Variability of Languages in Time and Space

Lecture VIII Syntax, Universals and a case of one Sprachbund

- Syntactic Typology: Ergativity
- Syntactic Typology: Word order
- Universals
- Standard Average European

Anja Nedoluzhko

Syntactic Typology

- Morphology vs. Syntax
 - Paradigmatic vs. syntagmatic relations
 - Relations within a word vs. within a sentence
 - Assembling words vs. dissembling words
- Syntactic typology
 - Differences in the choice of words and word forms
 - Word categories are variably distributed across languages (e.g. definite articles, evidentiality)
 - Available word categories are variably realized (e.g. expression of copula verbs in past and present)
 - Different choice of word forms (e.g. agreement, ezafe, government)
 - Differences in the order of words

Case and Syntactic Government

- Case keeps subject and direct object apart and thus helps the listener interpret the sentence.
 - Matka miluje dceru. (The mother loves the daughter.)
 - I resigned. (*Me resigned.)
 - He kissed me vs. I kissed him

Given Georgian phrases in Latin transcription and their translation into English:

- švils hqavda cxeni
- zayli cevs
- çigni davarda
- mamas hqavda 3ma
- zmas moakvs šeša
- kva devs
- cxens mohqavs švili
- cxeni caikca
- švils hkonda cigni
- kva davarda
- mama cevs

- A child had a horse.
- ____ A dog lies.
- A book fell down.
- The father has a brother.
- The brother carries wood.
- A stone lies.
- A horse carries a child.
- A horse fell down.
- A child had a book.
- A stone fell down.
- The farther lies.

Translate into Georgian:

The brother had a son.	
The father carries a book.	
A book lies.	
The brother carries a horse.	

Roles and Cases

The boy is running.



The boy is sleeping.



Nominative-Accusative Syntax

The boy is running.



The boy is sleeping.



Ergative-Absolutive Syntax

The boy is running.



The boy is sleeping.



Active Syntax

The boy is running.



The boy is sleeping.



	Nomínatíve- Accusatíve syntax	Agent	Patient	H	le cai le sav le die	v me.	Englisł	-		
	intransitive	X	X	7				jde do lesa. upadne.	C	zech
	transitive	X	Y					, pozoruje vzác	cného <mark>p</mark>	táka.
n ר׳	Gizon-a etorri da. man-ABSOLUTIVE has arrived. 'The man has arrived.'				~	Ergat Absolu syn: intrans	utíve tax	Agent Y	Patie Y	
Gizon-akmutil-aikusi du.man-ergativeboy-absolutivesaw		ruu.		trans	itive	X	Y	•		
'7	The man saw the	boy.'								
	actíve Agent Patient syntax		t		wa-ti - wa-kaš	_	.' tie him up.'	Sioux	USA Canada	
	intransitive	х	Y	-	->					
	transitive	х	Y			<mark>ma</mark> -kas	:ка — '	They tie me u	p. ⁻	

ma-ta – 'l'm dying.'

Split Ergativity

 In Hindi-Urdu, Ergative is used with the Agent of transitive verbs in preterit and perfect. In other cases, Nominative is used.



The Distribution of Languages with Ergativity



The Distribution of Languages with Ergativity



Universal Generalizations

 In most languages, case marking follows either the accusative or the ergative alignment, with accusative alignment being more frequent.

Why?

- If the primary role of case marking is to differentiate subjects and objects of transitive sentences, the accusative and the ergative systems are equally useful.
- When subject and object are marked the same way (Active Syntax), case marking fails
- Active syntax: from a semantic point of view, it is the most telling one

References - Ergativity

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- in, one, over, below... the table → Rule: adpositions are preposed
- Jack picked three juicy plums off the tree → Rule: numerals precede adjectives and adjectives precede nouns
- Three juicy plums were picked off the tree by Jack. → Subject NP precedes VP and VP precedes the object NP
- Jack climbed the plum tree **that was closest to the garage**. \rightarrow relative clauses follow the noun that they modify
- Linearization by numerical position (Czech, German)
 - Julia **sah** einen Hund im Garten.
 - Ráda bych se setkala s panem Novákem.

- Joseph Greenberg (1963, 1966) gave a set of statistical universals of word order
 - He used a relatively small sample of geographically and genetically diverse languages
- Subject (S), Verb (V) and Object (O)
- Correlations, Predictable features \rightarrow attractive for typologists
- Problems with this approach:
 - it is sometimes hard to define "basic word order" (e.g. in German, there is different word order in different clause types)
 - there are languages without fixed word order (Slavic languages, Latin), for which we can only talk about preferred word order

subject (S), verb (V) and object (O)

within clause: 6 logically possible types SOV, SVO, VSO, VOS, OVS, OSV

Turkish (**SOV**)

Hasan Öküz - Ü aldı. Hasan ox ACCUSATIVE bought



English (SVO)

The farmer killed the duckling.

'Hasan bought the ox.'

Welsh (VSO)

Lladdodd y ddraig y dyn. killed the dragon the man 'The dragon killed the man.'



Malagasy (VOS) austronesian, Madagascar Nahita ny mpianatra ny vehivavy. saw the student the woman 'The woman saw the student.'



Hixkaryana (OVS)

Totoyahosiyekamaramanit-grabbed-himjaguar'The jaguar grabbed the man.'



is one of the Carib languages, spoken by 500 people maybe the only language with OVS

Two Dominant Orders

 German, Dutch, Frisian, Hungarian, some African languages – SVO + SOV (ca. 30 according to WALS)

s v o s o Hans wusste die Antwort, weil er das im Wörterbuch gesehen hat.

> s Aux o v Hans hat einen Wolf gesehen.

 Other possibilities: VSO or VOS (Alyaska, Oceania), SVO or VSO (Welsch, Greek, Arabic), SVO//VOS or SOV//OVS

SOV SVO VSO

Word Order Distribution

Basic Word Order	N in WALS	Proportion	Examples
Subject-[Verb-Object]	435	35%	English, Czech, Indonesian
Subject-[Object-Verb]	497	40%	Japanese, Turkish
Verb-Subject-Object	85	7%	Celtic, Semitic languages
[Verb-Object]-Subject	26	2%	Malagasy
[Object-Verb]-Subject	9	< 1%	Asuriní
Object-Subject-Verb	4	< 1%	Nadëb
No dominant word order	172	14%	German
Total	1228	100%	



Word Order Distribution

•	SOV	565
•	SVO	488
0	VSO	95
\diamond	VOS	25
•	OVS	11
•	OSV	4
\bigcirc	No dominant order	189

The following are sentences in Inuktitut and their English translations:

- 1. Qingmivit takujaatit.
- 2. Inuuhuktuup iluaqhaiji qukiqtanga.
- 3. Aanniqtutit.
- 4. Iluaqhaijiup aarqijaatit.
- 5. Qingmiq iputujait.
- 6. Angatkuq iluaqhaijimik aarqisijuq.
- 7. Nanuq qaijuq.
- 8. Iluaqhaijivit inuuhuktuit aarqijanga.
- 9. Angunahuktiup amaruq iputujanga.
- 10. Qingmiup ilinniaqtitsijiit aanniqtanga.
- 11. Ukiakhaqtutit.
- 12. Angunahukti nanurmik qukiqsijuq.

Your dog saw you. The boy shot the doctor. You hurt yourself. The doctor cured you. You speared the dog. The shaman cured a doctor. The polar bear came. Your doctor cured your boy. The hunter speared the wolf. The dog hurt your teacher. You fell. The hunter shot a polar bear.

Translate into English:

- 13. Amaruup angatkuit takujanga.
- 14. Nanuit inuuhukturmik aanniqsijuq.
- 15. Angunahuktiit aarqijuq.
- 16. llinniaqtitsiji qukiqtait.
- 17. Qaijutit.
- 18. Angunahuktimik aarqisijutit.

Translate into Inuktitut: 19. The shaman hurt you. 20. The teacher saw the boy.

- 21. Your wolf fell.
- 22. You shot a dog.
- 23. Your dog hurt a teacher.



Inuktitut



Inuktitut (Canadian Inuit) belongs to the Eskimo-Aleut family of languages. It is spoken by approx. 35 000 people in the northern part of Canada

Splitting the Triple

- It is more common that at least one of the two arguments of a transitive clause will be pronominal, and in many languages pronominal subjects are expressed by verbal affixes → other word order.
- More useful typology is OV vs. VO (and SV vs. VS);
- A large number of other features are predictable from it, at least in a statistical sense (Greenberg, Comrie, etc.)
- The order in transitive clauses is not always the same as the order in intransitive clauses

Order of Subject and Verb



Order of Object and Verb



Word Order within a Noun Phrase

- Relative order of A(djective) and N(oun)
 - AN or NA green table vs. the person concerned
- Relative order of head-N and relative clause
 - N Rel (in English) or Rel N (in Turkish)
 - the person (who is) concerned in this investigation
- Relative order of possessive/genitive and head-N
 - NG or GN
 - The hat of the man vs. the man's hat
- Relative order of N and adposition (Prep/Postpositions)
 - Prep N (English) or N Postp. (Japanese)
 - *in London or Tokyo ni*

Word Order within NP -Adjective and Noun



Tibeto-Burman, northeast India

AdjN: rest European, Turkish, Semitic, Iranian, Japanese, Korean, Hindi **NAdj**: French, Italian, Romanian, keltic (Irish, Welsh, Breton, Gaelic), Adyghe, modern Greek, Armenian, most of African

Relative Order of Adj and N



Word Order within NP: Head-N and Relative Clause

English	NRel
the book	[that I am reading]
N	Rel

Alamblak (Papua New Guinea)		RelN	
[ni	hik-r-fë]	yima-r	
[2sg follow-irreal-immed.pst]		person-3sg.m	
	Rel	Ν	
'a man who would have followed you'			

internally-headed relative clauses:

Mesa Grande Diegueño (s. California, n-w Mexico)						
['ehatt gaat akewii]=ve=ch chep						
[dog	cat	get.away	/			
'The cat that the dog chased got away.'						

NRel: all spoken in Europe except Basque and Hungarian, Adyghe, most in Africa

ReIN: Japanese, Korean, Hindi, Basque, Chinese, Caucasian, Turkic

Relative Order of Head-N and Rel



Word Order within NP Possessive/Genitive and Head-N



Alienable vs. Inalienable possession

Sely m-me Sely 3sg.f.poss-mother 'Sely's mother' amah ro-Petrus house gen-Petrus

'Petrus' house'

Maybrat (West Papuan)

NGen predominates in Europe; in much of Africa; in Southeast Asia and among the Austronesian languages of Indonesia, the Philippines, and the Pacific; in the Pacific Northwest in North America...

GenN predominates in West Africa to the west of Nigeria, in much of Asia other than the southeast; in an area around New Guinea; in the Americas...

Given are phrases in the Vai language as well as their English translations:

kàíč á lèndéč	the man's vessel
kòánjà-lèŋě fă	the baby-eagle's father
gbòmùž á nyìmììž	the fish's snake
kàíč kàfà	the man's shoulder
nyìmìì jăŋĕ á gbòmù-lèndèĕ	the long snake's boat
mùsú jăŋč lòò-kàì	the tall woman's brother
nyìmìì kúndúĕ já	the short snake's eye
kòánjà lòòĕ kènjì	the small eagle's claw
kándò jăŋč	the high sky





(a) Translate into English:

mùsúč á gbòmùč; léŋ kúndúč á nyìmììč; gbòmù-lèndè kúndúč.

(b) There is an error in the Vai phrase kándò-lèndé lòòě Correct it and translate the phrase into English.

(c) Translate into Vai:the eagle's snake; the small child's eye;the tall man's sister; the small baby-snake.

Vai belongs to the Central group of the Mande language family. It is spoken by approx. 105 000 people in Liberia and Sierra Leone. ny and η are consonants; ε and ρ are vowels. The marks "", "" and "" denote tones.

Relative Order of Poss and Head-N



Word Order within NP N and Adposition

Prep N (English)	or	N Postp. (Japanese)
in London	or	Tokyo ni

- **Prepositions**: Europe, North Africa and the Middle East; central and southern Africa; a large area extending from Southeast Asia, through Indonesia, the Philippines and the Pacific; the Pacific Northwest in Canada and the United States; and Mesoamerica.
- **Postpositions**: in most of Asia, except in Southeast Asia; in New Guinea, except in the northwest; in North Americ; and in most of South America.

Relative order of N and Adposition



Correlations in Word Order Typology

VO / Prep N / NG / NA, tends to have NRel, strong prefixing, Aux V OV / N Postp / GN / NA tends to have RelN, strong suffixing, V Aux

OV and Rel NVO and Prep NOV and N RelOV and Poss NOV and N PostpVO and N RelVO (other than SVO) and N Poss*VO and Rel N*VO and Rel N

Greenberg's universal: If SOV and NG, then NAdj

vs.

Dryer (1988a, 1992): VO/OV is not related to AdjN/NAdj

•	OV and AdjN	216
•	OV and NAdj	332
•	VO and AdjN	114
•	VO and NAdj	456
0	Other	198
VO and Prepositions OV and Postpositions





•	OV and Postpositions	472
0	OV and Prepositions	14
•	VO and Postpositions	42
0	VO and Prepositions	456
\bigcirc	Other	158

exceptions: Finnish, Estonian, Hungarian, (having VO+postposition)

OV and RelN, OV and NRel, VO and NRel, *VO and RelN

		-0	30 300 C				•	
•	OV and RelN			132		Ò		
•	OV and NRel			113		tāmen	tōu	zìxí
•	VO and ReIN			5		tamen	ιου	
•	VO and NRel			416		3pl	steal	bicy
0	Other			213		'They ste	al bicycles.'	
If a lar	nguage is VO th	on it is			ion (VO&RelN) only in Chinese		gěi	nĭ

Chinese

If a language is VO, then it is usually NRel

0

2)			1 and 1	1 Contraction
	tāmen	tōu	zìxíngchē		
	3pl	steal	bicycle		
	'They steal	bicycles.'			
) e	[wǒ	gěi	nĭ	de]	shū
	[1sg	give	2sg	link]	book
e	'the book [that I gave yo	ou]'		

0

0

correlations

Object-Verb and Adjective-Noun



198

VO and NAdj Other

 \bigcirc

Dryer (1988a, 1992) vs. Greenberg, Comrie

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Language Universals and Typology

- Modern linguistic typology is a method in language universals research
- Implicational statements in the form of 'if X, then Y' are drawn based on cross-linguistic distributional patterns of existing and non-existing types
- Universal types:
 - Implicational vs. non-implicational
 - Absolute vs. tendencies, statistical
- Explanations for universals
 - Common genetic origin (speculative and untestable),
 - Cognitive reasons,
 - Through the structure of discourse, etc.

Implicational and Non-Implicational Universals

- Non-implicational
 - State the existence (or non-existence) of one particular feature
 - e.g. All languages have oral vowels
- Implicational:
 - Apply to languages with a particular feature that is always accompanied by another feature
 - e.g. If a language has trial grammatical number, it also has dual grammatical number

Implicational Universals

Always involve at least two linguistic properties,
'if p then q'

If a language has distinct reflexive pronouns in the first or second person, then it has distinct reflexive pronouns in the third person.

p – 'having distinct reflexive pronouns in the first or second person' q –'having distinct reflexive pronouns in the third person'

- p and q (English: *I hit myself*. \rightarrow *He hit himself*.)
- p and not-q excluded by the implicational universal
- not-p and q (French)
- not-p and not-q (Anglo-Saxon)

Absolute Universals: Examples

- All languages have oral vowels.
- All languages have pronouns.
- The number of inflectional classes of adjectives is never larger than the number of inflectional classes of nouns.
- In all languages, inversion of the word order can be used as a logical or emotional emphasis.
- In all languages it is possible to form general questions without inversion.
- Every language has "double-subject" constructions (*My work, I'm going crazy*.)
- In a language, all (or almost all) verbs with the general meaning 'to create' or 'to destroy' are transitive verbs according to their formal-grammatical features.
- Every human language has deictic elements.
- In conditional statements, the conditional clause precedes the conclusion as the normal order in all languages.

Statistical Universals (Tendencies)

- Statistically significant deviations of random patterning.
 - Absolute universals are the extreme case of deviation from random distribution
- Non-implicational tendencies, e.g.
 - Nearly all languages have nasal consonants (except for some Salishan languages)
- Implicational tendencies, e.g.
 - If a language has SOV basic word order, it will probably have postpositions (but for e.g. Persian SOV+prepositions)
 - "Languages in which the relative clause precedes the head noun are verb-final" (RelN → SOV) (but for e.g. Chinese (RelN+SVO)
 - In basic word order, the subject precedes the object.
 - *but*: Malagasy VOS, Hixkaryana OVS (less than 3% of languages)

Tendencies: Examples

- Consistent OV languages tend to be agglutinative
- Consistent VO languages tend to be inflectional
- If a language is agglutinative, then accent is primarily pitch If a language is flective, then the accent is primarily stress
- Other things being equal, the more analytic a language is, the more regular is its phraseological system
- Words tend to be longer if constituent order is free than if it is rigid
- In flective and introflective languages, word forms tend to be between two and three syllables long, agglutinative and incorporating languages tend to have longer word forms, and isolating languages shorter ones

Against Universals

Evans & Levinson (2009) The myth of language universals.

- Languages are much more diverse in structure than cognitive scientists generally appreciate. Cognitive scientists are not aware of the real range of linguistic diversity
 - Supposedly common to all languages e.g. Verb affixes signaling aspect and tense BUT Many languages (e.g., Chinese, Malay) do not mark tense
 - Verbs for give always have three arguments BUT Saliba is a counterexample
- Authors suggest that
 - Differences between languages are not merely superficial
 - Linguistic diversity patterns just like biological diversity and should be understood in the same sorts of ways
 - Refocusing on a unique property of our communication system, namely its diversity, is essential to understanding its role in human cognition

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Standard Average European (SAE)

- Terminology B.Whorf
- Idea there are some features that European languages tend to have in common
- Sprachbund includes:
 - Germanic languages
 - Romance languages
 - Baltic languages
 - Slavic languages
 - Albanian
 - Greek
 - Hungarian

SAE Common Features (Euroversals) (Haspelmath 2001)

- 1. Definite and indefinite articles (e.g. English *the* vs. *a*);
- 2. Postnominal relative clauses with inflected, resumptive relative pronouns (e.g. English *who* vs. *whose*);
- 3. A periphrastic perfect formed with 'have' plus a passive participle (e.g. English *I have said*);
- 4. A preponderance of generalizing predicates to encode experiencers, i.e. experiencers appear as surface subjects in nominative case, e.g. English *I like music*);
- 5. A passive construction formed with a passive participle plus an intransitive copula-like verb (e.g. English *I am known*);
- 6. A prominence of anticausative verbs in inchoative-causative pairs (e.g. in the pair *The snow melts* vs. *The flame melts the ice*, the intransitive verb is derived from the transitive);
- 7. Dative external possessors (e.g. German *Die Mutter wusch dem Kind die Haare = The mother washed the child's hair*, Portuguese *Ela lavou-Ihe o cabelo = She washed his hair*);
- 8. Verbal negation with a negative indefinite (e.g. English *Nobody listened*);
- 9. Particle comparatives in comparisons of inequality (e.g. English *bigger than an elephant*);
- 10. Equative constructions based on adverbial-relative clause structures (e.g. French *grand comme un élephant*);
- 11. Subject person affixes as strict agreement markers, i.e. the verb is inflected for person and number of the subject, but subject pronouns may not be dropped even when this would be unambiguous (only in some languages, such as German and French);
- 12. Differentiation between intensifiers and reflexive pronouns (e.g. German intensifier *selbst* vs. reflexive *sich*).

SAE – (1) Articles

- (in)definite word distinct from demonstrative/numeral for 'one'
- numeral for 'one'/demonstrative word used as marker of (in)definiteness
- (in)definite affix on noun
- Neither definite nor indefinite article

Definite Article



Indefinite Article

Mog

Kharkiv

Zaporozhye

Tictey

Dniprope



Articles: Examples

Ich	habe	einen	Hund	geka	uft. пъ	т-ят	влиза	в	град-а
I	have	one	dog	boug	ght roa	d-the.sg.ms	enters	to	town-the.ms
	ʻI bo	ught a/o	ne dog.'			'The roa	ad enters th	ne tov	vn'
			'th	ie mar	า′				
			de	n	gamle	mand			
			de th		<i>gamle</i> old	<i>mand</i> man			

Czech: Nech si chuthat, **(ta) vajička** nejsou prilis slana. Daji se jist. Russian: Приятного аппетита, Ǿ яйца не пересоленые. Вполне съедобно. 'Bon appetit! The eggs are not too salty. You can eat them.'

SAE Common Features (Haspelmath 2001)

- 1. definite and indefinite articles (e.g. English *the* vs. *a*);
- 2. postnominal relative clauses with inflected, resumptive relative pronouns (e.g. English *who* vs. *whose*);
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- 11. subject person affixes as strict agreement markers, i.e. the verb is inflected for person and number of the subject, but subject pronouns may not be dropped even when this would be unambiguous (only in some languages, such as German and French);
- 12. differentiation between intensifiers and reflexive pronouns (e.g. German intensifier *selbst* vs. reflexive *sich*).

SAE: Postnominal Relative Clauses

- English: *a man <u>who</u> loves flowers*
- Spanish: *un hombre <u>que</u> ama las flores*
- Czech: *muž, <u>který</u> miluje květiny*
- Hungarian: egy ember, aki szereti a virágot

VS.

• Tamil: மலர்கள் நேசிக்கும் ஒரு மனிதன் "flowers loving man"

SAE Common Features (Haspelmath 2001)

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- 12. differentiation between intensifiers and reflexive pronouns (e.g. German intensifier *selbst* vs. reflexive *sich*).

SAE: Periphrastic Perfect Formed with *'have*' + pass_part

- English: A man <u>has jumped</u> over the hill.
- French: Un homme <u>a sauté</u> par-dessus la colline.
- Greek: Ένας άντρας <u>έχει πήδηξε πάνω</u> στο λόφο.
- German: Ein Mann <u>hat</u> über die Hügel <u>gesprungen</u>. But Ich <u>bin gekommen</u>.
- Finnish: Mies <u>on</u> ['is'] <u>hypännyt</u> yli kukkula.
- Latvian: Vīrietis <u>ir</u> ['is'] <u>pieaudzis</u> virs kalna.
- Czech: Člověk přeskočil přes kopec.
- Hungarian: Egy ember <u>ugrott</u> át a dombon.
- Hindi: reduplication of stem and flexion ba-bhū-va ('I was')
- Yoruba (Kwa branch of the Niger-Congo family)

Ó	ti	ka	iwe	na.
he	PFV /already	read	book	this

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Voice, Diathesis, Genera Verbi

 voice - relationship between the action that the verb expresses and the participants identified by its arguments (S,O, etc.)

active	passive
The cat ate the mouse.	The mouse was eaten by the cat.

• suffixation, e.g. Latin

active	passive
librum legit	liber legitur
'He reads the book'.	'The book is read.'

SAE: Passive = Past_Part + Copula

language	passive
English	He <u>was baptized</u> .
Swedish	Stener <u>blir krossad</u> . 'The stone is being broken'.
German	Er <u>wurde getauft</u> . 'He was baptized'.
Polish	być, zostać: On <u>został pochrzczony</u> . 'He was baptized'.
Czech	<u>Byl pokřtěn</u> . 'He was baptized'. But also Dům <u>se staví</u> . 'The house is being built, refl.'(!)
Hungrian	(coming from spoken language): <i>le lett írva</i> ('It was written.')

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SAE: Negation

language	negation /example/
English	Nobody listened.
Swedish	Ingen lyssnade.
Spanish	Nadie escuchó.
combination of negativ	e pronoun and negation on verb:
Czech (all slavonic)	Nikdo neposlouchal.
Hungarian (=slavonic)	Senki sem figyelt.
nega	ation on verbs
Estonian (+ Finnish)	Keegi ei kuulanud (lit. 'Somebody not listen')

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SAE: Comparative Constructions

Locational comparativ	ves	Estonian			
<i>stam u sdam-dan ysš</i> father.my that man-from young					
'My father is younger than that man.'					
Exceed comparatives Thai					
kăw sŭuŋ kwă	kon túk	kon			
he tall exceed 'He is taller than anyor		man			

Conjoined a		Malay		
<i>kayu</i> wood 'Stone is	<i>batu</i> stone sheavier		/y	

ruiticie computatives	Particl	e com	paratives
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tu	es	plus	jolie	que	ta	sœur		
you	are	more	pretty	than	your	sister		
'You are prettier than your sister.'								
Fren	ch			lstván		magasa-bb	mint	Peter
				lstván.nom		tall-more	than	Peter.nom
'István is taller than Peter.'								

SAE: Comparative Constructions



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