NPFL123 Dialogue Systems

12. Chatbots
(non-task-oriented dialogue)

https://ufal.cz/npfl123

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Chatbots / Chatterbots

• dialogue systems for **open-domain** dialogue / chitchat

• **non-task-oriented**
  • main goal: keep the user entertained
  • standard evaluation: conversation length, user engagement

• (more or less) different architecture
  • may have the same structure as task oriented (NLU → DM → NLG)
  • often simpler, integrated
  • it’s hard to have explicit NLU for open domain
    • no task to guide a meaning formalism
    • some of them don’t need a DB connection (but some use it)

• beware: anything is called chatbots nowadays
  • this lecture: only **chatterbots** / **non-task-oriented systems**
Chatbot tests

- **Turing test** (1950)
  - evaluator & 2 conversations, with a machine & human, text-only
  - needs to tell which is which
  - does not concern what/if the machine thinks, only how it acts → can be (and is!) gamed

- **Loebner Prize** (1990+)
  - Turing test style, first topic-restricted, 1995+ unrestricted
  - time-limited (currently 25 minutes for both conversations)
  - criticized as publicity stunt – creates hype but no real progress

- **Amazon Alexa Prize** (2017+)
  - no pretending it’s human, just coherent & engaging conversation for 20 mins.
  - topic semi-restricted (“on popular topics”)
  - evaluator & 3 judges with stop-buttons
  - score: duration + 1-5 scale of “would talk again”
Chatbot history

• natural communication – important part of general AI
  • concerned people even before modern computers (cf. Turing)
• 1st chatbot: Eliza (1966)
  • rule-based, simulates a therapist
• Parry (1972)
  • similar, simulates a person with paranoid schizophrenia
  • was able to fool psychotherapists in a Turing test
• Not much progress until end of 1990’s – just better rules
  • research focused on task-oriented systems
• 1990’s/2000’s – retrieval-based systems
• 2015+ – huge surge of generative models
Notable/hyped chatbots

• **Pandorabots/AIML** – framework for rule-based chatbots
  • A.L.I.C.E. bot – basic implementation, ~better Eliza
    • people can reuse & add their own personality
  • Mitsuku (2013+) – multiple times Loebner Prize winner

• **Jabberwacky/Cleverbot** (1997+)
  • attempts to learn from users
  • remembers & reuses past conversations (>100M)
  • also won Loebner Prize multiple times

• **Xiaolce** (2014+)
  • Microsoft-created, mainly Chinese (English: Tay/Zo, Japanese: Rinna)
  • on social networks (mainly Weibo)
  • also learns from users & reuses user inputs
  • partly rule-based, focus on emotions
  • a lot of people bonding with “her”
Chatbot basic architectures

• **Rule-based**
  • human-scripted, react to keywords/phrases in user input
  • very time-consuming to make, but still popular
    • chitchat by conversational assistants is typically rule-based

• **Data-driven**
  • **retrieval** – remember a corpus & get replies from there
    • “nearest neighbour” approaches
    • corpus can contain past conversations with users (Jaberwacky/Xiaolce)
    • chatbots differ in the sophistication of reply selection
  • **generative** – (typically) seq2seq-based models
    • trained typically on static corpora
    • (theoretically) able to handle unseen inputs, produce original replies
    • basic seq2seq architecture is weak (dull responses) → many extensions
Eliza (rule-based chatbots)

- very basic pattern-matching rules
  - minimal context (typically just the last utterance)
  - keyword-match rules & precedence
    - e.g. alike → what is the connection
- fallbacks
  - I see. <next question>
  - Please go on
  - refer & respond to some previous utterance
- signalling understanding
  - repeating & reformulating user’s phrasing
- it’s all about the framing
  - it’s easier to appear human as a therapist (or paranoid schizophrenic)

Eliza is a mock Rogerian psychotherapist.
The original program was described by Joseph Weizenbaum in 1966.
This implementation by Norbert Landsteiner 2005.

https://en.wikipedia.org/wiki/ELIZA
AIML (Pandorabots rules)

• XML-based markup language for chatbots
  • keyword spotting, not much smarter than Eliza
  • less powerful than regular expressions 😏

• main concepts:
  • category – basic unit of knowledge
    • groups patterns & templates
  • pattern – user input pattern (with wildcards)
  • set – lists of things of the same type
    • e.g. animals, musical instruments
    • can be used in patterns
  • template – response specification
    • allows multiple options
  • srai – symbolic reduction
    • used in patterns to redirect to another pattern
    • groups synonymous inputs
  • variable – can be set/retrieved in templates
    • e.g. remember user name

normalization is typically applied during preprocessing

0/more words

multiple options chosen at random

0/more words (higher priority match)

2 categories reduced via srai to the same pattern

1/more words

using a variable
Retrieval-based chatbots

• remember a large corpus
  1) check for similar inputs in the corpus
  2) retrieve & rerank corresponding outputs

• needs 2 steps
  1) **rough retrieval**
     • needs to be fast to search the whole corpus
     • e.g. TF-IDF
  2) more accurate **reranking** for candidates
     • most research focuses on this step

• problems:
  • can’t produce unseen sentences
  • reply consistency isn’t great

• solution (Xiaolce, Cleverbot):
  • use postprocessing, combine with rules

(Wang et al., 2013)
https://aclweb.org/anthology/D13-1096
Ranking responses

• Machine learning – **learning to rank**
  • **pointwise**: binary classifier
    • good=1, bad=0, ranking score = P(good)
  • **pairwise**: just learn to rank good > bad
    • incur loss for inversions
  • architecture – anything possible
    • typical neural: **dual encoder**
      • 2 RNNs, for context & response + fully connected

• training data problem:
  • datasets = positive examples only
    • but other responses might be good, too
  • need negative examples
    • manual annotation (expensive!)
    • random sampling (not very efficient)
    • smarter sampling (e.g., replies from “bad” dialogues where long = good & short = bad)

(Shalyminov et al., 2018)
https://www.aclweb.org/anthology/W18-5701
Inspiration from MT

(Ritter et al., 2011)
http://www.aclweb.org/anthology/D11-1054

• Early generative approach – convert to **phrase-based MT**
  1) **align phrases** in inputs & responses
     • build **phrase table**
  2) **build responses by covering input**
     • cover each phrase in input with likely response phrase
     • order & select phrases according to a language model (n-gram LM)

• it’s harder than MT
  • possible responses are much more variable than possible translations
     • using more sensitive phrase building (occurrence correlation)
     • discouraging input repetition (← would be vanilla MT behaviour)

• works, but…
  • fluency is not ideal
  • limited context

U: I want to go to a bonfire right about now.
S: that does sound fun bonfire i wan na go !

U: riding to the arc on my bike. Hopefully I won't melt into the pavement.
S: good luck on your bike ride arc

U: I really hate watching movies in the living room.
S: me too, what movies are you doing in room ?
Using RNN LMs (still MT-inspired)

• Non-LSTM solution:
  a) Vanilla **RNN LM, force-decode** input, decode response
     • problem: conditioned too loosely
  b) LM with **extra conditioning**
     • encode input into a fixed vector (using bag-of-words + feed-forward)
     • inject it into each LM decode step
     • more fluent than phrase-based, problems with long replies
     • long replies get less fluent & wander off-topic

• Vanilla **Seq2seq** model
  • encode input, decode response
  • sounds great, but… (→)

(Sordoni et al., 2015)
https://www.aclweb.org/anthology/N15-1020

(Vinyals & Le, 2015)
https://arxiv.org/abs/1506.05869

(C[S]: nothin much, and how’s the book?!)
U: its good but i’m only like halfway through cuz i don’t feel like reading. i’m so bored …
S: that’s good! i have the book but i’m bored too.

(C[S]: thanks. yeah i am good thanks! good, but angry!)
U: sorry you’re angry, been there, i hope it dies down.
S: i hope so too, i’m not angry!!
Seq2seq Chatbot Problems

- **generic/dull responses**
  - short, low-entropy, repetitive
  - see phrase-based model: too many possible outputs
    - hard to train with MLE & use softmax – both prefer 1 option
    - models settle on safe replies, applicable to any context
    - they become over-confident (LM rules)

- **limited context**
  - encoding long contexts is slow & ineffective
  - contexts are too sparse to learn much

- **inconsistency**
  - ask the same question twice, get two different answers
  - no notion of own personality

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U: Where do you live now?
S: I live in Los Angeles.
U: In which city do you live now?
S: I live in Madrid.
U: In which country do you live now?
S: England, you?

- **(Wei et al., 2019)**

- **(Jiang & De Rijke, 2018)**
  - https://www.aclweb.org/anthology/W18-5712

- **(Li et al., 2016)**
  - https://www.aclweb.org/anthology/P16-1094
Improving upon Seq2seq

**Reranking**
- avoid dull replies that work anywhere
- instead of maximizing $P(R|C)$, maximize mutual information
  - actually can be rewritten as a trade-off between $P(R|C)$ and $P(C|R)$
  - can’t train it easily, so train normally & rerank beams afterwards

**Persona extension**
- improve consistency
- train speaker embeddings
  - this is a little data-picky
- use speaker + word embeddings in the decoder
  - can also be used in the encoder

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(Li et al., 2016)
https://www.aclweb.org/anthology/N16-1014

\[ MI = \log \frac{P(C, R)}{P(C)P(R)} \]

$C = \text{context}$
$R = \text{reply}$
Improving upon Seq2seq

• **Hierarchical seq2seq** for longer context
  - HRED (Hierarchical Recurrent Encoder-Decoder)
  - use a 2nd, turn-level LSTM encoder, word-level LSTM hidden state as input

• **Pretrained Transformer** models (TransferTransfo, DialoGPT)
  - large networks, trained on many dialogues
  - It’s still an open (&active!) research topic!

(Lowe et al., 2017) http://dad.uni-bielefeld.de/index.php/dad/article/view/3698


(Zhang et al., 2020) https://www.aclweb.org/anthology/2020.acl-demos.30
Hybrid / Ensemble Chatbots (most Alexa Prize Entries)

- State-of-the-art today: **combining all methods**
  - rule-based for sensitive/frequent/important questions
  - retrieval for jokes, trivia etc.
  - task-oriented-like systems for specific topics (handcrafted/specially trained)
    - news, weather etc.
    - seq2seq only as a backoff or not at all
- NLU is typically shared, with advanced NLP pipelines
  - NER is very important – can get relevant news & trivia
- Decision among bots
  - based on NLU topic detection
  - ranking multiple answers
  - profanity detection – censoring outputs
Alana (Heriot-Watt University, 2017 & 2018 3rd)

- Bots:
  - Rule-based chit-chat
  - Ontologies – knowledge graph walk
    - movies, music, sports, books
  - Retrieval
    - Reddit trivia
    - news
    - Wikipedia
    - fun facts
  - Specific services
- Bots compete for reply
  - priority list
  - bots can “lock”

http://arxiv.org/abs/1712.07558
Alana: Welcome back then John. Since I remember that you like movies, [...] What movie are you looking forward to watching?

User: Vanilla Sky – I’m looking forward to watching Vanilla Sky [Ellipsis resolution]

Alana: Oh I heard something interesting about Vanilla Sky. [...] Movie trivia Speaking of movies. What actors do you like? I like Leonardo DiCaprio [...] 

User: Interesting

Alana: I just think the world is full of strange and unexpected things like that. So, talking about movies, What famous actor or actress would you like to meet? [...] 

User: I want to talk about music

Alana: So, talking about music. Who is a singer that you like? I really like Taylor Swift [...] 

User: Kendrick Lamar

Alana: Yes. One of my friends once told me something interesting about Kendrick Lamar. [...] Music trivia What’s a band that you would like to see? [...] 

User: any news about him –> any news about Kendrick Lamar [Coreference resolution]
Alana: Hmm... about Kendrick Lamar, so John, I read a story with this headline [... News headline] Shall I say some more about this?

User: could we talk about something else

Alana: So John, since I know you like books, what have you read recently? I am reading […]

User: Let's talk about Tom Hardy

Alana: Oh I know Tom Hardy. Do you mean Tom Hardy the English actor, screenwriter and producer or Thomas Hardy the English novelist and poet?

User: I mean the novelist

Alana: Yes. Some friends told me something interesting about Thomas Hardy. [... Trivia]

User: What do you know about pizza?

Alana: I was thinking that whenever a pizza commercial has stretchy melty cheese, it looks good and appealing, but when it happens in real life it's annoying and messy.
Alquist (Czech Technical University, 2017&2018 2nd)

- full NLU pipeline (similar to Alana)
- 2017 – handcrafted state machines
  - traversing sub-dialogue graphs
    - dividing for easier maintenance
  - well scripted
    - easy to break, but users play along
    - hand-added variation
- 2018 – adding machine learning
  - Hybrid Code Networks
    - RNN-based dialogue management
    - for each sub-dialogue/topic
  - topic switch detector
    - RNN-based architecture similar to HCN

http://alquistai.com/
https://chatbotsmagazine.com/13-lessons-we-have-to-learn-from-amazon-alexa-prize-965628e38ccb
https://towardsdatascience.com/11-more-lessons-we-have-to-learn-from-alexa-prize-94fe14b8986f
Sounding Board (Uni Washington, 2017 winner)

- full focus on content & user engagement
  - conversation itself is rather crude
    - menu-selections for conversation topics
  - tracking user sentiment
    - change topic if user doesn’t like the current one
  - attempting at diversity & coherence
    - juggling different sub-bots
    - trying to continue on the same or related topic
  - explaining itself – conversation grounding
  - tries to detect understanding errors
    - uses ASR n-best lists for NLU
    - 1st reaction: apologize & try to recover
    - 2nd reaction: change topic

<table>
<thead>
<tr>
<th>Turn</th>
<th>User</th>
<th>Sounding Board</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Let's chat.</td>
<td>Hi! This is an Alexa Prize socialbot. How’s your day?</td>
</tr>
<tr>
<td>2</td>
<td>I'm fine.</td>
<td>Glad to hear it! We could talk about robots, Batman, or Superman. Say “next”, to chat about other things.</td>
</tr>
<tr>
<td>3</td>
<td>Superman.</td>
<td>It looks like you wanna chat about Superman. I was high up in the cloud when I realized: if people don’t recognize Clark Kent as Superman because of his glasses, does his eye doctor know his true identity?</td>
</tr>
<tr>
<td>4</td>
<td>I guess so.</td>
<td>Did you know that Henry Cavill almost missed the call for the role of Superman cause he was playing “World of Warcraft”</td>
</tr>
<tr>
<td>5</td>
<td>Really, I didn’t know that.</td>
<td>Weird, right? Speaking of Superman, Did you see Superman? It was released in 1997. It’s a comedy.</td>
</tr>
<tr>
<td>6</td>
<td>Yes, it was hilarious.</td>
<td>I’m glad you feel this is hilarious. Which part do you like best about this movie?</td>
</tr>
<tr>
<td>7</td>
<td>The part when he met Lewis Utah.</td>
<td>Interesting. Mccarthy and Refiz co-directed this film. The movie has a 6.3 out of 10 on IMDb, which seems pretty good. Do you like the movie’s director?</td>
</tr>
</tbody>
</table>

http://arxiv.org/abs/1804.10202
https://sounding-board.github.io/
Gunrock (UC Davis, 2018 winner)

- Improving ASR
  - error correction – KB fuzzy matching (allow for “typos”)
  - sentence segmentation (RNN-based)
- NLU – keyphrase extraction
  - focus on noun phrases
- Dialog manager – stack
  - return to previous topics
  - related topics
  - a lot of different topics with domain-specific KBs
    - games, psychology, travel…

Chatbot Ethics  
(Cercas Curry & Rieser, 2018)  
http://aclweb.org/anthology/W18-0802

• Ca. 4% of our 2017 data is sexually explicit

• Different harassment types:
  • comments on gender/sexuality
  • sexualized comments
  • sexualized insults
  • sexual requests & demands

• Chatbots/voice assistants’ responses
  • various systems:
    • commercial (Alexa, Google…)
    • rule-based (Pandorabots, adult chatbots)
    • data-driven (seq2seq)
  • systems often present as women, have a woman’s voice
  • responses often nonsense / play-along
    • conflict of interest for bot builders: be ethical vs. cater to abusive users
Alexa Prize bottom line

• understanding is the bottleneck
  • ASR problems – chat-specific ASR improved things, but it’s by far not perfect
  • vague concept of dialogue state, despite full NLP pipelines
    • result: typically very crude intents + list of named entities
  • recognizing multiple/fine-grained intents is a problem

• it’s still more about social engineering than “AI”
  • a lot of strategies for not-understanding (switching topics, questions…)

• machine learning helps, but pure ML is not enough
  • lack of annotated data → often relatively simple methods
  • ML helps mainly in NLU, end-to-end seq2seq doesn’t work

• interesting content is crucial
  • the more handcrafted topics, the better
  • fluent NLG not so much (but prosody helps!)

• brutal variance in the evaluation – very subjective
Summary

• chatbots – **non-task oriented** systems
  • purely for user enjoyment
  • targets: **conversation length** & **user engagement**
  • impersonating a human – Turing test

• approaches
  • **rule-based** – keyword spotting, scripting
  • **retrieval** – copy & paste from large databases
  • **generative** – seq2seq etc. trained on corpora of dialogues
    • too many possible responses don’t go well with MLE → safe, short, dull
  • **hybrid** – combining all of the above
    • typically mainly rule-based + retrieval, machine learning in NLU only

• open-domain NLU is still an unsolved problem
  • despite that, many people enjoy conversations with chatbots
  • interesting content is crucial
Contact us:  
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Skype/Meet/Zoom (by agreement)

Get these slides here:  
http://ufal.cz/npfl123

References/Inspiration/Further:
• Wikipedia: AIML Chatbot Cleverbot ELIZA Jabberwacky Loebner_Prize Mitsuku PARRY Turing_test Xiaoice Zo_(bot)

This is the Last Lecture
Next week:  
Lab questions 9am
Exam

• Written test, 10 questions, 10 points each
  • 50%+ lab exercise points required
  • expected 1 hr, but you’ll be given at least 2hrs (no pressure on time)

• Questions covering the 12 lectures
  • question pool coming soon
  • you’ll need to write stuff on your own (not a-b-c-d, more like 2-3 sentences)
  • explanation of terms/concepts
    • no exact formulas needed (if needed, they might be provided)
    • but you should know the principles of how stuff works
  • relationships between concepts (“what’s the difference between X & Y”)
  • designing a dialogue system for a domain
  • focus on important stuff (mostly what’s mentioned in the summaries)

• Mark: 3:1 weighted exam-lab exercises
  • 60 % = pass (C), 73+% = B, 88+% = A