

Compact Course on Tübingen Treebanks

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Course Objectives

- ▶ Provide an introduction of the suite of TüBa treebanks
- ▶ Provide practical advice for constructing a treebank:
 - ▶ useful tools
 - ▶ the do's and don'ts
- ▶ Provide an in-depth look at a treebank with multiple layers of annotation
- ▶ The challenges of treebanking spoken language data

Course Overview

- ▶ Overview of Tübingen Treebanks for Spoken and Written Language
- ▶ From the Treebanker's Cook Book: preparing the data
- ▶ Annotation Layers of the TüBa-D/Z
- ▶ Constructing a valence lexicon from a treebank

Source data of the TüBa-D/Z

- ▶ German daily newspaper *die tageszeitung* (taz)
 - ▶ Comparable corpus
 - ▶ Cooperative editor
 - ▶ Cheap licensing costs for users
 - ▶ Source format: DVD-ROM with HTML files ("scientific edition")
- ▶ Blocks of newspaper editions between 1992 and 1999
 - ▶ Period before German spelling reform
 - ▶ Same orthography

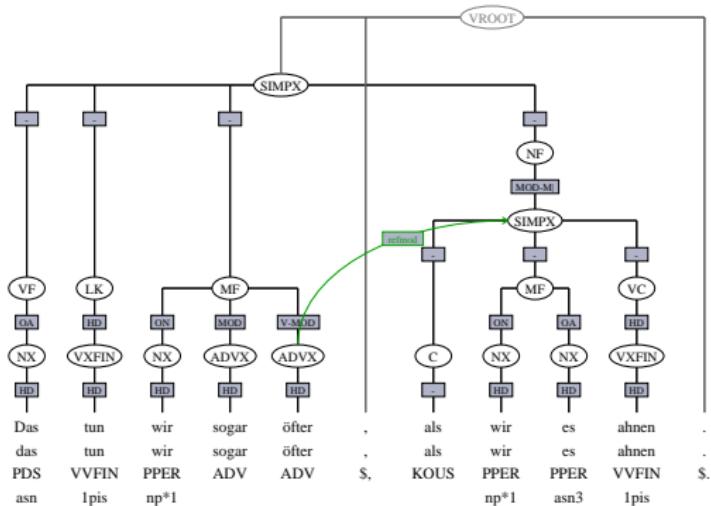
TüBa-D/Z

- ▶ 2,778 newspaper articles
- ▶ 55,814 sentences
- ▶ 976,262 tokens
- ▶ semi-automatic annotation and manual correction of all layers
- ▶ ongoing annotation since 2001

Annotation levels of the TüBa-D/Z Treebank

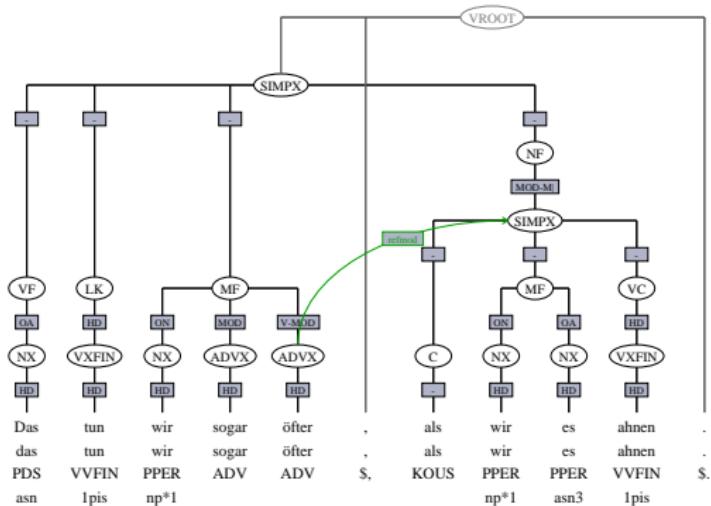
- ▶ POS tags
- ▶ Morphology
- ▶ Lemmas
- ▶ Syntax
- ▶ Grammatical functions
- ▶ Named Entities
- ▶ Coreference and anaphora

Annotation scheme



That do we even more_often , than we it_expl suspect .
We do that even more often than we suspect.

Annotation scheme



That do we even more_often , than we it_expl suspect .
We do that even more often than we suspect.

The Stuttgart-Tübingen Tagset STTS

- ▶ The STTS is a set of 54 tags for annotating German text corpora with part-of-speech labels.
- ▶ The STTS guidelines (available on the website) explain the use of each tag by illustrative examples to aid human annotators in consistent corpus annotation by STTS tags.
- ▶ It was jointly developed by the Institut für maschinelle Sprachverarbeitung of the University of Stuttgart and the Seminar für Sprachwissenschaft of the University of Tübingen.

The Stuttgart-Tübingen Tagset STTS (2)

- | | |
|--------------------------|--------------------------|
| 1. Nomina (N) | 7. Adverbien (ADV) |
| 2. Verben (V) | 8. Konjunktionen (KO) |
| 3. Artikel (ART) | 9. Adpositionen (AP) |
| 4. Adjektive (ADJ) | 10. Interjektionen (ITJ) |
| 5. Pronomina (P) | 11. Partikeln (PTK) |
| 6. Kardinalzahlen (CARD) | |

Tabelle: Tags for major word classes

STTS Tags

POS =	Beschreibung	Beispiele
ADJA	attributives Adjektiv	<i>[das] große [Haus]</i>
ADJD	adverbiales oder prädikatives Adjektiv	<i>[er fährt] schnell</i> <i>[er ist] schnell</i>
ADV	Adverb	<i>schon, bald, doch</i>
APPR	Präposition; Zirkumposition links	<i>in [der Stadt], ohne [mich]</i>
APPRART	Präposition mit Artikel	<i>im [Haus], zur [Sache]</i>
APPO	Postposition	<i>[ihm] zufolge, [der Sache] wegen</i>
APZR	Zirkumposition rechts	<i>[von jetzt] an</i>
ART	bestimmter oder unbestimmter Artikel	<i>der, die, das,</i> <i>ein, eine</i>

STTS Tags (2)

POS =	Beschreibung	Beispiele
CARD	Kardinalzahl	<i>zwei [Männer], [im Jahre] 1994</i>
FM	Fremdsprachliches Material	<i>[Er hat das mit "A big fish" übersetzt]</i>
ITJ	Interjektion	<i>mhm, ach, tja</i>
KOUI	unterordnende Konjunktion mit "zu" und Infinitiv	<i>um [zu leben], anstatt [zu fragen]</i>
KOUS	unterordnende Konjunktion mit Satz	<i>weil, daß, damit, wenn, ob</i>
KON	nebenordnende Konjunktion	<i>und, oder, aber</i>
KOKOM	Vergleichspartikel, ohne Satz	<i>als, wie</i>

STTS Tags (3)

POS =	Beschreibung	Beispiele
NN	normales Nomen	<i>Tisch, Herr, [das] Reisen</i>
NE	Eigennamen	<i>Hans, Hamburg, HSV</i>
PDS	substituierendes Demonstrativpronomen	<i>dieser, jener</i>
PDAT	attribuierendes Demonstrativpronomen	<i>jener [Mensch]</i>
PIS	substituierendes Indefinitpronomen	<i>keiner, viele, man, niemand</i>
PIAT	attribuierendes Indefinitpronomen ohne Determiner	<i>kein [Mensch], irgendein [Glas]</i>

STTS Tags (4)

POS =	Beschreibung	Beispiele
PIDAT	attribuierendes Indefinitpronomen mit Determiner	[ein] wenig [Wasser], [die] beiden [Brüder]
PPER	irreflexives Personalpronomen	ich, er, ihm, mich, dir
PPOSS	substituierendes Possessivpronomen	meins, deiner
PPOSAT	attribuierendes Possessivpronomen	mein [Opa], deine [Oma]
PRELS PRELAT	Relativpronomen substituierend attribuierend	[der Hund,] der [der Mann ,] dessen [Hund]

STTS Tags (5)

POS =	Beschreibung	Beispiele
PRF	reflexives Personalpronomen	<i>sich, einander, dich, mir</i>
PWS	substituierendes Interrogativpronomen	<i>wer, was</i>
PWAT	attribuierendes Interrogativpronomen	<i>welche [Farbe], wessen [Hut]</i>
PWAV	adverbiales Interrogativ– oder Relativpronomen	<i>warum, wo, wann, worüber, wobei</i>
PAV	Pronominaladverb	<i>dafür, dabei, deswegen</i>
PTKZU	“zu” vor Infinitiv	<i>zu [gehen]</i>
PTKNEG	Negationspartikel	<i>nicht</i>

STTS Tags (6)

POS =	Beschreibung	Beispiele
PTKVZ	abgetrennter Verbzusatz	<i>[er kommt] an, [er fährt] rad</i>
PTKANT	Antwortpartikel	<i>ja, nein, danke, bitte</i>
PTKA	Partikel bei Adjektiv oder Adverb	<i>am [schönsten], zu [schnell]</i>
TRUNC	Kompositions–Erstglied	<i>An– [und Abreise]</i>
VVFIN	finites Verb, voll	<i>[du] gehst, [wir] kommen [an]</i>
VVIMP	Imperativ, voll	<i>komm [!]</i>
VVINF	Infinitiv, voll	<i>gehen, ankommen</i>
VVIZU	Infinitiv mit “zu”, voll	<i>anzukommen, loszulassen</i>
VVPP	Partizip Perfekt, voll	<i>gegangen, angekommen</i>

STTS Tags (7)

POS =	Beschreibung	Beispiele
VAFIN	finites Verb, aux	<i>[du] bist, [wir] werden</i>
VAIMP	Imperativ, aux	<i>sei [ruhig !]</i>
VAINF	Infinitiv, aux	<i>werden, sein</i>
VAPP	Partizip Perfekt, aux	<i>gewesen</i>
VMFIN	finites Verb, modal	<i>dürfen</i>
VMINF	Infinitiv, modal	<i>wollen</i>
VMPP	Partizip Perfekt, modal	<i>[er hat] gekonnt</i>
XY	Nichtwort, Sonderzeichen enthaltend	<i>D2XW3</i>

STTS Tags (8)

POS =	Beschreibung	Beispiele
\$,	Komma	,
\$.	Satzbeendende Interpunktionszeichen	. ? ! ; :
\$(sonstige Satzzeichen; satzintern	- []()

Morphology in TüBa-D/Z (1)

- ▶ person/number/mood/tense for verbs
- ▶ case/number/gender[/person]
for nouns, adjectives, determiners, pronouns
- ▶ case/number/gender for **names**
 - ▶ different from TiGer, Ancora
 - ▶ person: m/f
 - ▶ rivers: (usually) f
 - ▶ city:n
 - ▶ can be tested with adjective modification
ein schöneres Bremen (a more beautiful Bremen)
eine sauberere Donau (a cleaner Danube)

Morphology in TüBa-D/Z (2)

Inflectional morphology: 54 different tags, 412 combination of morphological properties

Values of morphological features:

Feature	Values
case	n (nominative), g (genitive), d (dative), a (accusative), * (underspecified)
gender	m (masculine), f (feminine), n (neuter), * (underspecified)
number	s (singular), p (plural), * (underspecified)
mood	i (indicative), k (subjunctive; German 'Konjunktiv')
person	1 (first), 2 (second), 3 (third), * (underspecified)
tense	s (present), t (past)

Levels of Syntactic Annotation

Level	Inventory
sentence level	root node labels for different types of sentences
field level	node labels for topological fields
phrase level	node labels for syntactic categories and edge labels for grammatical functions
lexical level	lexical entries tagged with the part-of-speech (POS) tags taken from the STTS tagset

Major Clause Types in German

- (1) a. Peter wird das Buch gelesen haben.
Peter will the book read have
'Peter will have read the book.'
- b. Wird Peter das Buch gelesen haben?
Will Peter the book have read
'Will Peter have read the book?'
- c. dass Peter das Buch gelesen haben wird.
that Peter the book read have will
'... that Peter will have read the book.'

Flexible Phrase Ordering

- (2) a. Der Mann hat gestern den Roman gelesen.
The man has yesterday the novel read
'The man read the novel yesterday.'
- b. Gestern hat der Mann den Roman gelesen
- c. Den Roman hat der Mann gestern gelesen

Discontinuous Constituents

- (3) Der Mann hat gestern den Roman gelesen, den ihm Peter
The man has yesterday the novel read which him Peter
empfahl.
recommended
'Yesterday the man read the novel which Peter recommended to him.'
- (4) Peter soll dem Mann empfohlen haben, den Roman zu lesen.
Peter is to the man recommended have the novel to read
'Peter is said to have recommended to the man to read the novel.'

Topological Fields

- (5) a. $[VF [NP \text{ Peter}]] [LK \text{ wird}] [MF [NP \text{ das Buch}]]$
 $[RK [VC \text{ gelesen haben.}]]$
- b. $[LK \text{ Wird}] [MF [NP \text{ Peter}] [NP \text{ das Buch}]]$
 $[RK [VC \text{ gelesen haben?}]]$
- c. $[LK [CF \text{ dass}]] [MF [NP \text{ Peter}] [NP \text{ das Buch}]]$
 $[RK [VC \text{ gelesen haben wird.}]]$

Node Labels

Node Labels	Description
Phrase Node Labels	
NX	noun phrase
PX	prepositional phrase
ADVX	adverbial phrase
ADJX	adjectival phrase
VXFIN	finite verb phrase
VXINF	infinite verb phrase
DP	determiner phrase (e.g. <i>gar keine</i>)
Root Node Labels	
SIMPX	simplex clause
R-SIMPX	relative clause
P-SIMPX	paratactic construction of simplex clauses
DM	discourse marker

Node Labels (2)

Node Labels	Description
Topological Field Node Labels	
LV	resumptive construction (Linksversetzung)
VF	initial field (Vorfeld)
LK	left sentence bracket (Linke (Satz-)Klammer)
MF	middle field (Mittelfeld)
VC	verb complex (Verbkomplex)
NF	final field (Nachfeld)
C	complementizer field (C-Feld)
KOORD	field for coordinative particles
PARORD	field for coordinative particles
FKOORD	coordination consisting of conjuncts of fields
Field	Conjunct Node Labels
LKM, LKMVC, LKMVCN, LKMN, LKVCN, LKN, MVC, MVCN, MN, VCN, CM, CMVC	combinations of fields - node labels are derived by concatenation of conjunct field labels (V = VF, M = MF, N = NF) e.g. LKM = LK + MF

Edge Labels

Edge Labels	Description
Edge Labels denoting Head	
HD	head
-	non-head
Complement Edge Labels	
ON	nominative object
OD	dative object
OA	accusative object
OS	sentential object
OPP	prepositional object
OADV	adverbial object
OADJP	adjectival object
PRED	predicate
OV	verbal object
FOPP	optional prepositional object
VPT	separable verb prefix
APP	apposition

Edge Labels (2)

Edge Labels	Description
Edge Labels denoting Head	
Modifier Edge Labels	
MOD	ambiguous modifier
ON-MOD, OA-MOD, OD-MOD, MOD-MOD, V-MOD, OPP-MOD, PRED-MOD, FOPP-MOD	modifiers modifying complements or modifiers e.g. V-MOD = modifier of the verb
Edge Labels in Split-up Coordinations	
ONK, ODK, OAK, OPPK, FOPPK, OADJPK, PREDK, MODK, OA-MODK, V-MODK, OPP-MODK, PREDMODK, MOD-MODK	second conjunct in split-up coordinations e.g. ONK = second conjunct of a nominative object (subject)

Edge Labels (3)

Secondary Edge Labels	
ref1	first verbal object in VC selected by a verbal object
EN	phrase internal relation between two parts of a proper noun
refcontr	dependency relation between a control verb and its complement
refint	dependency relation between a phrase internal part and its modifier
refmod	dependency relation in case of ambiguous modification
refvc	dependency relation between two verbal objects in the verb complex

Constructing the TüBa-D/Z Treebank

- ▶ Choice of source data
- ▶ Preprocessing
- ▶ Annotation
- ▶ Correction
- ▶ Postprocessing

1st processing step – Formatting and Segmentation

- ▶ Script
 - ▶ Extraction of text from HTML files
 - ▶ Sentence splitter (Finite State)
 - ▶ Tokenizer (Finite State)
 - ▶ POS tagger (multi-classifier combination)
 - ▶ Conversion of data into Negra Export format
- ▶ Manual
 - ▶ Correction of sentence boundaries
 - ▶ Annotation of article headlines

Source format

Example:

```
<HTML>
[...]
<!--TI-->
<H2>Tödliche Nachschicht</H2>
<!--END-->
<!--TX-->
<P>
In einer Berliner Papierfabrik kam der 26jährige Papiermacher
und Student der Elektrotechnik Thomas H. ums Leben. Ein Arbeitsunfall.
Offizielle Unfallerklärung: Eigenverschulden. Bericht auf der
Hintergrund-Seite 8</P>
<!--END-->
<HR>
[...]
</HTML>
```

2nd processing step – Syntactic annotation

Annotation Tool: @nnotate

- ▶ Semi-automatic annotation of corpus data
- ▶ Context-free structures
- ▶ Additionally allows crossing edges
- ▶ Labels for terminal nodes, non-terminal nodes, and edges
- ▶ User-defined label inventory

@nnotate

- ▶ Communication with external taggers and parsers
- ▶ POS tagger *TnT*
- ▶ Statistical Parser based on cascaded Markov-Models
- ▶ NP chunker *Chunkie*
- ▶ Relational database for annotated corpora: MySQL

- ▶ Developed at University of Saarbrücken, Germany
- ▶ Developed ca. 1998
- ▶ Operating system: Solaris/Linux
- ▶ Software no longer maintained

Syntactic annotation

- ▶ Manual correction of POS tags
- ▶ Manual correction of spelling errors into a distinct “comment” layer
- ▶ Interactive semi-automatic annotation of
 - ▶ Phrases
 - ▶ Topological fields
 - ▶ Grammatical functions
 - ▶ Secondary Edges
 - ▶ (Complex) Named Entities
 - ▶ Sentences

Named Entities

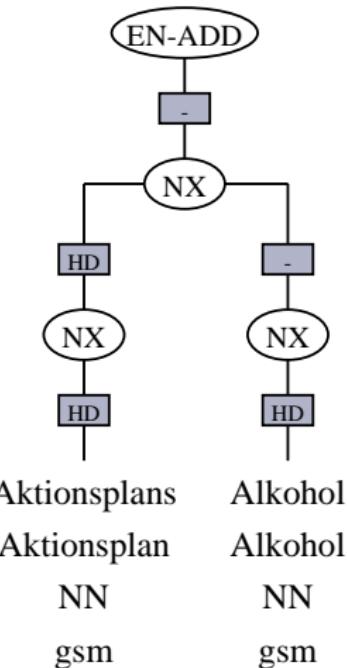
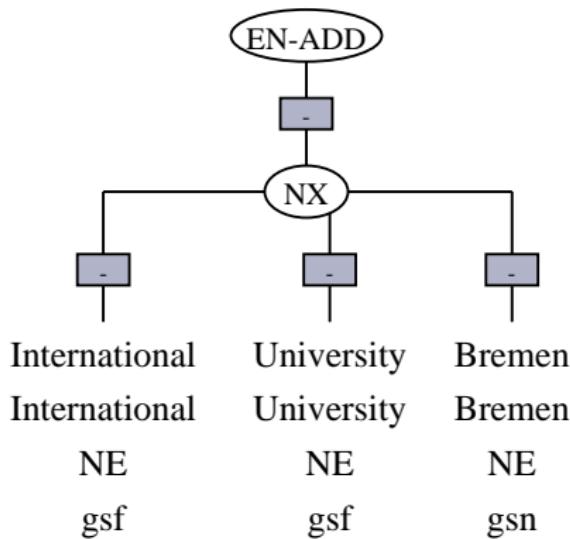
Classes of Named Entities:

- ▶ Names consisting of one lexical element (POS-tagged as NE or POS-tagged according to their distribution + EN-ADD)
- ▶ Complex names consisting of more than one lexical element, each of them POS-tagged as NE
- ▶ Complex names which are POS-tagged according to their distribution (EN-ADD or EN secondary edge)

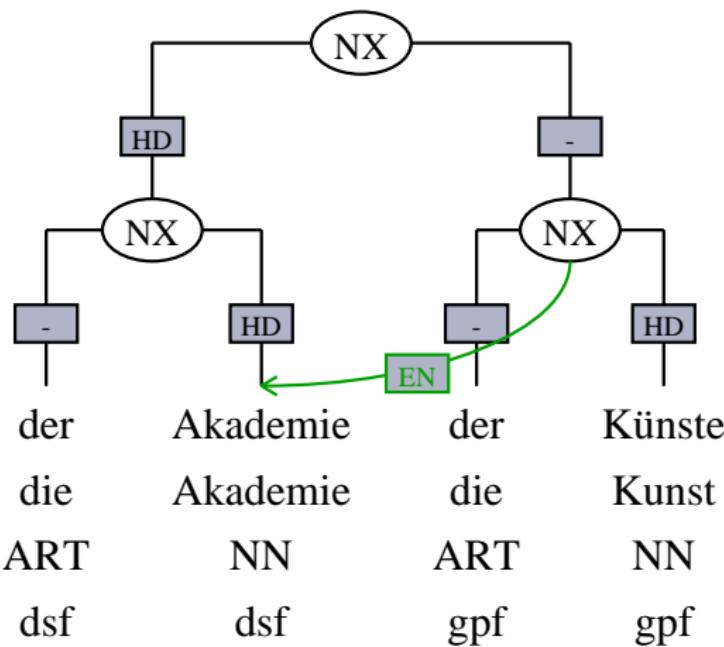
Annotation of Named Entities:

- ▶ On the morpho-syntactic level via STTS tags (NE)
- ▶ On the syntactic level via labels

Named Entities: node label



Named Entities: secondary edge



@nnotate

- ▶ Authorization and user management



@nnotate – Annotation Process

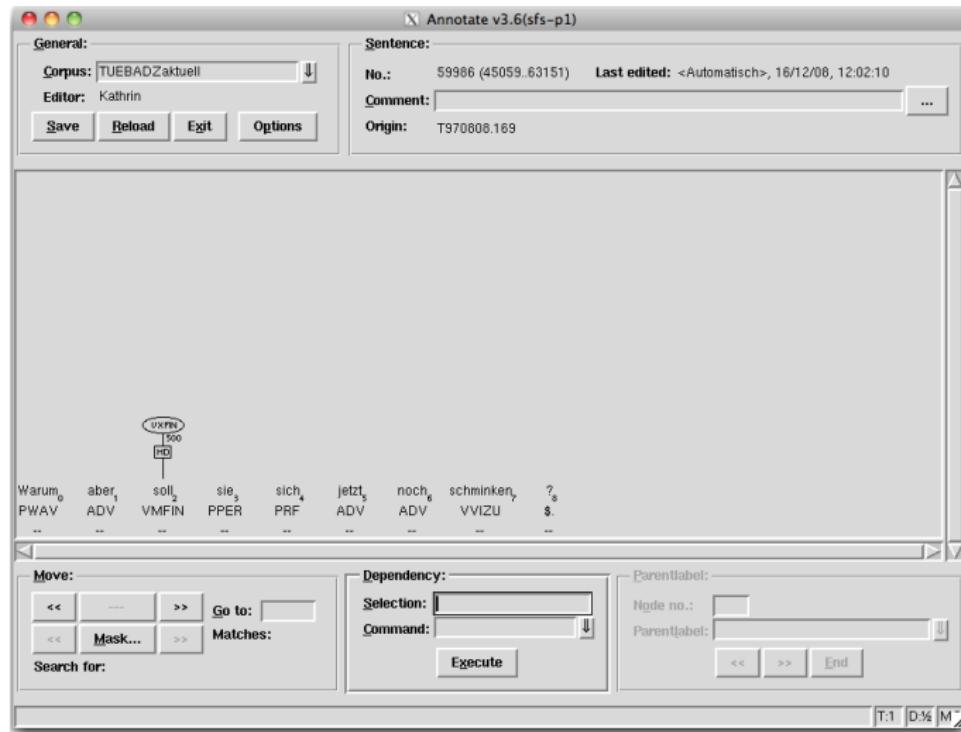


Why but shall she herself now still make-up?

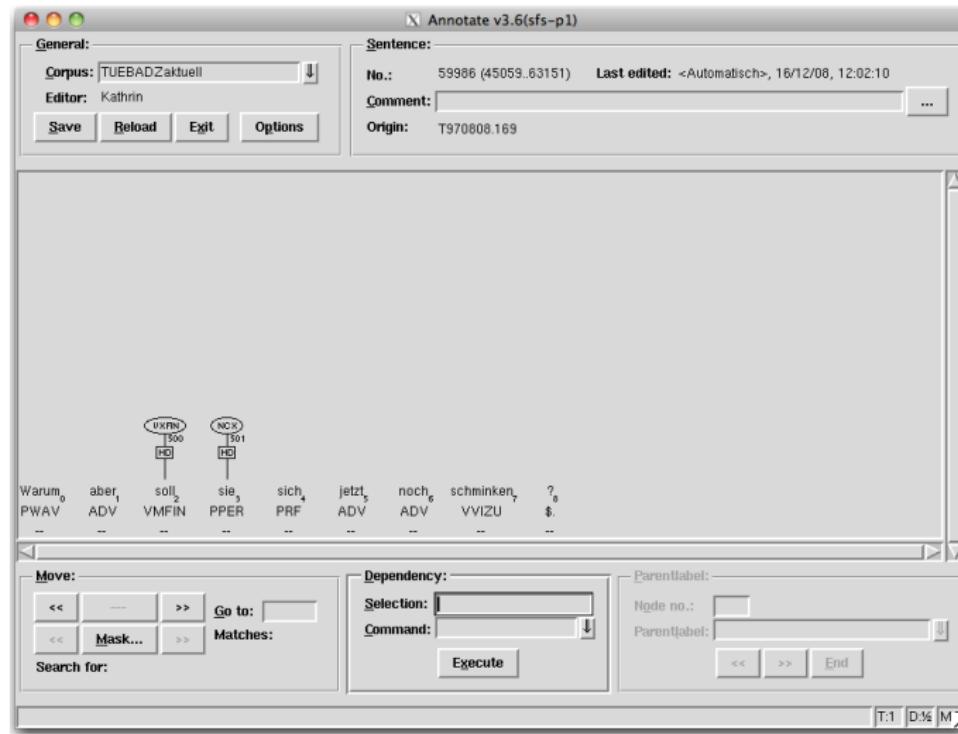
But why should she still put on make-up now?

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@nnotate – Annotation Process



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@nnotate – Annotation Process

General:

Corpus: TUEBADZaktuell
Editor: Kathrin

Sentence:

No.: 59986 (45059.63151) Last edited: <Automatisch>, 16/12/08, 12:02:10
Comment: ...
Origin: T970808.169

Save Reload Exit Options

Warum₀ aber₁ soll₂ sie₃ sich₄ jetzt₅ noch₆ schminken₇?₈

PWAV ADV VMFIN PPER PRF ADV ADV VVIZU \$

Move:

<< --- >> Go to:
 << Mask... >>

Matches:
Search for:

Dependency:

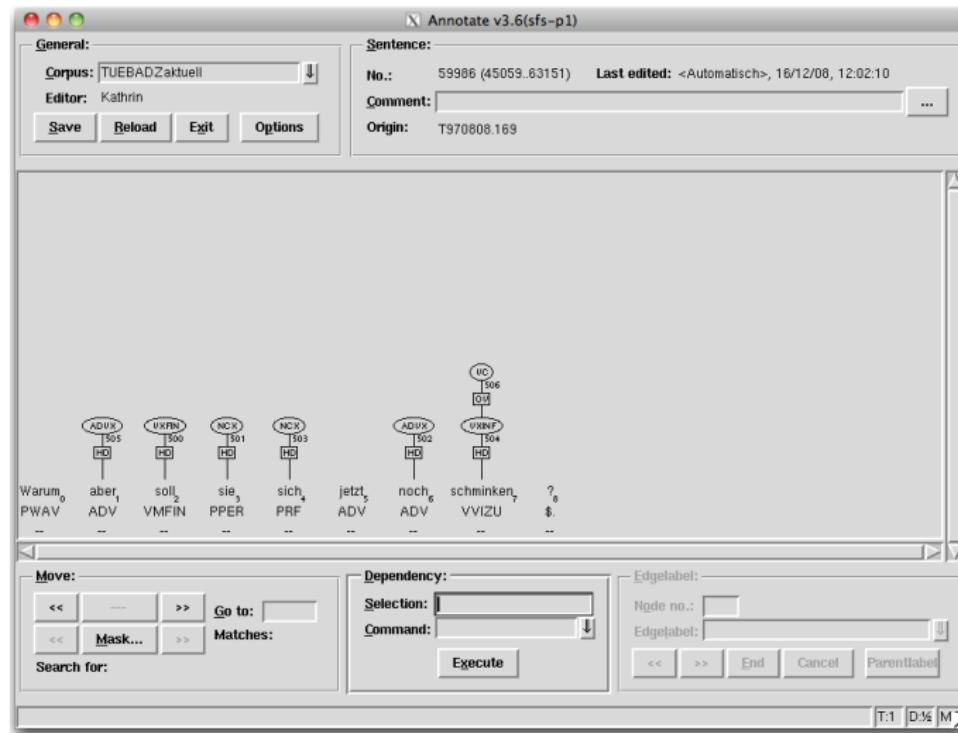
Selection:
Command: Parse

Edgelabel:

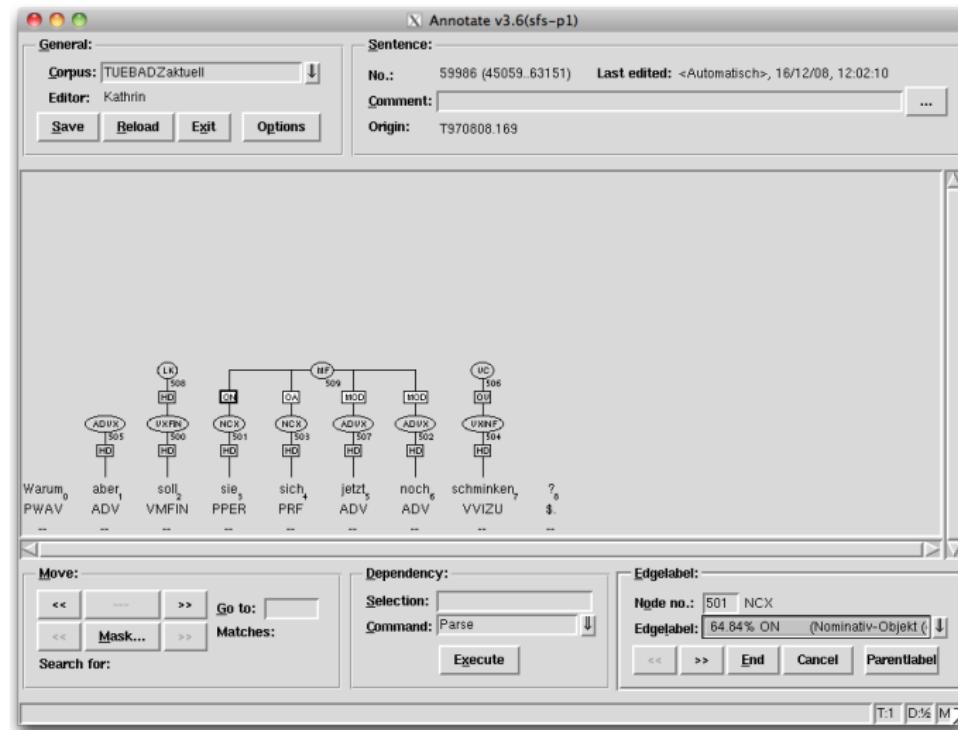
Node no.: 504 VXINF
Edge label: 77.14% OV (verbales Objekt; 41)
 << >> End Cancel Parentlabel

T:1 D:5 M:

@nnotate – Annotation Process



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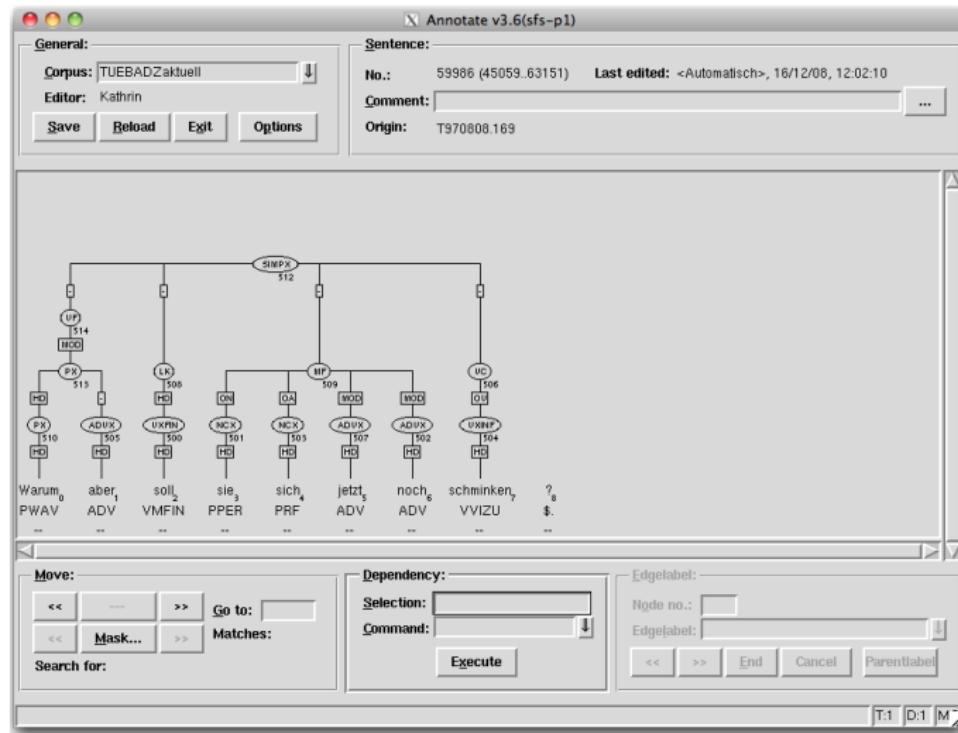
Save Reload Exit Options

Move: << --- >> Go to: Selection:
 << Mask... >> Matches: Command: Parse Execute

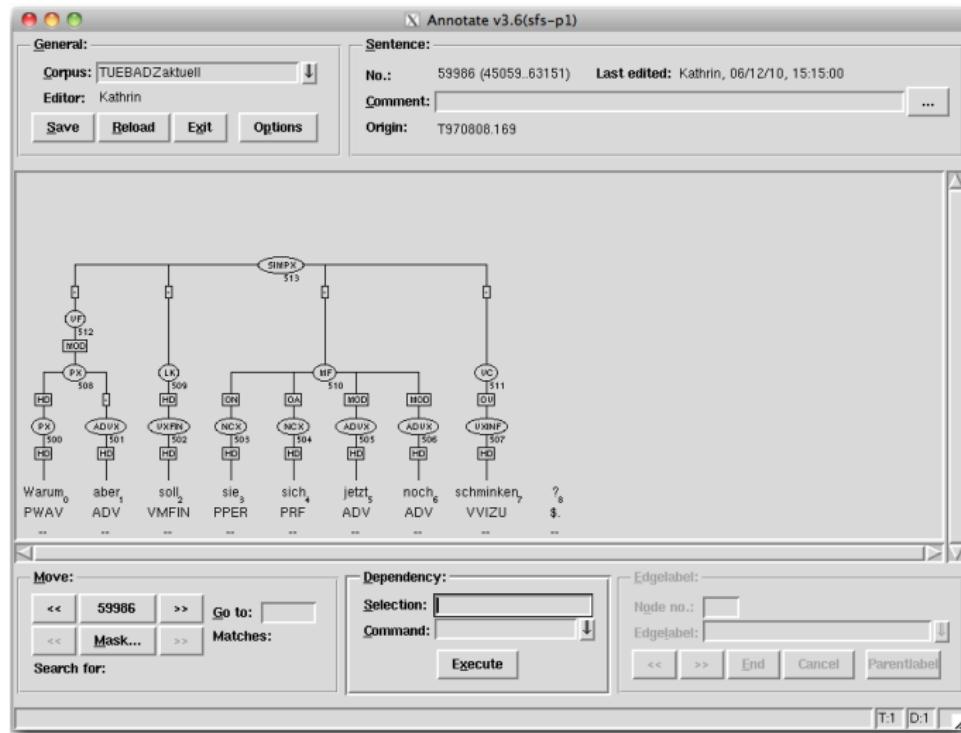
Dependency: Node no.: 503 NCX Edgelabel: Node no.: 503 NCX Edgelabel: 50 96% OA (Akkusativ-Objekt, 1)
 << >> End Cancel Parentlabel

Search for: T:1 D:2 M:

@nnotate – Annotation Process



@nnotate – Annotation Process



3rd step – Morphological annotation (1)

Input for morphological pre-annotation scripts:

- ▶ Morphological analyser SMOR (Helmut Schmid, Stuttgart)
- ▶ POS annotation ⇒ list of possible morphology
- ▶ Gazetteer of names (person names, cities)
- ▶ Gender/number of unknown names and common nouns (learned)

```
UNK-NN Peptimist      sm
NON-AMB Wolfgang Schuchard  NE NE sm sm
NON-AMB Washington Post NE NE   sn sf
```

3rd step – Morphological annotation (2)

Input for morphological pre-annotation scripts:

- ▶ Syntactic annotation
 - ▶ Grammatical functions ⇒ case of NPs
 - ▶ PP annotation ⇒ case of head NPs
 - ▶ NP annotation ⇒ agreement of modifiers
 - ▶ S annotation ⇒ subject-verb-agreement
- ▶ Accusative/dative disambiguation of prepositions
 - ▶ Accusative default for verb adjuncts
 - ▶ Dative default for noun adjuncts
 - ▶ List of exceptions (learned)
- ▶ Sentences are separated by empty text lines

Morphology

Example (1):

```
>>> Am (APPRART --)
dsn an
>>> Ende (NN --)
dsn Ende
>>> kehrte (VVFIN --)
3sit kehren
>>> man (PIS --)
ns* --
>>> zum (APPRART --)
dsm zu
>>> Anfang (NN --)
dsm Anfang
>>> zurück (PTKVZ --)
>>> . ($. --)
```

At+the end turned one to+the beginning back .

At the end, one returned to the beginning.

Morphology

Example (2):

```
>>> Katrin (NE --)
asf Katrin
nsf Katrin
dsf Katrin
>>> Bettina (NE --)
asf Bettina
nsf Bettina
dsf Bettina
>>> Müller (NE --)
asf Müller
nsf Müller
dsf Müller
```

- ▶ Manual correction of the morphology text file
- ▶ Manual post-correction of the morphological annotation

Lemmatization in TüBa-D/Z (1)

- ▶ No standards for lemmatization
- ▶ Make use of manual annotation and make a rich annotation

General rules for the TüBa-D/Z annotation

- ▶ Nouns ⇒ nominative singular
- ▶ Adjectives ⇒ predicative form
- ▶ Verbs ⇒ infinitive; suffix for passive and auxiliaries
- ▶ Reflexives ⇒ %refl
- ▶ Adverbs, prepositions, cardinal numbers,... ⇒ as-is

Lemmatization in TüBa-D/Z (1)

Open-class words

- ▶ Consistency with GermaNet
- ▶ deadjectival nouns → strong form
ein Arbeitsloser [masc, st] vs. *der Arbeitslose* [fem, wk]
eine Arbeitslose [fem, st] vs. *die Arbeitslose* [fem, wk]
- ▶ Attach separable prefixes
Peter schließt sich in der Küche ein → *ein#schließen*
- ▶ Distinguish (non-)separable verb prefixes
umfahren (drive around) vs. *um#fahren* (drive over)
- ▶ Complete truncated items
Bau- und Verkehrsplanung → *Bauplanung%N*

Lemmatization in TüBa-D/Z (2)

Closed-class words

- ▶ Distinguish auxiliary/passive from full verb uses
- ▶ articles/possessives/definite and indefinite pronouns:
normalize to nominative singular, but keep gender and root
- ▶ Possibility for underspecification (*der|die|das*)

Pretagging – Closed-class list

ART d.* .*m der	PDS d.. .*/* der die das
ART d.* .*n das	PDS denen .*m der
ART d.* .*f die	PDS denen .*n das
ART d.* .*/* der die das	PDS denen .*f die
ART ein.* .*m ein	PDS denen .*/* der die das
ART ein.* .*n ein	
ART ein.* .*f eine	PPER .s.1 ich
ART ein.* .*/* ein eine	PPER .s.2 du
ART 'n.* .*m ein	PPER .sm3 er
ART 'm.* .*m ein	PPER .sn3 es
ART 'n.* .*n ein	PPER .sf3 sie
ART 'n.* .*f eine	PPER .p.1 wir
ART 's .*n das	PPER .p.2 ihr
	PPER ihnen .p.3 sie
PDS d.. .*m der	PPER Ihnen .p.3 Sie
PDS d.. .*n das	PPER sie .p.3 sie
PDS d.. .*f die	PPER Sie .p.3 Sie

Pretagging – Frequency-based Heuristics

- ▶ **Truncated items:** look for coordinate sister and choose completion by frequency
- ▶ **Verb ambiguities:**
 - ▶ Non separable ge-prefix
past participle *geraten* → *raten* (guess/advise), *geraten* (turn out, become)
 - ▶ Separable vs. inseparable prefixes
Infinitive *umfahren* → *zu umfahren*, *umzufahren*
- ▶ Use frequency ratio to **keep ambiguities**

4th step – Lemmatization

- ▶ Input: Text file with source tokens, POS tags, morphology and suggested lemma
- ▶ Manual correction of the suggested lemma

Lemmatization

```
<s id=13054>
Doch KON doch --
inzwischen ADV inzwischen --
gesteht VVFIN zu#gestehen 3sis
die ART die nsf
Türkei NE Türkei nsf
mit APPR mit d
einer ART eine dsf
" $( " --
rosa ADJA rosa dsf
Karte NN Karte dsf
" $( " --
den ART der|die|das dp*
ehemaligen ADJA ehemalig dp*
Staatsangehörigen NN Staatsangehöriger dp*
viele PIDAT viele apn
Bürgerrechte NN Bürgerrecht apn
zu PTKVZ -- --
. $. . --
</s>
```

5th step – Annotation of referential relations

Several terms refer to the same (discourse) entity

Palinka [/afs/sfs.uni-tuebingen.de/home/sfb441/palinka/Anaphora/neu_Syntaxangleich...]

File Edit View Tools Tags Windows Help

[Mensch] lässt [[seinen] Kartoffelbrei] und ist gleichzeitig gegen [[Kinderlähmung] geimpft].

Essen ?

Ja, essen kann [man] [[die Kartoffel]] auch noch.

[Das] tun [wir] sogar öfter, als [wir] [es] lernen.

Fein zermahlen und gesiebt, landet [[die Kartoffel]] in [mehr als 20.000 Nahrungsmittelprodukten].

[Wer] aber Madame [Linda] oder [Sieglände] noch selbst aufs [Feuer] setzt, sollte [[unsere] kleinen Kartoffelkunde] lesen.

Messages Tags Notes

Welcome to PALINKA (build:18 June 2007 (branch 1.0))

If you want to see the tags assigned to a word click on "Tags" tab.

/afs/sfs.uni-tuebingen.de/home/sfb441/palinka/Anaphora/neu_Syntaxangleich... <MARKABLE ID="W" COMMENT="...">

Yes, eat can one the potato also even.
Yes, you can even eat the potato.

That do we even more_often , than we it_expl suspect .

We do that even more often than we suspect.

Finely ground and sieved, lands the potato in more than 20,000 food_products.
Finely ground and sieved, the potato enters over 20,000 food_products.

Coreference, Anaphora, Expletives

Yes, [you] can even eat [₁ **the potato**]. [₂ We] do [that] even more often than [₂ we] suspect [_{expl} it_expl]. Finely ground and sieved, [₁ **the potato**] enters [over 20,000 food products].

Several mentions refer to the same (discourse) referent:

- ▶ **the potato** ≡ **the potato** (coreferent)
- ▶ We ≡ we
- ▶ it_expl

Coreference, Anaphora, Expletives

Yes, [you] can even eat [₁ the potato]. [₂ **We**] do [that] even more often than [₂ **we**] suspect [_{expl} it_expl]. Finely ground and sieved, [₁ the potato] enters [over 20,000 food products].

Several mentions refer to the same (discourse) referent:

- ▶ the potato ≡ the potato
- ▶ **We** ≡ **we** (anaphoric)
- ▶ it_expl

Coreference, Anaphora, Expletives

Yes, [you] can even eat [1 the potato]. [2 We] do [that] even more often than [2 we] suspect [_{expl} it_expl]. Finely ground and sieved, [1 the potato] enters [over 20,000 food products].

Several mentions refer to the same (discourse) referent:

- ▶ the potato ≡ the potato
- ▶ We ≡ we
- ▶ it_expl (expletive it)

Referential relations (1)

relation	description
coreferential	links subsequent-mention definite noun phrases to the closest preceding mention
anaphoric	links a pronominal anaphor (personal, demonstrative, reflexive, and relative pronouns, including possessive pronouns) to its closest (preceding-in-linear-order) antecedent.
cataphoric	links a pronoun to the mention it is resolved to if that mention comes later in the linear order of the text

Referential relations (2)

relation	description
bound	is used for anaphora bound by the same quantifier as their antecedent
split_antecedent	links a plural pronoun to the descriptions that together refer to the summum to which the pronoun refers
instance	links a first-mention noun phrase to the set-denoting noun phrase of which it denotes a member
expletive	correlates of clausal arguments and semantically empty subjects of weather verbs

Annotation process

- ▶ Annotation tool: PAlinkA
 - ▶ Constantin Orasan, 2005
 - ▶ Java
 - ▶ No longer updated, but developer answers emails
- ▶ Alternative annotation tool: MMAX2
- ▶ Data format: XML
- ▶ Independent double annotation
- ▶ Merge and correction of annotation variance
- ▶ Correction of inherent reflexives and expletive it
- ▶ Adaptation to syntax and tokenization changes

Data format

```
<P ID="P58">
  <S ID="S58">
    <MARKABLE COMMENT="" ID="m320">
      <W ID="w927">Das</W>
    </MARKABLE>
    <W ID="w928">tun</W>
    <MARKABLE COMMENT="" ID="m321">
      <W ID="w929">wir</W>
    </MARKABLE>
    <W ID="w930">sogar</W>
    <W ID="w931">öfter</W>
    <W ID="w932">, </W>
    <W ID="w933">als</W>
    <MARKABLE COMMENT="" ID="m322">
      <anaphoric COMMENT="" ID="43" SRC="m321"/>
      <W ID="w934">wir</W>
    </MARKABLE>
    <MARKABLE COMMENT="" ID="m323">
      <expletive COMMENT="" ID="348"/>
      <W ID="w935">es</W>
    </MARKABLE>
    <W ID="w936">ahnen</W>
    <W ID="w937">. </W>
  </S>
</P>
```

6th step – Integration of annotation layers

- ▶ Integration of morphology and lemma layer into the syntax Negra Export format
- ▶ Running of syntax/morphology queries for spotting typical annotation errors
- ▶ Manual correction of syntax, morphology and lemmas of every sentence
- ▶ Integration of coreferential relations

Discourse annotation (experimental)

- ▶ Coherence relations, taxonomic scheme
- ▶ Annotation of discourse connectives
 - ▶ focus on contribution of (ambiguous) connectives
(nachdem *after/since*, während *while*, als *when*, aber *but*)
 - ▶ per-connective
- ▶ Full document annotation
 - ▶ discourse structure of multi-paragraph units (topic segments)
 - ▶ includes ‘implicit’ relations (without connectives)

Discourse relations (extract)

Temporal

- ▶ 27:24 hatten die Badener das Hinspiel gewonnen , am Samstag siegten sie zu Hause 24:21. "Ich habe gewußt, daß es klappt", stellte TVW-Trainer Hrovje Horvat auffallend gelassen fest, noch **während** seine Spieler Ringelreien tanzten inmitten der Fans.

The Baden team won the first leg with 27:24, on Saturday they won the home game 24:21. "I knew that it would turn out well", TVW's trainer Hrovje Horvat declares ostentatively relaxed, while his players still dance among the fans.

Discourse relations II (extract)

Cause

- ▶ In Großbritannien geht bei Minderheiten die Angst um, **nachdem** Neonazis am Freitag Abend beim dritten Bombenanschlag innerhalb von zwei Wochen drei Menschen getötet und fast 60 verletzt hatten.

Fear runs among the minorities in Great Britain, after Neonazis killed three people and injured almost 60 on Friday evening on the third bombing within two weeks.

Contrast

- ▶ Doch **während** sich die Gäste amüsieren, hockt Jusef im Nebenzimmer und starrt finster an die Wand.
But while the guests enjoy themselves, Jusef sits in the neighbouring room and glumly stares at the wall.

Connective annotation

von der Halle ist nur ein grüner Hügel zu sehen , aus dem eine Stahlglaskuppel ragt .

a979 Diese Kuppel war im Juni 1998 - zwei Monate vor der Einweihung - zum zweiten Mal eingestürzt , nachdem sie im Februar 1997 erstmals eingebrochen war .



Temporal	●
Result	
enable	
cause	
epistemic_cause	
speech_act	
Comparison	
parallel	●
contrast	

Düsseldorf (dpa) - Der Aufsichtsrat der Mannesmann AG ist künftig nicht mehr nach den Vorschriften des Montanmitbestimmungsgesetzes zu besetzen .

a979 Nachdem das Bundesverfassungsgericht mit einer Grundsatzentscheidung den Weg hierzu geebnet hatte , befreite der 19. Zivilsenat des Düsseldorfer Oberlandesgerichts Ende April den Mannesmann-Konzern von dieser Regelung , da die Firma in sechs aufeinanderfolgenden Jahren die Wertschöpfungsquote von mehr als 20 Prozent nicht mehr erreicht habe .



Temporal	●
Result	
enable	●
cause	
epistemic_cause	
speech_act	
Comparison	
parallel	
contrast	

Implicit relations

Look at discourse relations independent of connectives

- ▶ Thematically coherent segments (*topics*)
- ▶ Elementary discourse units (EDUs)
- ▶ Discourse relations, coordination/subordination

1.0 Die Fusion von Repsol und YPF
3.0 Madrid (taz) -
3.1 Repsol will hoch hinaus . Elaboration(3.1.4)
4.0 Der spanische Energiekonzern hat am Freitag ein Kaufangebot an den argentinischen Öl- und Gasförderkonzern YPF gerichtet . Elaboration(4.2) Result-Estimate(4.6)
5.0 Für 85,1 Prozent der Aktien bietet Repsol 2,08 Billionen Peseten (24,5 Milliarden Mark) , Background(5.1.1)
5.1 25,4 Prozent mehr als der aktuelle Börsenwert von YPF .
6.0 Die Händler an der Börse in Buenos Aires waren dann am Freitag auch begeistert . Result-Cause(6.1)
7.0 Der YPF-Titel kletterte um 16 Prozent Result-Estimate(7.1.1)

7th step – Data formats – Negra Export

```
#BOS 17726 2 1113315998 857
Das das PDS asn HD 500
tun tun VVFIN 1pis HD 501
wir wir PPER np*1 HD 502
sogar sogar ADV -- HD 503
öfter öfter ADV -- HD 504
, , $, -- -- 0
als als KOUS -- - 505
wir wir PPER np*1 HD 506
es es PPER asn3 HD 507
ahnen ahnen VVFIN 1pis HD 508
.
.
$ . -- -- 0
#500 -- NX -- OA 509
#501 -- VXFIN -- HD 510
#502 -- NX -- ON 511
#503 -- ADVX -- MOD 511
#504 -- ADVX -- V-MOD 511 refmod 514
#505 -- C -- - 514
#506 -- NX -- ON 512 %% R=anaphoric.17726:502
#507 -- NX -- OA 512 %% R=expletive
#508 -- VXFIN -- HD 513
#509 -- VF -- - 516
#510 -- LK -- - 516
#511 -- MF -- - 516
#512 -- MF -- - 514
#513 -- VC -- - 514
#514 -- SIMPX -- MOD-MOD 515
#515 -- NF -- - 516
#516 -- SIMPX -- -- 0
#EOS 17726
```

Data formats – Penn

```
38% sent. no. 17726
(
  CSIMPX
    CVF
      CNX:DA
        (CPDS:HD das)
      )
    CLK
      (VXFIN:HD
        (VVFIN:HD tun)
      )
    )
  CMF
    CNX:ON
      (PPER:HD wir)
    )
    (ADVVX:MOD
      (ADV:HD sogar)
    )
    (ADVVX:V-MOD
      (ADV:HD öfter)
    )
  )
  ($, .)
  (NF
    (SIMPX:MOD-MOD
      CC
        (KOUS als)
      )
    CMF
      CNX:ON
        (PPER:HD wir)
      )
      CNX:DA
        (PPER:HD es)
      )
    CVC
      (VXFIN:HD
        (VVFIN:HD ahnen)
      )
    )
  )
  ($..)
```

Data formats – Negra Export XML

```

<sentence origin="T299438_43" date="2005041215:26:38" edicton="hschulz">
  <node cat="SMPX" parent="0" comment="" func="." id="s_17726_n_516">
    <node cat="VF" comment="" func="." id="s_17726_n_589">
      <word comment="" form="O" func="O" id="s_17726_n_580">
        <word comment="" form="Das" func="HD" pos="P05" morph="asn" id="s_17726_n_8"/>
      </word>
    </node>
  </node>
  <node cat="LK" comment="" func="." id="s_17726_n_518">
    <node cat="VFIN" comment="" func="HD" id="s_17726_n_581">
      <word comment="" form="un" func="HD" pos="VFIN" morph="1pis" id="s_17726_n_1"/>
    </node>
  </node>
  <node cat="MF" comment="" func="." id="s_17726_n_511">
    <node cat="NO" comment="" func="ON" id="s_17726_n_582">
      <word comment="" form="w" func="HD" pos="PPER" morph="np1" id="s_17726_n_2"/>
    </node>
    <node cat="ADIX" comment="" func="M0D" id="s_17726_n_583">
      <word comment="" form="sogar" func="HD" pos="ADV" morph="--" id="s_17726_n_3"/>
    </node>
    <node cat="ADW" comment="" func="V-MOD" id="s_17726_n_584">
      <word parent="514" cat="refmod"/>
      <word comment="" form="oft" func="HD" pos="ADV" morph="--" id="s_17726_n_4"/>
    </node>
  </node>
  <node parent="0" comment="" func="." pos="S" morph="--" id="s_17726_n_5"/>
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    <node cat="SMPLX" comment="" func="MOD-MOD" num="514" id="s_17726_n_514">
      <node cat="C" comment="" func="--" id="s_17726_n_585">
        <word comment="" form="als" func="." pos="KOUS" morph="--" id="s_17726_n_6"/>
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        <node cat="NX" comment="" func="ON" id="s_17726_n_586">
          <anaphor>
            <relation type="anaphoric" antecedent="s_17726_n_582"/>
          </anaphor>
          <word comment="" form="wir" func="HD" pos="PPER" morph="np1" id="s_17726_n_7"/>
        </node>
        <node cat="NX" comment="" func="OA" id="s_17726_n_587">
          <anaphor>
            <relation type="expletive" antecedent="--"/>
          </anaphor>
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        </node>
      </node>
      <node cat="VC" comment="" func="." id="s_17726_n_513">
        <node cat="VFIN" comment="" func="HD" id="s_17726_n_588">
          <word comment="" form="dinen" func="HD" pos="VFIN" morph="1pis" id="s_17726_n_9"/>
        </node>
      </node>
    </node>
  </node>
  <word parent="0" comment="" func="." pos="S" morph="--" id="s_17726_n_18"/>
</sentence>

```

Data formats – TigerXML / SynAF

```

<s id="s17726">
graph root="s17726_516"
<terminals>
<t id="s17726_1" word="Das" lemma="das" pos="POS" morph="asn">
</t>
<t id="s17726_2" word="tun" lemma="tun" pos="VFIN" morph="Ipis">
</t>
<t id="s17726_3" word="wir" lemma="wir" pos="PPER" morph="np1">
</t>
<t id="s17726_4" word="sogen" lemma="sogen" pos="ADV" morph="--">
</t>
<t id="s17726_5" word="&#x00f6;fter" lemma="&#x00f6;ter" pos="ADV" morph="--">
</t>
<t id="s17726_6" word="als" lemma="als" pos="$" morph="--">
</t>
<t id="s17726_7" word="ohnen" lemma="ohnen" pos="VFIN" morph="Ipis">
</t>
<t id="s17726_8" word="wir" lemma="wir" pos="PPER" morph="np1">
</t>
<t id="s17726_9" word="es" lemma="es" pos="PPER" morph="asn1">
</t>
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</t>
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</terminals>
<nonterminals>
<n id="s17726_500" cat="NX">
<edge label="H0" idref="s17726_1" />
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</nt>
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</nt>
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</nt>
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<secedge label="refMod" idref="s17726_514" />
</nt>
<n id="s17726_505" cat="C">
<edge label="." idref="s17726_7" />
</nt>
<n id="s17726_506" cat="NX">
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</nt>
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<edge label="H0" idref="s17726_10" />
</nt>
<n id="s17726_509" cat="VF">
<edge label="O4" idref="s17726_500" />

```

Tübingen Treebank of German/Spontaneous Speech (TüBa-D/S)

- ▶ transliterated dialogues of recorded, spontaneous speech
- ▶ subject domain: appointment scheduling
- ▶ consists of 38.000 dialogue turns

Task-oriented Verbmobil Dialogues

► Task

- ▶ schedule a date for a one-and-a-half-day business trip
- ▶ settle on mode of transportation and hotel
- ▶ schedule meetings
- ▶ arrange evening entertainment

► Recording

- ▶ a close microphone
- ▶ a room microphone
- ▶ telephone

Data Transcription

The BAS Partitur Format:

- ▶ SAM compatible structure and entries.
- ▶ easy to extend by simple UNIX cat.
- ▶ open format, that is extensions to the format can be implemented without necessary alterations to the software reading the older format.

Data Transcription

- ▶ Time-aligned independent description of as many different levels of the speech signal as necessary. For instance: orthography, canonical transcript, phonology, phonetics, prosody, dialog acts, syntax tagging, semantics, ...
- ▶ Symbolic links between the independent levels allow logical assignments aside to the physical time scale. These links are based on the word units of the utterance.
- ▶ For more information see: www.phonetik.uni-muenchen.de/Bas/BasFormatseng.html

A Sample Dialogue

- N: Guten Tag, Frau Heinicke. Wie wir bereits ausgemacht haben, wollten wir auf eine eineinhalbtägige Geschäftsreise nach Hamburg fahren.
Hello, Mrs. Heinicke, as we have already arranged, we wanted to go on a business trip to Hamburg for one and a half days.
- H: Ja , grü Gott, Herr Nishimoto. Wir wollten jetzt, glaube ich , noch mal die Termine besprechen.
- N: Well , hello , Mr. Nishimoto . now we want, I think , to discuss the times once again.
- N: Ja , genau.
- H: Yes , exactly.

Segmentation of Dialogue Data

Primary segmentation unit: dialogue turn

- ▶ a single, typically uninterrupted contribution to the dialog by one of the dialog participants
- ▶ may consist of one or more sentences in the grammatical sense and/or phrases
- ▶ preprocessed into syntactic units delimited by full stops and question marks

Characteristics of Spontaneous Speech

- ▶ Fragmentary Utterances
- ▶ Repetitions
- ▶ False starts
- ▶ Speech errors (with correction)
- ▶ Interruptions
- ▶ Parentheticals
- ▶ Discourse markers
- ▶ Hesitation noises

Fragmentary Utterances

meinetwegen von zehn bis dreizehn Uhr
for me from ten to one a'clock
Vorbereitungen eigentlich nicht
preparations really not

Repetitions

Theater **wäre** **wäre** mal nicht schlecht
theater would be would be surely not bad
ja, das ist **das** **das** ist in Ordnung, genau
yes that is that that is all right exactly

False Starts

ja, also, das, wenn wir allerdings,
yes well that if we though

wenn wir mit dem Flugzeug fliegen
if we fly by plane

wie kommen wir dann nach Hannover rein ?
how do we then get into Hannover?

False Starts (2)

das ist schade, ich hätte diese erste Juniwoche
that is a pity I would have this first week of June

habe ich mehrere Besprechungen,
I have several meetings

die ich nicht verschieben möchte
which I do not want to move

Speech Errors

trotz Nebel Nebels im November
despite fog of the fog in November

dann machen nehmen wir den Flieger
then make take we the plane

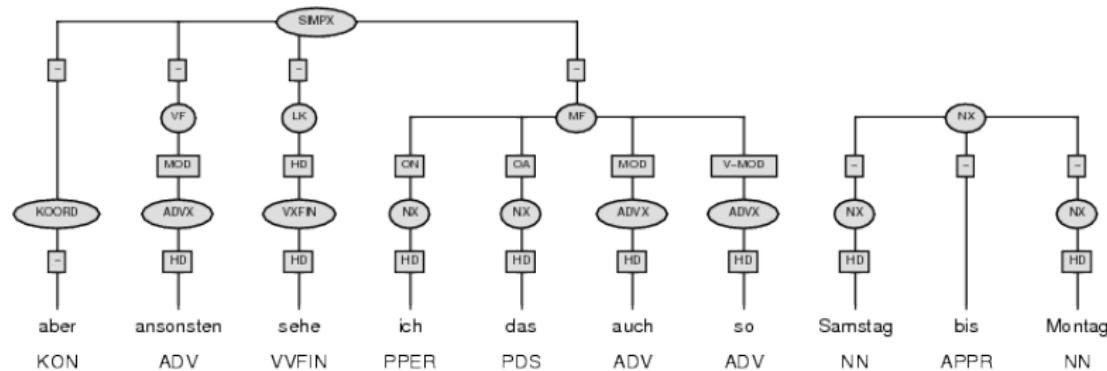
Interruptions

sieben Uhr fnf am
7 (hours) 05 at das heit Moment
that is one moment

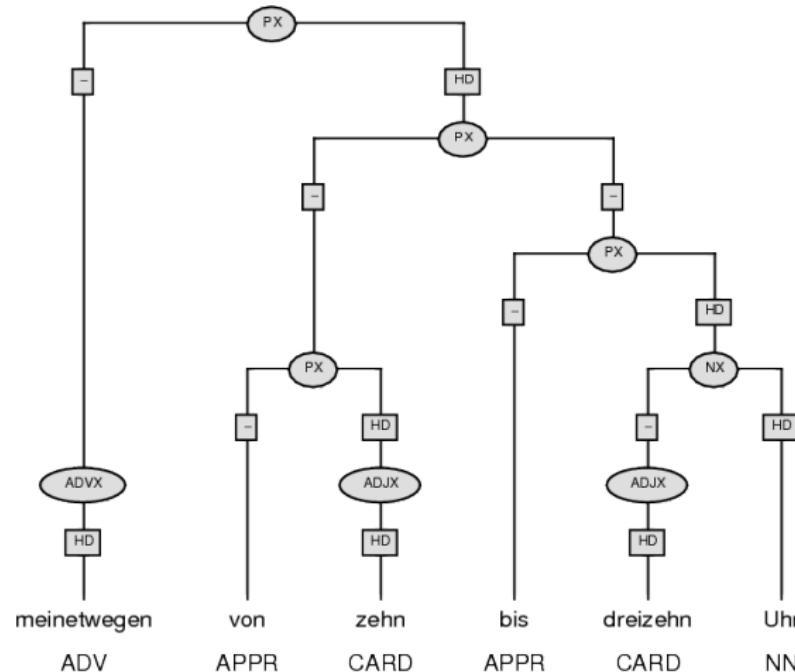
Annotation Principles

- ▶ Longest Match Principle
 - ▶ as many daughter nodes as possible are combined into a single mother node, provided that the resulting construction is syntactically as well as semantically well-formed.
 - ▶ Speech errors, repetitions, corrections, and hesitations are structured as much as possible, but are not typically connected to surrounding constituents as a whole.
- ▶ Flat Clustering Principle
 - ▶ Keeps the number of hierarchy levels in a syntactic structure as small as possible.
 - ▶ Any branching factor is allowed.

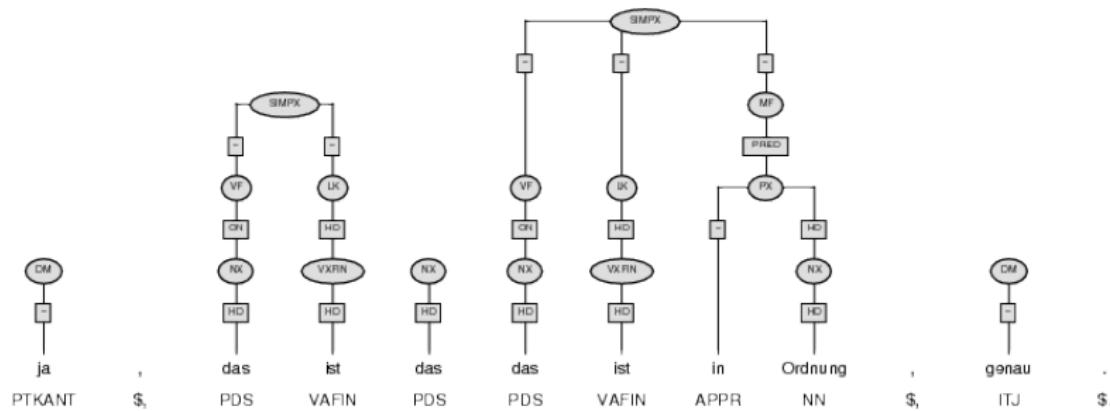
A Sample Sentence



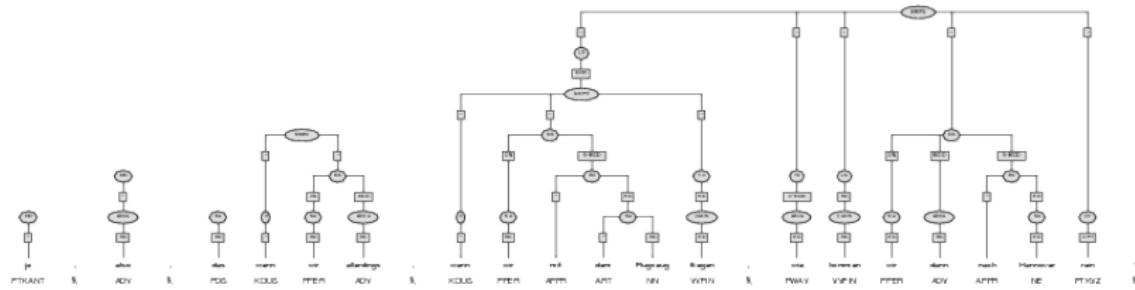
Fragmentary Utterances



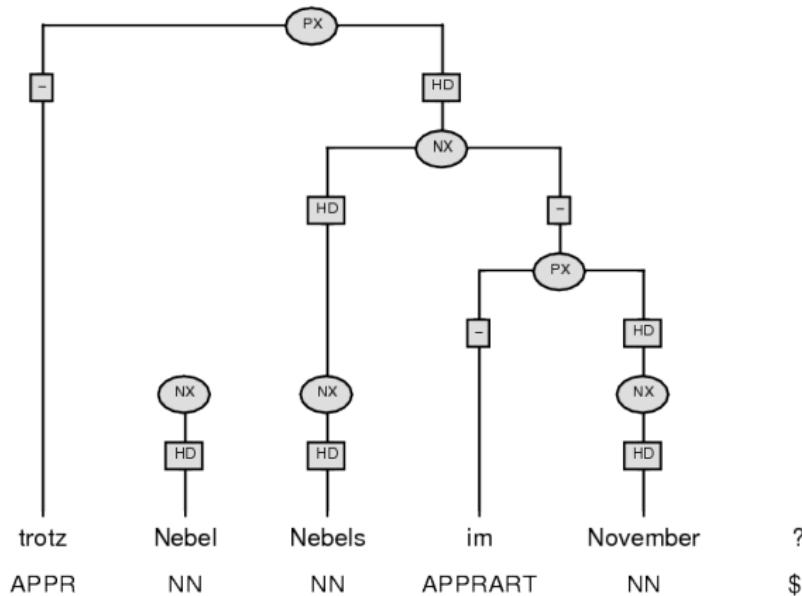
Repetitions



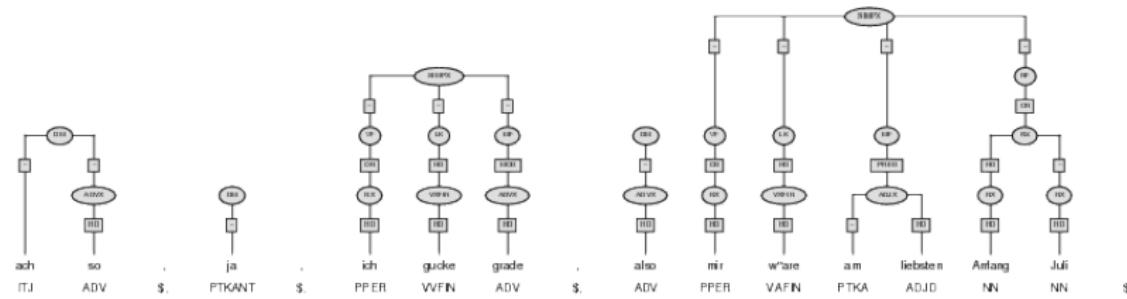
False Starts



Speech Errors



Discourse Markers



Some Concluding Remarks

- ▶ Treebanking is extremely labor-intensive (i.e. costly).
- ▶ Good planning is therefore necessary.
- ▶ Good tools are crucial.
 - ▶ For annotation, I recommend the tool Annotate.
 - A detailed stylebook is essential.
- ▶ Every time you hire a well-trained linguist, your treebank will get better.

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Readings (4)

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TIGERSearch Queries for TüBa-D/Z

Series of search queries on different types of accusative objects

1) premodifiers

```
#field >OA #nx & #nx > [pos="NN"]
```

2) several modifiers

```
#field >OA #nx & #nx > [pos="NN"] &  
#nx > #a1:[cat="ADJX"] & #nx > #a2:[cat="ADJX"]  
& #a1 .* #a2
```

3) complex modifiers

```
#field >OA #nx & #nx > [pos="NN"] & #nx > #a:[cat="ADJX"]  
& #a > [cat="NX"]
```

4) postmodifiers

```
#field >OA #nx & #nx > [cat="PX"]
```

5) discontinuous constituents

#field >OA\‐MOD #nx

6) discontinuous constituents with secondary edge

#field >OA\‐MOD #nx & #x >^#nx

Two more searches on interesting phenomena:

7) really big sentences

```
#vroot:[cat="VROOT"] >@l #l:[T] & #vroot >@r #r:[T]
& #l .80,100 #r
```

8) exampleon errors in the source data

```
[word="hierhin"] . [word="bitte"]
```

If you would like to get support on TIGERSearch queries, Kathrin Beck will be happy to assist you.