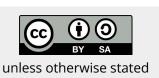
# **Entropy and LM Smoothing**

due 25 November, 8:00 pm







## **Entropy of a Language**

#### Objectives:

- obtain data from the Hugging Face repository
- preprocess using a tokenizer
- get basic statistics of the datasets
- calculate conditional entropy
- compare conditional entropy across languages and tokenizers

#### Getting data from Hugging Face

- using the load\_dataset from Hugging Face <u>datasets</u> library
- use the ufal/npfl147 dataset
  - https://huggingface.co/datasets/ufal/npfl147
  - this is a collection of random subsets of the HF's <u>FineWiki</u> dataset in multiple languages
- get data for Czech, English, and another language of your choosing
  - the set is limited to Slovak, Norwegian, Turkish, Basque, German, and Irish.
- textual data is stored in the "text" column of each example

#### Preprocessing

- split the text into words with MosesTokenizer
  - o from the <u>Sacremoses</u> library
  - note that the tokenizer constructor has a lang parameter -- make sure to set it to the correct language
- do not use any other form of pre-processing (such as lowercasing or normalization)
- ignore document boundaries
  - concatenate everything into a long list
  - keep languages separate

Counting -- for each language, get the following stats:

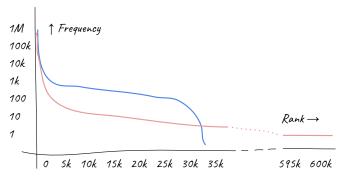
- unigram (token) and bigram frequencies
  - o get trigram frequencies as well for the 2nd part
- number of unique unigrams and bigrams (vocabulary size)
- data size (number of all tokens)

#### Measuring entropy

- use the formula for conditional entropy
- tabulate the following (ideally using the tabulate package)
  - language
  - number of unique tokens
  - number of unique bigrams
  - data size (total number of tokens)
  - entropy
- how does the entropy compare among the languages?
  - which language has the highest entropy? which one lowest?
  - explain the differences

#### Experiment

- run the counting again, now using the XLM-R tokenizer
  - subword tokenizer (splits less frequent tokens to counteract the long tail distribution)
  - supports many languages (used to train the <u>XLM-R model</u>)
  - load with AutoTokenizer.from\_pretrained("xlm-roberta-base")



- tabulate everything again -- how does entropy compare to the baseline tokenizer?
  - again, try to point out and explain the differences

### **LM Smoothing**

#### Objectives:

- create training, heldout and test splits for your data
- estimate parameters of a 3-gram language model
- use EM algorithm to find weights for interpolated smoothing
- measure test data cross entropy

#### Cross-entropy

- report cross-entropy of test data S:
  - with initial lambdas
  - with the best lambdas
- plot how cross-entropy changes with lambda 3:
  - set lambda 3 to values from np.linspace(0,0.95,20)
  - keep best uniform, unigram and bigram lambdas
    - but re-normalize so that they sum up to 1 again
- explain the results
  - how does cross-entropy change with lambda 3 and why?
  - are there systematic differences across languages?

### Split data

- create a train, heldout, and test splits
  - use the first 700 documents as training data T
  - then the next 200 documents as heldout data H
  - and the last 100 documents as test data S
  - work with the same languages as in part 1

#### Compute n-gram frequencies

- get counts of unigrams, bigrams, and trigrams
  - o careful, the data is different from the previous part
- also make note of vocabulary size for uniform probability

Estimate n-gram probabilities from the **training** data T

$$p_0 = 1/|V|$$

$$p_1(w_i) = c_1(w_i)/|T|$$

$$p_2(w_i|w_{i-1}) = c_2(w_{i-1}, w_i)/c(w_{i-1})$$

$$p_3(w_i|w_{i-2}, w_{i-1}) = c_3(w_{i-2}, w_{i-1}, w_i)/c(w_{i-2}, w_{i-1})$$

.. and the smoothed trigram probability

$$p(w_i|w_{i-2},w_{i-1}) = \lambda_0 p_0 + \lambda_1 p_1(w_i) + \lambda_2 p_2(w_i|w_{i-1}) + \lambda_3 p_3(w_i|w_{i-2},w_{i-1})$$

where 
$$\sum_{i=0}^{3} \lambda_i = 1$$

#### Finding the best lambdas

$$p(w_i|w_{i-2}, w_{i-1}) = \lambda_0 p_0 + \lambda_1 p_1(w_i) + \lambda_2 p_2(w_i|w_{i-1}) + \lambda_3 p_3(w_i|w_{i-2}, w_{i-1})$$

- use the EM algorithm to find the best set of smoothing params
  - start with uniform lambdas, 0.25 each
  - best = minimalizes the cross entropy
  - this should be run with the heldout data H
- be mindful about corner cases
  - probability of OOVs -- zero when history known, but uniform for OOV history

### **Submission**

#### **Submission details**

#### Each submission should include:

- Filled-out Google form checklist
  - use the checklist as a reference for what steps to take
  - it will also try to steer your in the correct direction
  - submission link on course website
- A self-contained Google colab notebook
  - must be runnable from start to end with no edits necessary
  - should not depend on your environment (e.g. access files on your Drive)
  - o in case you have an issue with Google you might hand in a single \*.ipynb file, but:
    - we will open it in Google colab (so it needs to be compatible)
    - it still needs to be self-contained and runnable from start to end
    - you will still need to fill out the Google form

#### **Submission details**

#### Note on the use of generative models

- You are allowed (and encouraged to try) to use AI to help you generating your code
  - o if you use it, describe how did you do it and to what extent did it help
  - o always check the model output -- it can get the math wrong, generate inefficient code, ...
  - regardless of how the code was produced, you must be able to explain every detail
- There is not much free form text required, but when there is, you should use your own words
  - models can help with discussion, but can also be quite confused or just wrong
  - if something is unclear, send us an email

#### **Submission details**

#### Tips and tricks

- installing packages from within the notebook: https://ipython.readthedocs.io/en/stable/interactive/magics.html#magic-pip
- choose your data structures wisely
   Counters and defaultdicts are your friends
- write language-independent code, avoid copy-pasting
- develop/debug on a small sample (e.g. 10 documents from one language)
   ... e.g. load\_dataset("ufal/npfl147", lang, split="train[:10]")