## Biases and perils of MT and its evaluation

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## Outline

Motivation: Super-human MT quality?
Automatic MT evaluation

- original vs. reverese testset direction

Human evaluation

- SRC vs. REF-based
- sentence vs. document level
- adequacy vs. fluency

Burning man and hobbit perils

## WMT 2018: my en $\rightarrow$ cs MT CUBBITT won in BLEU

|  | BLEU <br> uncased | BLEU <br> cased | chrF2 <br> cased |
| :--- | ---: | ---: | ---: |
| our Transformer | $\mathbf{2 6 . 8 2}$ | $\mathbf{2 6 . 0 1}$ | $\mathbf{0 . 5 3 7 2}$ |
| UEdin NMT | 24.30 | 23.42 | 0.5166 |
| Chimera | 21.43 | 19.81 | 0.4838 |
| Online-B | 20.16 | 19.45 | 0.4854 |
| Moses | 17.88 | 16.36 | 0.4594 |
| Online-A | 16.84 | 15.74 | 0.4584 |
| Online-G | 16.33 | 15.11 | 0.4560 |
| TectoMT | 13.09 | 12.43 | 0.4332 |

## Perils of automatic evaluation

BLEU (\& other REF-similarity metrics) has 3 issues:

- Not enough REFs, i.e. low coverage of correct translations.
- Differences in BLEU do not correlate with Human scores (Human REFs may be worse than MT.)

If REF is a translation (original English sentences for $\mathrm{EN} \rightarrow \mathrm{CS}$ ):

- It may not be adequate and fluent (if non-professional translator).

If REF is the original sentence (reverse-direction eval):

- SRC is not original, thus may not have the same meaning as REF
- SRC is likely not representative of the expected use case (domain/country \& translationese)
- still a (tiny) risk of non-perfect adequacy+fluency


## BLEU does not correlate with humans for strong systems



## Perils of automatic evaluation

COMET (\& other trainable metrics) has 3 issues:

- Still influenced by (non-perfect) REFs
- Black-box
- Trained to optimize correlation with human evaluation, but do we trust it?


## WMT 2018: my en $\rightarrow$ cs MT won human evaluation

| system | Avg \% |
| :--- | ---: |
| our Transformer | 79.5 |
| UEdin NMT | 74.1 |
| reference | 73.1 |
| Online-B | 65.2 |
| Online-A | 55.6 |
| Online-G | 50.4 |

## Types of manual MT evaluation

- REF-based ... show candidate and (human) reference
- SRC-based ... show candidate and source sentence
- REF\&SRC-based ... show both
- Sentence-level
- Document-level ... single score per document
- Document-aware ... show whole documents, scores per sentence
- RR = Relative Ranking ... relative, ordinal, N systems
- DA = Direct Assessment ... "absolute", continuous, 1 system
- RankME = rank-based magnitude estimation ... continuous, N sys


## Example: REF\&SRC sent-level RR (WMT10-16)

"Valentino měl vždycky raději eleganci než slávu.

Valentino has always preferred elegance to notoriety.

- Source
- Reference

"Valentino should always elegance rather than fame.
- Translation 1
Best $\leftarrow$ Rank 1O Rank 2O Rank $3 \odot$ Rank 4 O Rank $5 \mathrm{O} \rightarrow$ Worst
"Valentino has always rather than the elegance of glory.
- Translation 2
Best $\leftarrow$ Rank $1 \odot$ Rank 2O Rank 3 O Rank 40 Rank $50 \rightarrow$ Worst
" Valentino had always preferred elegance than glory.
- Translation 3
$\underset{\text { Best }}{\text { "Valentino has always had the elegance rather than glory. }}$
-Translation 4

```
Best \leftarrowRank 1O Rank2O Rank 3O Rank 4O Rank5@ -> Worst
```

[^0]- Translation 5


## Example: SRC doc-level DA (WMT19)

## Below are the sentences you have just rated as a single document. Flease state how much you agree that

## The black text adequately expresses the meaning of the gray text in German (deutsch)

Russian Grand Prix: Lewis Hamilton closes in on world title after team orders hand him win over Sebastian Vettel It became clear from the moment that Valteri Bottas qualified ahead of Lewis Hamilton on Saturday that Mercedes" team orders would play a large part in the race. From pole, Bottas got a good start and almost hung Hamilton out to dry as he defended his position in the first two turns and invited Vettel to attack his teammate. Vettel went into the pits first and left Hamilton to run into the traffic at the tail of the pack, something which should have been decisive. The Mercedes pitted a lap later and came out behind Vettel, but Hamilton went ahead after some wheel-to-wheel action that saw the Ferrari driver reluctantly leave the inside free at risk of holding out after a double-move to defend on the third corner. Max Verstappen started from the back row of the grid and was in seventh by the end of the first lap on his 21st birthday. He then led for a large part of the race as he held onto his tyres to target a quick finish and overtake Kimi Raikkonen for fourth. He eventually came into the pits on the 44th lap but was unable to increase his pace in the remaining eight laps as Raikkonen took fourth. It's a difficult day because Valtteri did a fantastic job all weekend and was a real gentleman told let me by. The team have done such an exceptional job to have a one two," said Hamilton.
-Source text

Großer Preis von Russland: Lewis Hamilton schließt auf Weltmeistertitel ein, nachdem inm das Team den Sieg über Sebastian Vettel überlassen hat Es wurde von dem Moment an klar, dass Valtteri Bottas sich vor Lewis Hamilton am Samstag qualifiziert Vettel überlassen hat Es wurde von dem Moment an klar, dass Valtteri Bottas sich vor Lewis Hamilton am Samstag qualifiziert
hatte, dass die Teamauftrage von Mercedes eine große Rolle im Rennen spielen würden. Von der Pole aus erwischte Bottas einen guten Start und ließ Hamilton fast trocken, als er seine Position in den ersten beiden Kurven verteidigte und Vettel einlud, seinen Teamkollegen anzugreifen. Vettel ging zuerst in die Gruben und verließ Hamilton, um am Rucksack in den Verkehr zu geraten, was entscheidend gewesen sein sollte. Der Mercedes drehte eine Runde später und kam hinter Vettel, aber Hamilton ging nach einigen Rad-an-Rad-Aktion, die sah, dass der Ferrari-Fahrer widerwillig verlassen die Innenseite frei in Gefahr zu halten, nach einem Doppelschlag auf der dritten Ecke zu verteidigen. Max Verstappen startete aus der hinteren Startreihe und wurde am Ende der ersten Runde an seinem 21, Geburtstag Siebter. Er führte dann für einen großen Teil des Rennens, als er auf seinen Reifen hielt, um ein schnelles Ziel zu erreichen und Kimi Raikkönen zum vierten Mal zu überholen. In der 44. Runde kam er schließlich in die Box, konnte aber sein Tempo in den verbleibenden acht Runden nicht erhöhen, da Räikkönen den vierten Platz belegte. Es ist ein schwieriger Tag, denn Valtteri hat das ganze Wochenende einen fantastischen Job gemacht und war ein echter Gentleman, der mir gesagt hat. Das Team hat so einen außergewöhnlichen Job gemacht, um ein, zwei zu haben", sagte Hamilton.
$\qquad$

## Example: pseudo doc-aware DA (WMT19)

For the pair of sentences below: Read the text and state how much you agree that:
The black text adequately expresses the meaning of the gray text in German (deutsch).
North Korea says 'no way' will disarm unilaterally without trust

- Source text

Nordkorea sagt, Sprünge ohne Vertrauen entwaffnen ohne Vertrauen .

- Candidate translation


Reset

## Example: pseudo doc-aware DA (WMT19)

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- Source text

Nordkorea sagt, Sprünge ohne Vertrauen entwaffnen ohne Vertrauen .

- Candidate translation


Reset

## Example: SRC doc-aware 10-RankME

|  | - ${ }^{\text {a }}$ | H | I | J | k | L | M | N | $\bigcirc$ | P |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Source | Translation1 |  |  | 㐫 | Translation2 |  |  | $\begin{aligned} & \stackrel{\rightharpoonup}{0} \\ & \stackrel{y}{y} \\ & \vdots \\ & \text { N1 } \end{aligned}$ | Optional comment |
| 168 | "And we're protecting our shareholders from employment litigation." |  |  |  |  |  |  |  |  |  |
| 169 | Companies started taking ethics, values and employee engagement more seriously in 2002 after accounting firm Arthur Andersen collapsed because of ethical violations from the Enron scandal, Quinlan said. |  |  |  |  |  |  |  |  |  |
| 170 | But it wasn't until "social media came into its own" that companies realized they couldn't stop their dirty laundry from going viral online. |  |  |  |  |  |  |  |  |  |
| 171 | "Prior to using technology to monitor ethics, people used hope as a strategy," he said. |  |  |  |  |  |  |  |  |  |
| 172 | Both Glint and Convercent offer their software as a service, charging companies recurring fees to use their products. |  |  |  |  |  |  |  |  |  |
| 173 | It's a business model and opportunity that has the approval of venture capital investors, who have propped up both start-ups. | Je to obchodní model a přiležitost, kterou schvalují odvážní kapitáloví investoři, jenž podporili oba startupy. | 7 | 6 | 7 | Je to obchodní model a přiležitost, která má souhlas investorů rizikového kapitálu, kteří podporili oba start-upy. | 10 | 10 | 10 | T1: chybný překlad termínu "venture capital" |
| 174 | Convercent raised $\$ 10$ million in funding in February from firms such as Sapphire Ventures and Tola Capital, bringing its total capital raised to $\$ 47$ million. | Convercent vybral v rámci své únorové kampaně od firem jako Sapphire Ventures a Tola Capital celkově 10 milionú \$ a nakonec si odnesl kapitál ve výši 47 milionủ $\$$. | 3 | 4 | 3 | Convercent získal v únoru finanční prostředky ve vyši 10 milionủ dolarủ od firem jako Sapphire Ventures a Tola Capital, čímž se jeho celkový kapitál zvy̌sil na 47 milionủ dolarů. | 10 | 10 | 10 |  |
| 175 | Glint secured $\$ 10$ million in November from Bessemer Venture Partners, bringing its total funding to $\$ 60$ million. | Glint získal v listopadu 10 milionů \$ od Bessemer Venture Partners a v průběhu celé kampaně získal 60 milionủ \$. | 5 | 4 | 5 | Glint získal v listopadu 10 milionů dolarů od společnosti Bessemer Venture Partners, čímž jeho celkové financování dosáhlo 60 milionủ dolarủ. | 10 | 10 | 10 |  |
| 176 | These investments hardly come as a surprise, given the interconnected nature of companies, culture and venture capital. | Tyto investice jsou stěží prekvapující vzhledem k vzájemné povaze společností, kultury a rizikovému kapitálu. | 3 | 4 | 3 | Tyto investice nejsou vzhledem k propojenosti společností, kultury a rizikového kapitálu žádným překvapením. | 10 | 10 | 10 |  |
| 177 | There's a growing body of research showing today's employees expect more from their workplaces than before. | Narůstající počet výzkumů jasně potvrzuje, že dnešní zaměstnanci očekávají od svého pracoviště více než kdy dřive. | 5 | 5 | 8 | Roste množství výzkumủ, které ukazují, že dnešní zaměstnanci očekávaji od svých pracovišt vice než dříve. | 10 | 10 | 10 |  |
| 178 | In competitive markets such as silicon Valley, high salaries and interesting projects are merely table stakes. | A na konkurenčních trzích, jakým je např. Silicon Valley, nejsou hlavní výhodou vysoké platy a zajímavé projekty. | 6 | 5 | 8 | Na konkurenčních trzích, jako je Silicon Valley, jsou vysoké platy a zajímavé projekty pouhými sázkami u stolu. | 7 | 8 | 7 | problém: význam termínu "table stakes" |
| 179 | Employees want to feel that they're accepted and valued and that they're giving their time to a company with a positive mission. | Zaměstnanci chtějí vnímat, že jsou prijij́máni a oceňováni a že věnují svůj čas společnosti, která usiluje o pozitivní poslání. | 9 | 9 | 9 | Zaměstnanci chtějí mít pocit, že jsou prijímáni a ceněni a že věnují svůj čas společnosti s pozitivním posláním. | 10 | 10 | 9 |  |

## Perils of manual MT evaluation

## Each type of evaluation is biased towards some systems.

- REF-based ... similarity to human errors (or post-editing)
- SRC-based ... problems with non-professional evaluators
- REF\&SRC-based ... both
- Sent-level ... false positives and false negatives (fluency+adeq.)
- Doc-level ... too coarse, psychological problems
- Doc-aware ... how to approximate doc-level? Avg, min...?
- RR ... tiny improvements/errors same as big ones
- DA ... fluency and serious adequacy errors only (but faster)
- RankME ... slower, difficult if $\mathrm{N}>3$


## WMT 2018: my en $\rightarrow$ cs MT won human evaluation

- srcDA (SRC-based Direct Assessment)

| system | Avg \% |
| :--- | ---: |
| our Transformer | 79.5 |
| UEdin NMT | 74.1 |
| reference | 73.1 |
| Online-B | 65.2 |
| Online-A | 55.6 |
| Online-G | 50.4 |

## WMT 2018: my en $\rightarrow$ cs MT won human evaluation

| system | crowd |  | researchers |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | srcDA |  | srcDA |  | refDA |  |
|  | Avg \% | Avg z | Avg \% | Avg z | Avg \% | Avg z |
| our Transformer | 79.5 | 0.705 | 84.5 | 0.669 | 64.1 | 0.610 |
| UEdin NMT | 74.1 | 0.486 | 79.8 | 0.521 | 58.0 | 0.396 |
| reference | 73.1 | 0.484 | 78.6 | 0.483 |  |  |
| Online-B | 65.2 | 0.126 | 68.1 | 0.127 | 49.7 | 0.113 |
| Online-A | 55.6 | -0.248 | 59.4 | $-0.179$ | 43.9 | $-0.090$ |
| Online-G | 50.4 | -0.446 | 54.1 | $-0.354$ | 40.1 | $-0.218$ |

## 2020: CUBBITT more adequate than human

## nature communications

nature > nature communications > articles > article
Article | Open Access | Published: 01 September 2020

## Transforming machine translation: a deep learning system reaches news translation quality comparable to human professionals

Martin Popel $\boxtimes$, Marketa Tomkova, Jakub Tomek, Łukasz Kaiser, Jakob Uszkoreit, Ondřej Bojar \& Zdeněk Žabokrtský

Nature Communications 11, Article number: 4381 (2020) | Cite this article
6273 Accesses $\mid \mathbf{7 6}$ Altmetric $\mid$ Metrics

## 2020: CUBBITT more adequate than human



## Biases and perils of human translation

- Fluency vs. Adequacy



## Biases and perils of human translation

- Fluency vs. Adequacy

raining "wheelbarrows" vs "cats and dogs"


## Biases and perils of human translation

- Fluency vs. Adequacy

raining "wheelbarrows" vs "cats and dogs" "so that you wouldn't chase the dog away."


## Biases and perils of human translation

- Fluency vs. Adequacy

Translation is like a woman.
If it is beautiful, it is not faithful.
If it is faithful, it is most certainly not beautiful.

- Yevgeny Yevtushenko


Říká se: překlad je jako žena; bud' věrný, nebo krásný. Neznám hloupější větu. Nebot' překlad je krásný, jen když je věrný. - Milan Kundera (překlad Anna Kareninová)

## Biases and perils of human translation

- Fluency vs. Adequacy
- Intent $\Rightarrow$ source language $\Rightarrow$ translation



## Biases and perils of human translation

- Fluency vs. Adequacy
- Intent $\Rightarrow$ source language $\Rightarrow$ translation

- Semantics vs. Pragmatics. Example:
- SRC: I'm not going to worry too much about it.
- REF: I believe everything will be OK.


## Perils of human translation

- Fluency vs. Adequacy
- Intent $\Rightarrow$ source language $\Rightarrow$ translation

- Semantics vs. Pragmatics. Example:
- SRC: I'm not going to worry too much about it.
- REF: I believe everything will be OK.

|  | not worry | worry |
| :--- | :--- | :--- |
| believe OK | usual | rare |
| don't believe OK | rare | usual |

## Which system is better? Median vs Mean?



## Domain effect: manual doc-level adequacy



## Domain effect: manual sent-level adequacy



## Overall quality $=x$ *adequacy $+(1-x) \star$ fluency?

## Overall quality $=x *$ adequacy $+(1-x) *$ fluency?



## Overall quality $=x *$ adequacy $+(1-x) *$ fluency?



## Perils of adequacy w.r.t. purpose/localization

Burning Man $\rightarrow$ Matějská pout' (St. Matthew's Funfair)


## Perils of adequacy w.r.t. source

SRC: ... hobitu
GLOSS: hobbit (dative)


T1: хоббіт hobbit

T2: квартиру apartment

## Perils of adequacy w.r.t. source

SRC: přištít týden bych vás poprosila o úklid mamčiné hobitu FIXED: mamčiného bytu
GLOSS: Next week, l'd like to ask you to clean my mom's apartment


TRANS: Наступного тижня я попрошу вас прибрати
T1: мамин хоббіт
Т2: квартиру моєї мами

## Perils of adequacy w.r.t. source

 rosila o úklid mamčiné hobitu mamčiného bytu sk you to clean my mom's apartment


TRANS: Наступного тижня я попрошу вас прибрати T1: мамин хоббіт

## Thank you

CUBBITT document-level model:
daňčí ragú = deer ragout
daňčí ragú s kořenovou zeleninou = tax ragout with root vegetables

CUBBITT sentence-level model:
daňčí ragú = deer ragù
daňčí ragú s kořenovou zeleninou = deer ragout with root vegetables

## Domain effect: manual doc-level fluency

| $B$ | $\begin{gathered} \text { business } \\ \mathrm{n}=5 \\ P=1.000 \end{gathered}$ | $\begin{gathered} \text { crime } \\ \mathrm{n}=9 \\ P=0.016 \end{gathered}$ | entertainment $\begin{gathered} \mathrm{n}=5 \\ P=0.375 \end{gathered}$ | $\begin{gathered} \text { politics } \\ \mathrm{n}=12 \\ P=0.227 \end{gathered}$ | $\begin{gathered} \text { scitech } \\ \mathrm{n}=7 \\ P=0.219 \end{gathered}$ | $\begin{gathered} \text { sport } \\ \mathrm{n}=9 \\ P=0.004 \end{gathered}$ | $\begin{gathered} \text { world } \\ \mathrm{n}=6 \\ P=0.063 \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |



## Domain effect: manual sent-level fluency



## Domain\&orig-lang effect: BLEU WMT18 EN $\rightarrow$ DE


sacrebleu

- detail


## Types of manual MT evaluation

- REF-based ... show candidate and (human) reference
- SRC-based ... show candidate and source sentence
- REF\&SRC-based ... show both


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