

# NTIN066 - solutions 8

David Mareček

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1. Prove that if  $H$  is  $(k, c)$ -independent for  $k > 1$ , then it is also  $(k - 1, c)$ -independent

$$\begin{aligned} & P[h(x_1) = a_1 \wedge h(x_2) = a_2 \wedge \dots \wedge h(x_{k-1}) = a_{k-1}] = \\ & = \sum_{i=1}^m P[h(x_1) = a_1 \wedge h(x_2) = a_2 \wedge \dots \wedge h(x_{k-1}) = a_{k-1} \wedge h(x_k) = i] \leq \\ & \leq m \frac{c}{m^k} = \frac{c}{m^{k-1}} \end{aligned}$$

2. Prove that if  $H$  is  $(2, c)$ -independent, then it is  $c$ -universal.

$$\begin{aligned} & \forall x_1, x_2, a_1, a_2 : P[h(x_1) = a_1 \wedge h(x_2) = a_2] \leq \frac{c}{m^2} \\ & P[h(x_1) = h(x_2)] = \sum_{i=1}^m P[h(x_1) = i \wedge h(x_2) = i] \leq m \frac{c}{m^2} = \frac{c}{m} \end{aligned}$$

3. Prove that tabulation hashing is 3-independent but not 4-independent.

If tabulation uses only one table, it is perfectly random, so it is  $k$ -independent for each  $k$  not exceeding the size of the universe.

Otherwise, we have  $t \geq 2$  tables, each indexed by bits. Now consider  $x_1, x_2, x_3$  and  $a_1, a_2, a_3$  from the definition of 3-independence. At the same time, we imagine  $x_i$  as ordered  $k$ -tuples of  $b$ -bit values (parts indexing individual tables).

(a) If there is a position  $i$  in which all  $x_1, x_2, x_3$  differ, then regardless of the values in the other positions, we can always fill in the table corresponding to this position so that the XORs come out  $a_1, a_2, a_3$ . Since  $T_i$  is perfectly random, the probability of generating  $a_1, a_2, a_3$  is  $1/m^3$ .

(b) Or there exists (WLOG) a position  $i$  and position  $j$ , for which:

$$\begin{aligned} & x1[i] \quad x2[i], \quad x1[i] = x3[i], \quad (\text{call } x1[i] = A \text{ and } x2[i] = B) \\ & x1[j] = x2[j], \quad x1[j] \quad x3[j], \quad (\text{call } x1[j] = C \text{ and } x3[j] = D) \end{aligned}$$

We get a set of equations ( $\wedge$  is XOR)

$$T_i[x_1[i]] \wedge T_j[x_1[j]] \wedge v_1 = a_1$$

$$T_i[x_2[i]] \wedge T_j[x_2[j]] \wedge v_2 = a_2$$

$$T_i[x_3[i]] \wedge T_j[x_3[j]] \wedge v_3 = a_3$$

where  $v_1$ ,  $v_2$ , and  $v_3$  are XORed values from the other tables.

$$T_i[A] \wedge T_j[C] = a_1 \wedge v_1$$

$$T_i[B] \wedge T_j[C] = a_2 \wedge v_2$$

$$T_i[A] \wedge T_j[D] = a_3 \wedge v_3$$

*For each  $T_j[C]$  and each  $v_1, v_2, v_3$ , this set of equations has just one solution. So the probability that filling of the tables leads to a solution is exactly  $1/m^3$ , as we need for 3-independence.*

Counter-example for 4-independence:

Imagine these four hash keys.

Values A, B, C, D are corresponding values in the hash tables.

A B E F

A C E F

D B E F

D C E F

Then for each  $a_1, a_2, a_3, a_4$ :

$$A \wedge B \wedge E \wedge F = a_1$$

$$A \wedge C \wedge E \wedge F = a_2$$

$$D \wedge B \wedge E \wedge F = a_3$$

$$D \wedge C \wedge E \wedge F = a_4$$

$$\Rightarrow a_1 \wedge a_2 \wedge a_3 \wedge a_4 = 0$$

If  $a_1 \wedge a_2 \wedge a_3 \wedge a_4 = 0$ , probability is  $1/m^3$

Otherwise, probability is 0.