup>: http://host.robots.ox.ac.uk:8080/anonymous/XEDH10.html.

method	# proposals	data	mAP (%)
SS	2000	12	65.7
SS	2000	07++12	68.4
RPN+VGG, shared <sup>†</sup>	300	12	67.0
RPN+VGG, shared <sup>‡</sup>	300	07++12	70.4
$\overline{\text{RPN+VGG, shared}^{\$}}$	300	COCO+07++12	75.9

Tables 3 and 4 of paper "Faster R-CNN: Towards Real-Time Object Detection with Region Proposal Networks", https://arxiv.org/abs/1506.01497



"Straightforward" extension of Faster R-CNN able to produce image segmentation (i.e., masks for every object).



Figure 2 of paper "Mask R-CNN", https://arxiv.org/abs/1703.06870.

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Figure 1 of paper "Mask R-CNN", https://arxiv.org/abs/1703.06870.

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## RolAlign

More precise alignment is required for the RoI in order to predict the masks. Therefore, instead of max-pooling used in the RoI pooling, RoIAlign with bilinear interpolation is used.





Masks are predicted in a third branch of the object detector.

- Usually higher resolution is needed (14  $\times$  14 instead of 7  $\times$  7).
- The masks are predicted for each class separately.
- The masks are predicted using convolutions instead of fully connected layers.



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net-depth-features	AP	$AP_{50}$	AP <sub>75</sub>
ResNet-50-C4	30.3	51.2	31.5
ResNet-101-C4	32.7	54.2	34.3
ResNet-50-FPN	33.6	55.2	35.3
ResNet-101-FPN	35.4	57.3	37.5
ResNeXt-101-FPN	36.7	59.5	38.9

	AP	$AP_{50}$	$AP_{75}$
softmax	24.8	44.1	25.1
sigmoid	30.3	51.2	31.5
	+5.5	+7.1	+6.4

	align?	bilinear?	agg.	AP	$AP_{50}$	$AP_{75}$
RoIPool [12]			max	26.9	48.8	26.4
		$\checkmark$	max	27.2	49.2	27.1
<i>Korwarp</i> [10]		$\checkmark$	ave 27.1	27.1	48.9	27.1
PolAlian	$\checkmark$	$\checkmark$	max	30.2	51.0	31.8
KolAligh	✓	$\checkmark$	ave	30.3	51.2	31.5

(a) **Backbone Architecture**: Better backbones bring expected gains: deeper networks do better, FPN outperforms C4 features, and ResNeXt improves on ResNet.

(b) **Multinomial vs. Independent Masks** (ResNet-50-C4): *Decoupling* via perclass binary masks (sigmoid) gives large gains over multinomial masks (softmax).

(c) **RoIAlign** (ResNet-50-C4): Mask results with various RoI layers. Our RoIAlign layer improves AP by  $\sim$ 3 points and AP<sub>75</sub> by  $\sim$ 5 points. Using proper alignment is the only factor that contributes to the large gap between RoI layers.

	AP	$AP_{50}$	$AP_{75}$	AP <sup>bb</sup>	$AP_{50}^{bb}$	$\mathrm{AP^{bb}_{75}}$
RoIPool	23.6	46.5	21.6	28.2	52.7	26.9
RoIAlign	30.9	51.8	32.1	34.0	55.3	36.4
	+7.3	+ 5.3	+10.5	+5.8	+2.6	+9.5

	mask branch	AP	$AP_{50}$	$AP_{75}$
ЛLР	fc: $1024 \rightarrow 1024 \rightarrow 80 \cdot 28^2$	31.5	53.7	32.8
ЛLР	fc: $1024 \rightarrow 1024 \rightarrow 1024 \rightarrow 80 \cdot 28^2$	31.5	54.0	32.6
FCN	$\operatorname{conv:} 256 \rightarrow 256 \rightarrow 256 \rightarrow 256 \rightarrow 256 \rightarrow 80$	33.6	55.2	35.3

(d) **RoIAlign** (ResNet-50-C5, *stride 32*): Mask-level and box-level AP using *large-stride* features. Misalignments are more severe than with stride-16 features (Table 2c), resulting in big accuracy gaps.

(e) **Mask Branch** (ResNet-50-FPN): Fully convolutional networks (FCN) *vs.* multi-layer perceptrons (MLP, fully-connected) for mask prediction. FCNs improve results as they take advantage of explicitly encoding spatial layout.

Table 2. Ablations. We train on trainval35k, test on minival, and report mask AP unless otherwise noted.

Table 2 of paper "Mask R-CNN", https://arxiv.org/abs/1703.06870.

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