

Natural Language Generation (mostly) for Spoken Dialogue Systems

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1. Introduction: what is NLG?





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 - a) Textbook NLG pipeline
 - b) How real systems differ



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 - past & state-of-the-art



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 - past & state-of-the-art
 - including our work



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 - · different stages of NLG
 - past & state-of-the-art
 - including our work
- 3. What next?





Objective of NLG

Given (whatever) input and a **communication goal**, create a natural language string that is **well-formed** and **human-like**.



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Usage

- Spoken dialogue systems
- Machine translation
- Short texts: Personalized letters, weather reports ...
- Summarization
- · Question answering in knowledge bases





Standard NLG Pipeline (*Textbook*) [Inputs]



[Inputs]

- ↓ Content/text planning ("what to say")
 - · Content selection, basic ordering

[Content plan]



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- ↓ Sentence planning/microplanning ("middle ground")
 - aggregation, lexical choice, referring...

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[Sentence plan(s)]

- ↓ Surface realization ("how to say it")
 - linearization, conforming to rules of the target language

[Text]





Inputs

- Communication goal (e.g. "inform user about search results")
- Knowledge base (e.g. list of matching entries in database, weather report numbers etc.)
- User model (constraints, e.g. user wants short answers)
- Dialogue history (referring expressions, repetition)



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Content planning

- Content selection according to communication goal
- · Basic structuring (ordering)





Sentence planning (micro-planning)

- Word and syntax selection (e.g. choose templates)
- Dividing content into sentences
- Aggregation (merging simple sentences)
- Lexicalization
- · Referring expressions



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Surface realization

- Creating linear text from (typically) structured input
- · Ensuring grammatical correctness



Real NLG Systems

Few systems implement the whole pipeline

- Systems focused on content planning with trivial surface realization
- Surface-realization-only, word-order-only systems
- One-step (holistic) approaches
- SDS: content planning done by dialogue manager
 - $\,\,
 ightarrow\,\,$ only sentence planning and realization here

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Approaches

Templates, grammars, rules, statistics, or a mix thereof



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Data representations

· Varied, custom-tailored, non-compatible





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Why go one-step

- · Problem of all pipelines: error propagation
 - the more steps, the more chance to screw it up
- Need to provide training sentence plans (statistical planners)
 - sometimes you may use existing analysis tools





Divided by NLG stage:



- Divided by NLG stage:
 - 1. Sentence planning



- Divided by NLG stage:
 - 1. Sentence planning
 - 2. Surface realization



- Divided by NLG stage:
 - Sentence planning
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 - 3. One-step approaches to NLG



- Divided by NLG stage:
 - Sentence planning
 - 2. Surface realization
 - 3. One-step approaches to NLG
- Each stage:
 - 1. History
 - 2. Current state-of-the art / our works

Sentence Planning Examples

Various input/output formats, not very comparable

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Sentence Planning Examples

- Various input/output formats, not very comparable
- Actually typically handcrafted or non-existent
 - One-step approaches or simplistic systems
- Here we focus on trainable approaches
 - ...and especially on our own ©

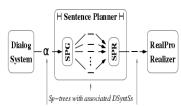




Trainable Sentence Planning: SPoT

- Spoken Dialogue System in the flight information domain
- Handcrafted generator + overgeneration
- Statistical reranker (RankBoost) trained on hand-annotated sentence plans

implicit-confirm(orig-city:NEWARK) implicit-confirm(dest-city:DALLAS) implicit-confirm(month:9) implicit-confirm(day-number:1) request(depart-time)



Text Plan Chosen sp-tree with associated D SyntS

Alt	Realization	Н	RB
0	What time would you like to travel on September the 1st to Dallas from Newark?	5	.85
5	Leaving on September the 1st. What time would you like to travel from Newark to Dallas?	4.5	.82
8	Leaving in September. Leaving on the 1st. What time would you, traveling from Newark to Dallas, like to leave?	2	.39



Trainable Sentence Planning: Parameter Optimization

- Requires a flexible handcrafed planner
- · No overgeneration
- · Adjusting its parameters "somehow"



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I see, oh Chimichurri Grill is a latin american place with sort of poor atmosphere. Although it doesn't have rather nasty food, its price is 41 dollars. I suspect it's kind of alright.

Did you say Ce-Cent'anni? I see, I mean, I would consider it because it has friendly staff and tasty food, you know buddy. ems=4.50 agree=3.50 consc=4.75 open=4.25

extra=2.50

extra=4.75 ems=5.00 agree=6.25 consc=6.25 open=5.25

Examples

- Paiva&Evans: linguistic features annotated in corpus generated with many parameter settings, correlation analysis
- PERSONAGE-PE: personality traits connected to linguistic features via machine learning



Our A*/Perceptron Sentence Planner (*TGEN1*)

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 - a) requires alignment of MR elements and words/phrases
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Our A*/Perceptron Sentence Planner (TGEN1)

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- 2. Learns from unaligned data
 - · Typical NLG training:
 - a) requires alignment of MR elements and words/phrases
 - b) uses a separate alignment step
 - Our sentence planner learns alignments jointly
 - training from pairs: MR + sentence

MR

inform(name=X, type=placetoeat, eattype=restaurant, area=riverside, food=Italian)

X is an italian restaurant in the riverside area.

text





I/O formats

- Input: a MR
 - dialogue acts: "inform" + slot-value pairs
 - other formats possible

inform(name=X, type=placetoeat, eattype=restaurant, area=riverside, food=Italian)



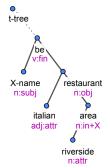
I/O formats

- Input: a MR
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- **Output**: deep-syntax dependency trees

NLG Systems Examples

- based on TectoMT's t-layer, but very simplified
- two attributes per tree node: t-lemma + formeme
- using surface word order

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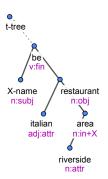




I/O formats

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- Output: deep-syntax dependency trees
 - based on TectoMT's t-layer, but very simplified
 - two attributes per tree node:
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- Conversion to plain text sentences surface realization
 - Treex/TectoMT English synthesis (rule-based, later)

inform(name=X, type=placetoeat, eattype=restaurant, area=riverside, food=Italian)



X is an Italian restaurant in the riverside area.



Overall Structure of Our Sentence Planner

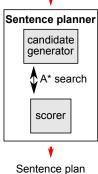
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 - always expand the most promising candidate sentence plan
 - stop when candidates don't improve for a while



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- Using two subcomponents:
 - · candidate generator
 - churning out candidate sentence plan trees
 - given an incomplete candidate tree, add node(s)



MR

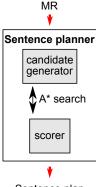
(deep syntax tree)



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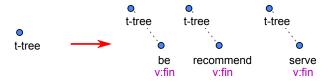
- A*-style search "finding the path" empty tree → full sentence plan tree
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- Using two subcomponents:
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 - given an incomplete candidate tree, add node(s)
 - scorer/ranker for the candidates
 - influences which candidate trees will be expanded (selects the most promising)



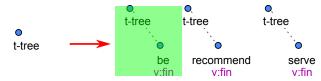




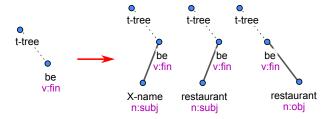




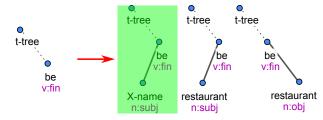




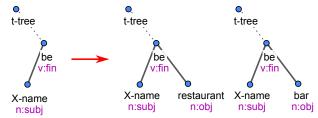






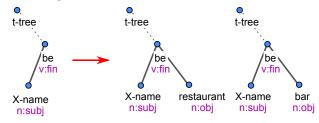






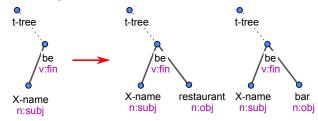


 Given a candidate plan tree, generate its successors by adding 1 node (at every possible place)



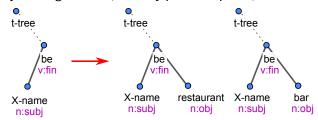
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- "possible places" must be limited in practice
- · using combination of things seen in training data
 - parent-child
 - t-lemma + formeme
 - number of children, tree size ...





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- Updates based on partial trees
- Estimating future value of the trees





Evaluation of Our Sentence Planner

Data

- Restaurant recommendations from the BAGEL generator
 - restaurant location, food type, etc.
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 - slooooow, doesn't scale very well





Input DA	inform(name=X-name, type=placetoeat, area=riverside, near=X-near,
	eattype=restaurant)
Reference	X restaurant is near X on the riverside.
Generated	X is a restaurant in the riverside area near X.



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X is a restaurant in the X area.
inform(name=X-name, type=placetoeat, eattype=restaurant,
area=riverside, food=French)
X is a French restaurant on the riverside.
X is a French restaurant in the riverside area which serves French food.



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Input DA	inform(name=X-name, type=placetoeat, eattype=restaurant,
	area=citycentre, near=X-near, food="Chinese takeaway",
	food=Japanese)
Reference	X is a Chinese takeaway and Japanese restaurant in the city centre near X.
Generated	X is a Japanese restaurant in the centre of town near X and X.



Surface Realization Examples

- Also various input formats, at least output is always text
- From handcrafted to different trainable realizers
- Also including our own (developed here at ÚFAL): Treex/TectoMT realizer
 - actually handcrafted for the most part



Grammar-based Realizers (90's): KPML, FUF/SURGE

KPML

- General purpose, multilingual
- Systemic Functional Grammar

```
(EXAMPLE
:NAME EX-SET-1
:TARGETFORM "It is raining cats and dogs."
:LOGICALFORM
(A / AMBIENT-PROCESS :LEX RAIN
:TENSE PRESENT-CONTINUOUS :ACTEE
(C / OBJECT :LEX CATS-AND-DOGS :NUMBER MASS))
)
```



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KPML

- General purpose, multilingual
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FUF/SURGE

- General purpose
- Functional Unification Grammar

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```

Input Specification (I_1) :

```
\begin{bmatrix} cat & clause & \\ type & composite \\ relation & possessive \\ lex & "hand" & \\ agent & [cat & pers\_pro \\ gender & feminine \\ \end{bmatrix} \\ partic & affected & \prod_{possessor} \begin{bmatrix} cat & np \\ possessor \end{bmatrix} \\ possessor & \\ lex & "draft" & \\ \end{bmatrix}
```

Output Sentence (S_1) : "She hands the draft to the editor"





Grammar-based Realizer: OpenCCG

- General purpose, multi-lingual
- Combinatory Categorial Grammar
- Used in several projects
- With statistical enhancements

 $that \vdash (n \mid n)/(s_{vform=fin}/np)$

Bob ⊢ np

```
\begin{array}{c} \textit{saw} \vdash (s_{\textit{tense} = \textit{past}, \textit{viform} = \textit{fin}} \backslash np) / np \\ \\ \frac{\textit{man}}{n} \quad \frac{\textit{that}}{(n \backslash n) / (s / np)} \quad \frac{\textit{Bob}}{s / (s \backslash np)} \quad \frac{\textit{saw}}{(s \backslash np) / np} \\ \\ \end{array}
```

$$@_x(\mathbf{man} \land \langle \mathsf{GENREL} \rangle (e \land \mathbf{see} \land \langle \mathsf{TENSE} \rangle \mathbf{past} \\ \land \langle \mathsf{ACT} \rangle (b \land \mathbf{Bob}) \land \langle \mathsf{PAT} \rangle x))$$

n\n



s/nn



Procedural Realizer: SimpleNLG

- General purpose
- English, adapted to several other languages
- Java implementation (procedural)

```
Lexicon lexicon = new XMLLexicon("my-lexicon.xml");
NLGFactory nlgFactory = new NLGFactory(lexicon);
Realiser realiser = new Realiser(lexicon);
SPhraseSpec p = nlgFactory.createClause();
p.setSubject("Mary");
p.setVerb("chase");
p.setObject("the monkey");
p.setFeature(Feature.TENSE, Tense.PAST);
String output = realiser.realiseSentence(p);
System.out.println(output);
>>> Mary chased the monkey.
```



Trainable Realizers: Overgenerate and Rank

- Require a handcrafted realizer, e.g. CCG realizer
- Input underspecified \rightarrow more outputs possible
- Overgenerate
- Then use a statistical reranker



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- Then use a statistical reranker
- Ranking according to:
 - n-gram models (NITROGEN, HALOGEN)
 - Tree models (XTAG grammar FERGUS)
 - Predicted Text-to-Speech quality (Nakatsu and White)
 - Personality traits (extraversion, agreeableness... CRAG)
 - + alignment (repeating words uttered by dialogue counterpart)



Trainable Realizers: Overgenerate and Rank

- Require a handcrafted realizer, e.g. CCG realizer
- Input underspecified \rightarrow more outputs possible
- Overgenerate
- Then use a statistical reranker
- · Ranking according to:
 - n-gram models (NITROGEN, HALOGEN)
 - Tree models (XTAG grammar FERGUS)
 - Predicted Text-to-Speech quality (Nakatsu and White)
 - Personality traits (extraversion, agreeableness... CRAG)
 + alignment (repeating words uttored by dialogue counts)
 - + alignment (repeating words uttered by dialogue counterpart)
- Provides variance, but at a greater computational cost





Trainable Realizers: Syntax-Based

- StuMaBa: general realizer based on SVMs
- · Pipeline:
 - ↓ Deep syntax/semantics
 - ↓ surface syntax
 - ↓ linearization
 - ↓ morphologization

Domain-independent



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- We use it for our experiments (TGEN1)
 - analysis → synthesis on BAGEL data = 89.79% BLEU



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 - Word inflection: statistical (Flect)



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- · Pipeline approach
- Mostly simple, single-purpose, rule-based modules (blocks)
 - Word inflection: statistical (Flect)
- Gradual transformation of deep trees into surface dependency trees
 - Surface trees are then simply linearized

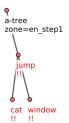


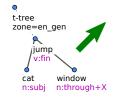


Realizer steps (simplified):



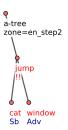
- Realizer steps (simplified):
 - Copy the deep tree (sentence plan)

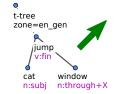






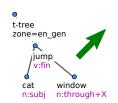
- Realizer steps (simplified):
 - Copy the deep tree (sentence plan)
 - Determine morphological agreement

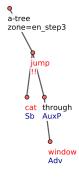






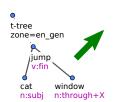
- Realizer steps (simplified):
 - Copy the deep tree (sentence plan)
 - Determine morphological agreement
 - Add prepositions and conjunctions

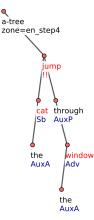






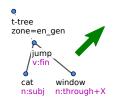
- Realizer steps (simplified):
 - Copy the deep tree (sentence plan)
 - Determine morphological agreement
 - Add prepositions and conjunctions
 - Add articles

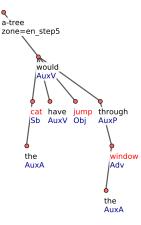






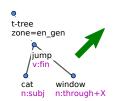
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 - Compound verb forms (add auxiliaries)

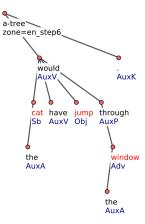






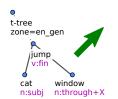
- Realizer steps (simplified):
 - Copy the deep tree (sentence plan)
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 - Compound verb forms (add auxiliaries)
 - Punctuation

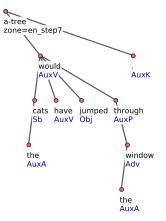






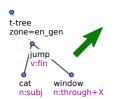
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 - Word inflection

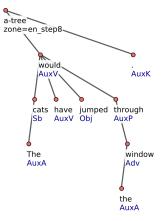






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 - · Copy the deep tree (sentence plan)
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 - Punctuation
 - Word inflection
 - Capitalization







One-step NLG

One-step (Holistic) NLG

- Only one stage no distinction
- Typical for limited domains, also in SDS
- Handcrafted/templates + statistical (lately also neural networks)



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One-step (Holistic) NLG

- Only one stage no distinction
- Typical for limited domains, also in SDS
- Handcrafted/templates + statistical (lately also neural networks)

Template-based systems

- Most common, also in commercial NLG systems
- Simple, straightforward, reliable (custom-tailored for domain)
- Lack generality and variation, difficult to maintain
- Enhancements for more complex utterances: rules





Example: Templates

- Just filling variables into slots
- Possibly a few enhancements, e. g. articles

```
inform(pricerange="{pricerange}"):
                                                        {user} shared {object-owner}'s {=album} {title}
'It is in the {pricerange} price range.'
                                                        Notify user of a close friend sharing content
affirm()&inform(task="find")
    &inform(pricerange="{pricerange}"):
                                                          * {user} is female, {object-owner} is not a person or has an unknown gender.
'Ok, you are looking for something in the'
                                                          {user} sdílela {=album} "{title}" uživatele {object-owner}
    + ' {pricerange} price range.'
                                                                                                                        ✓ X
                                                          {user} sdílela {object-owner} uživatele {=album}{title}
                                                                                                                        ✓ X
affirm()&inform(area="{area}"):
'Ok, you want something in the {area} area.'

■ New translation

affirm()&inform(food="{food}")
    &inform(pricerange="{pricerange}"):
                                                                                          Facebook templates
'Ok, you want something with the {food} food'
    + ' in the {pricerange} price range.'
```

Alex (English restaurant domain)

inform(food="None"): 'I do not have any information' + ' about the type of food.'





One-step Statistical Non-neural NLG Approaches

- Limited domain
- Based on supervised learning (typically: MR + sentence + alignment)
- Typically: phrase-based

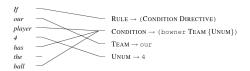


One-step Statistical Non-neural NLG Approaches

- · Limited domain
- Based on supervised learning (typically: MR + sentence + alignment)
- Typically: phrase-based

Examples

- BAGEL: Bayesian networks
 - semantic stacks, ordering
- Angeli et al.: log-linear model
 - records
 \(\sqrt{fields} \sqrt{ templates} \)
- WASP⁻¹: Synchronous CFGs
 - · noisy channel, similar to MT





- Using recurrent neural networks
- Input: 1-hot DA representation

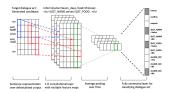
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- Generating word-by-word

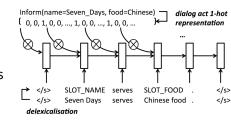


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- Overgenerating + reranking/sanity checks



- Using recurrent neural networks
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- Generating word-by-word
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- 1. RNN language model + convolutional reranking (RNNLM)



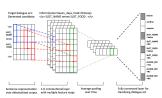


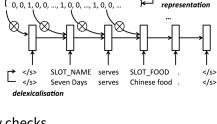


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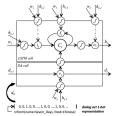


2. Long-short term memory (SC-LSTM)





Inform(name=Seven Days, food=Chinese)



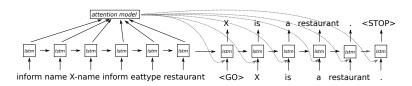
dialog act 1-hot



also based on RNN (LSTM)

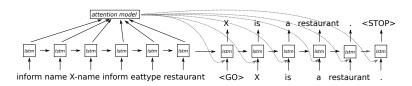


- also based on RNN (LSTM)
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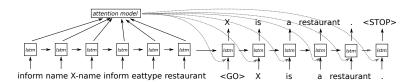


- also based on RNN (LSTM)
- sequence-to-sequence / encoder-decoder approach encode: DAs converted step-by-step by a RNN into a hidden state



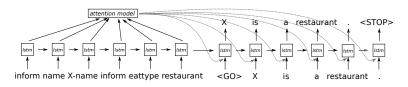


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- also based on RNN (LSTM)
- sequence-to-sequence / encoder-decoder approach encode: DAs converted step-by-step by a RNN into a hidden state decode: output generated from the hidden state by a different RNN
- attention model access to all encoder states
 - weighted by a simple feed-forward NN





Trees/Strings

one-step and two-step NLG with the same architecture

NLG Systems Examples



Trees/Strings

- one-step and two-step NLG with the same architecture
- we can generate words or trees



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 - · using simple bracketed notation

```
( <root> <root> ( ( X-name n:subj ) be v:fin ( ( Italian adj:attr ) restaurant n:obj ( river n:near+X ) ) ) )
```



Trees/Strings

- · one-step and two-step NLG with the same architecture
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 - Treex/TectoMT realizer postprocessing

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Controlling the output

· Using beam search + reranker



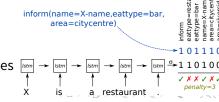
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- Reranker: RNN + classifying 0/1 presence of all DA slots/values





on BAGEL data, same as TGEN1



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- measuring BLEU/NIST + semantic errors (manual, on a sample)



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Setup		BLEU	NIST	ERR
BAGEL (with alignments)		\sim 67	-	0
TGEN1		59.89	5.231	30
TGEN2	Greedy with trees	53.95	5.110	22
	+ Beam search	58.86	5.336	25
	+ Reranking classifier	59.72	5.491	19
	Greedy into strings	58.69	5.330	43
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- TGEN2 ~ same BLEU as TGEN1, but less semantic errors
- · one-step (into strings) works better





Multi-domain NLG (DT-RNN)

- Large out-of-domain data
 + very small in-domain data
- e.g., laptops \rightarrow TVs



Multi-domain NLG (DT-RNN)

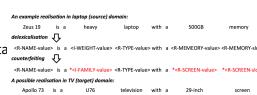
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- "data counterfeiting"





Multi-domain NLG (DT-RNN)

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- "data counterfeiting"
- · discriminative training





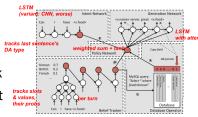
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End-to-end (E2E) Spoken Dialogue Systems

- Based on a complex neural network
- Generation (word-by-word) is a part of the whole setup, trained jointly







Using context in NLG



- Using context in NLG
- NLG system "entrains" (aligns) to the user
 - uses the same words, phrases



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inform_no_match(alternative=next) Sorry, I did not find a later option.



- Using context in NLG
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how bout the next ride
inform_no_match(alternative=next)
Sorry, I did not find a later option.



- Using context in NLG
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 - Adding context to the encoder with the DA



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- · First experiments
 - Adding context to the encoder with the DA
 - Two encoders & combining their hidden state
 - Reranker with BLEU against the context
- Mild success (BLEU 66.7% → 69.5% at best)
 - wish me luck with manual rankings 🕲 🙂





Thank you for your attention

Any comments, questions? Corrections, protests?

Download these slides:

http://bit.ly/nlg2016

Contact me:

odusek@ufal.mff.cuni.cz
office 424



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Further Links

 $WASP^{-1}$

C. DiMarco's slides: https://cs.uwaterloo.ca/~jchampai/CohenClass.en.pdf

F. Mairesse's slides: http://people.csail.mit.edu/francois/research/papers/ART-NLG.pdf

J. Moore's NLG course: http://www.inf.ed.ac.uk/teaching/courses/nlg/

NLG Systems Wiki: http://www.nlg-wiki.org

Wikipedia: http://en.wikipedia.org/wiki/Natural_language_generation

