## Machine Translation 2: Statistical MT: Phrase-Based and Neural

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## Outline of Lectures on MT

1. Introduction.

- Why is MT difficult.
- MT evaluation.
- Approaches to MT.
- First peek into phrase-based MT
- Document, sentence and word alignment.

2. Statistical Machine Translation.

- Phrase-based: Assumptions, beam search, key issues.
- Neural MT: Sequence-to-sequence, attention, self-attentive.

3. Advanced Topics.

- Linguistic Features in SMT and NMT.
- Multilinguality, Multi-Task, Learned Representations.


## Outline of MT Lecture 2

1. What makes MT statistical.

- Brute-force statistical MT.
- Noisy channel model.
- Log-linear model.

2. Phrase-based translation model.

- Phrase extraction.
- Decoding (gradual construction of hypotheses).
- Minimum error-rate training (weight optimization).

3. Neural machine translation (NMT).

- Sequence-to-sequence, with attention.


## Quotes

Warren Weaver (1949):
I have a text in front of me which is written in Russian but I am going to pretend that it is really written in English and that is has been coded in some strange symbols. All I need to do is strip off the code in order to retrieve the information contained in the text.

## Noam Chomsky (1969):

. . . the notion "probability of a sentence" is an entirely useless one, under any known interpretation of this term.
Frederick Jelinek ( 80 's; IBM; later JHU and sometimes ÚFAL)
Every time I fire a linguist, the accuracy goes up.
Hermann Ney (RWTH Aachen University):
MT = Linguistic Modelling + Statistical Decision Theory

## The Statistical Approach

(Statistical $=$ Information-theoretic. $)$

- Specify a probabilistic model.
$=$ How is the probability mass distributed among possible outputs given observed inputs.
- Specify the training criterion and procedure.
= How to learn free parameters from training data.
Notice:
- Linguistics helpful when designing the models:
- How to divide input into smaller units.
- Which bits of observations are more informative.


## Statistical MT

Given a source (foreign) language sentence $f_{1}^{J}=f_{1} \ldots f_{j} \ldots f_{J}$, Produce a target language (English) sentence $e_{1}^{I}=e_{1} \ldots e_{j} \ldots e_{I}$. Among all possible target language sentences, choose the sentence with the highest probability:

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(e_{1}^{I} \mid f_{1}^{J}\right) \tag{1}
\end{equation*}
$$

We stick to the $e_{1}^{I}, f_{1}^{J}$ notation despite translating from English to Czech.

## Brute-Force MT (1/2)

Translate only sentences listed in a "translation memory" (TM):

$$
\begin{align*}
& \text { Good morning. }=\text { Dobré ráno. } \\
& \text { How are you? }=\text { Jak se máś? } \\
& \text { How are you? }=\text { Jak se máte? } \\
& p\left(e_{1}^{I} \mid f_{1}^{J}\right)= \begin{cases}1 & \text { if } e_{1}^{I} \\
0 & =f_{1}^{J} \text { seen in the TM } \\
0 & \text { otherwise }\end{cases} \tag{2}
\end{align*}
$$

Any problems with the definition?

## Brute-Force MT (2/2)

Translate only sentences listed in a "translation memory" (TM):

$$
\begin{align*}
& \text { Good morning. }=\text { Dobré ráno. } \\
& \text { How are you? }=\text { Jak se máś? } \\
& \text { How are you? }=\text { Jack se máte? } \\
& p\left(e_{1}^{I} \mid f_{1}^{J}\right)= \begin{cases}1 & \text { if } e_{1}^{I} \\
0 & =f_{1}^{J} \text { seen in the TM }\end{cases} \tag{3}
\end{align*}
$$

- Not a probability. There may be $f_{1}^{J}$, s.t. $\sum_{e_{1}^{I}} p\left(e_{1}^{I} \mid f_{1}^{J}\right)>1$. $\Rightarrow$ Have to normalize, use $\frac{\operatorname{count}\left(e_{1}^{I}, f_{1}^{J}\right)}{\operatorname{count}\left(f_{1}^{J}\right)}$ instead of 1 .
- Not "smooth", no generalization:

Good morning. $\Rightarrow$ Dobré ráno. Good evening. $\Rightarrow \emptyset$

## Bayes' Law

Bayes' law for conditional probabilities: $p(a \mid b)=\frac{p(b \mid a) p(a)}{p(b)}$

## So in our case:

$$
\begin{aligned}
\hat{e}_{1}^{\hat{I}} & =\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(e_{1}^{I} \mid f_{1}^{J}\right) \\
& =\underset{I, e_{1}^{I}}{\operatorname{argmax}} \frac{p\left(f_{1}^{J} \mid e_{1}^{I}\right) p\left(e_{1}^{I}\right)}{p\left(f_{1}^{J}\right)} \\
& =\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(f_{1}^{J} \mid e_{1}^{I}\right) p\left(e_{1}^{I}\right)
\end{aligned}
$$

Also called "Noisy Channel" model.

## Motivation for Noisy Channel

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(f_{1}^{J} \mid e_{1}^{I}\right) p\left(e_{1}^{I}\right) \tag{4}
\end{equation*}
$$

Bayes' law divided the model into components:
$p\left(f_{1}^{J} \mid e_{1}^{I}\right) \quad$ Translation model ("reversed", $e_{1}^{I} \rightarrow f_{1}^{J}$ )
. . . is it a likely translation?
$p\left(e_{1}^{I}\right) \quad$ Language model (LM)
. . . is the output a likely sentence of the target language?

- The components can be trained on different sources.

There are far more monolingual data $\Rightarrow$ language model more reliable.

## Without Equations



## Summary of Language Models

- $p\left(e_{1}^{I}\right)$ should report how "good" sentence $e_{1}^{I}$ is.
- We surely want $p$ (The the the.) $<p$ (Hello.)
- How about $p$ (The cat was black.) $<p$ (Hello.)?
. . . We don't really care in MT. We hope to compare synonymic sentences. LM is usually a 3-gram language model:

$$
\begin{aligned}
& p(\upharpoonright \upharpoonright \text { The cat was black. }\urcorner \rightarrow)=p(\text { The } \mid \upharpoonright \upharpoonright) \quad p(\mathrm{cat} \mid \upharpoonright \text { The }) \quad p(\text { was } \mid \text { The cat }) \\
& p \text { (black } \mid \text { cat was) } \quad p(. \mid \text { was black }) \quad p(7 \mid \text { black .) } \\
& p(7 \mid .7)
\end{aligned}
$$

Formally, with $n=3$ :

$$
\begin{equation*}
p_{\mathrm{LM}}\left(e_{1}^{I}\right)=\prod_{i=1}^{I} p\left(e_{i} \mid e_{i-n+1}^{i-1}\right) \tag{5}
\end{equation*}
$$

## Estimating and Smoothing LM

$$
\begin{array}{ll}
p\left(w_{1}\right)=\frac{\operatorname{count}\left(w_{1}\right)}{\text { total worrds observed }} & \text { Unigram probabilities. } \\
p\left(w_{2} \mid w_{1}\right)=\frac{\operatorname{count}\left(w_{1} w_{2}\right)}{\operatorname{count}\left(w_{1}\right)} & \text { Bigram probabilities. } \\
p\left(w_{3} \mid w_{2}, w_{1}\right)=\frac{\operatorname{count}\left(w_{1} w_{2} w_{3}\right)}{\operatorname{count}\left(w_{1} w_{2}\right)} & \text { Trigram probabilities. }
\end{array}
$$

Unseen ngrams $(p($ ngram $)=0)$ are a big problem, invalidate whole sentence: $p_{\mathrm{LM}}\left(e_{1}^{I}\right)=\cdots 0 \cdots=0$
$\Rightarrow$ Back-off with shorter ngrams:

$$
\begin{align*}
p_{\mathrm{LM}}\left(e_{1}^{I}\right)=\prod_{i=1}^{I}( & 0.8 \cdot p\left(e_{i} \mid e_{i-1}, e_{i-2}\right)+ \\
& 0.15 \cdot p\left(e_{i} \mid e_{i-1}\right)+  \tag{6}\\
& 0.049 \cdot p\left(e_{i}\right)+ \\
& 0.001 \quad \neq 0
\end{align*}
$$

## From Bayes to Log-Linear Model

Och (2002) discusses some problems of Equation 19:

- Models estimated unreliably $\Rightarrow$ maybe LM more important:

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(f_{1}^{J} \mid e_{1}^{I}\right)\left(p\left(e_{1}^{I}\right)\right)^{2} \tag{7}
\end{equation*}
$$

- In practice, "direct" translation model equally good:

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(e_{1}^{I} \mid f_{1}^{J}\right) p\left(e_{1}^{I}\right) \tag{8}
\end{equation*}
$$

- Complicated to correctly introduce other dependencies.
$\Rightarrow$ Use log-linear model instead.


## Log-Linear Model (1)

- $p\left(e_{1}^{I} \mid f_{1}^{J}\right)$ is modelled as a weighted combination of models, called "feature functions": $h_{1}(\cdot, \cdot) \ldots h_{M}(\cdot, \cdot)$

$$
\begin{equation*}
p\left(e_{1}^{I} \mid f_{1}^{J}\right)=\frac{\exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}\right)\right)}{\sum_{e_{1}^{I^{\prime}}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I_{1}^{\prime}}, f_{1}^{J}\right)\right)} \tag{9}
\end{equation*}
$$

- Each feature function $h_{m}(e, f)$ relates source $f$ to target $e$. E.g. the feature for $n$-gram language model:

$$
\begin{equation*}
h_{\mathrm{LM}}\left(f_{1}^{J}, e_{1}^{I}\right)=\log \prod_{i=1}^{I} p\left(e_{i} \mid e_{i-n+1}^{i-1}\right) \tag{10}
\end{equation*}
$$

- Model weights $\lambda_{1}^{M}$ specify the relative importance of features.


## Log-Linear Model (2)

As before, the constant denominator not needed in maximization:

$$
\begin{align*}
\hat{e}_{1}^{\hat{I}} & =\operatorname{argmax}_{I, e_{1}^{I}} \frac{\exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}\right)\right)}{\sum_{e_{1}^{I^{\prime}}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I^{\prime}}, f_{1}^{J}\right)\right)}  \tag{11}\\
& =\operatorname{argmax}_{I, e_{1}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}\right)\right)
\end{align*}
$$

## Relation to Noisy Channel

With equal weights and only two features:

- $h_{\mathrm{TM}}\left(e_{1}^{I}, f_{1}^{J}\right)=\log p\left(f_{1}^{J} \mid e_{1}^{I}\right)$ for the translation model,
- $h_{\mathrm{LM}}\left(e_{1}^{I}, f_{1}^{J}\right)=\log p\left(e_{1}^{I}\right)$ for the language model, log-linear model reduces to Noisy Channel:

$$
\begin{align*}
\hat{e}_{1}^{\hat{I}} & =\operatorname{argmax}_{I, e_{1}^{I}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}\right)\right) \\
& =\operatorname{argmax}_{I, e_{1}^{I}} \exp \left(h_{\mathrm{TM}}\left(e_{1}^{I}, f_{1}^{J}\right)+h_{\mathrm{LM}}\left(e_{1}^{I}, f_{1}^{J}\right)\right)  \tag{12}\\
& =\operatorname{argmax}_{I, e_{1}^{I}} \exp \left(\log p\left(f_{1}^{J} \mid e_{1}^{I}\right)+\log p\left(e_{1}^{I}\right)\right) \\
& =\operatorname{argmax}_{I, e_{1}^{I}} p\left(f_{1}^{J} \mid e_{1}^{I}\right) p\left(e_{1}^{I}\right)
\end{align*}
$$

## Phrase-Based MT Overview



## Phrase-Based Translation Model

- Captures the basic assumption of phrase-based MT:

1. Segment source sentence $f_{1}^{J}$ into $K$ phrases $\tilde{f}_{1} \ldots \tilde{f}_{K}$.
2. Translate each phrase independently: $\tilde{f}_{k} \rightarrow \tilde{e}_{k}$.
3. Concatenate translated phrases (with possible reordering $R$ ): $\tilde{e}_{R(1)} \ldots \tilde{e}_{R(K)}$

- In theory, the segmentation $s_{1}^{K}$ is a hidden variable in the maximization, we should be summing over all segmentations: (Note the three args in $h_{m}(\cdot, \cdot, \cdot)$ now.)

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\operatorname{argmax}_{I, e_{1}^{I}} \sum_{s_{1}^{K}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}, s_{1}^{K}\right)\right) \tag{13}
\end{equation*}
$$

- In practice, the sum is approximated with a max (the biggest element only):

$$
\begin{equation*}
\hat{e}_{1}^{\hat{I}}=\operatorname{argmax}_{I, e_{1}^{I}} \max _{s_{1}^{K}} \exp \left(\sum_{m=1}^{M} \lambda_{m} h_{m}\left(e_{1}^{I}, f_{1}^{J}, s_{1}^{K}\right)\right) \tag{14}
\end{equation*}
$$

## Core Feature: Phrase Trans. Prob.

The most important feature: phrase-to-phrase translation:

$$
\begin{equation*}
h_{\mathrm{Phr}}\left(f_{1}^{J}, e_{1}^{I}, s_{1}^{K}\right)=\log \prod_{k=1}^{K} p\left(\tilde{f}_{k} \mid \tilde{e}_{k}\right) \tag{15}
\end{equation*}
$$

The conditional probability of phrase $\tilde{f}_{k}$ given phrase $\tilde{e}_{k}$ is estimated from relative frequencies:

$$
\begin{equation*}
p\left(\tilde{f}_{k} \mid \tilde{e}_{k}\right)=\frac{\operatorname{count}(\tilde{f}, \tilde{e})}{\operatorname{count}(\tilde{e})} \tag{16}
\end{equation*}
$$

- count $(\tilde{f}, \tilde{e})$ is the number of co-occurrences of a phrase pair $(\tilde{f}, \tilde{e})$ that are consistent with the word alignment
- count $(\tilde{e})$ is the number of occurrences of the target phrase $\tilde{e}$ in the training corpus.
- $h_{\text {Phr }}$ usually used twice, in both directions: $p\left(\tilde{f}_{k} \mid \tilde{e}_{k}\right)$ and $p\left(\tilde{e}_{k} \mid \tilde{f}_{k}\right)$


## Phrase-Based Features in Moses

Given parallel training corpus, phrases are extracted and scored:
in europa ||| in europe ||| 0.8290070 .2079550 .8014930 .492402
europas ||| in europe ||| 0.02510190 .0662110 .03425060 .0079563
in der europaeischen union ||| in europe \|\| 0.0184510 .001001260 .03195840
The scores are: $(\phi(\cdot)=\log p(\cdot))$

- phrase translation probabilities: $\phi_{\mathrm{phr}}(f \mid e)$ and $\phi_{\mathrm{phr}}(e \mid f)$
- lexical weighting: $\phi_{\operatorname{lex}}(f \mid e)$ and $\phi_{\operatorname{lex}}(e \mid f)$ (Koehn, 2003)

$$
\begin{equation*}
\phi_{\mathrm{lex}}(f \mid e)=\log \max _{\substack{a \in \operatorname{aig} \mathrm{~g} m e n t s \\ \text { of }(f, e)}} \prod_{i=1}^{|f|} \frac{1}{\mid\{j|(i, j) \in a|} \sum_{\forall(i, j) \in a} p\left(f_{i} \mid e_{j}\right) \tag{17}
\end{equation*}
$$

## Other Features Used in PBMT

- Word count/penalty: $h_{\text {wp }}\left(e_{1}^{I}, \cdot, \cdot\right)=I$
$\Rightarrow$ Do we prefer longer or shorter output?
- Phrase count/penalty: $h_{\mathrm{pp}}\left(\cdot, \cdot, s_{1}^{K}\right)=K$
$\Rightarrow$ Do we prefer translation in more or fewer less-dependent bits?
- Reordering model: different basic strategies (Lopez, 2009)
$\Rightarrow$ Which source spans can provide continuation at a moment?
- n-gram LM:

$$
\begin{equation*}
h_{\mathrm{LM}}\left(\cdot, e_{1}^{I}, \cdot\right)=\log \prod_{i=1}^{I} p\left(e_{i} \mid e_{i-n+1}^{i-1}\right) \tag{18}
\end{equation*}
$$

$\Rightarrow$ Is output $n$-gram-wise coherent?

## Decoding in Phrase-Based MT

| Maria | no | dio una bofetada | a | la | bruja |
| :---: | :---: | :---: | :---: | :---: | :---: |



1. Collect translation options (all possible translations per span). 2. Gradually expand partial hypotheses until all input covered.
2. Prune less promising hypotheses.
3. When all input covered, trace back the best path.

## Local and Non-Local Features

Total Weight Weighted

| Phrase log. prob. Phrase penalty Word penalty | 0,0 | -0,69 | -1,39 |  |  | $\begin{gathered} -2,08 \\ 3,0 \end{gathered}$ | 2,0 | -4,16 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1,0 | 1,0 | 1,0 |  |  |  | -1,0 | -3,0 |
|  | 1,0 | 2,0 | 1,0 |  |  | 4,0 | -0,5 | -2,0 |
|  | Peter | left for | home |  |  |  |  |  |
| $\triangleright$ | Petr | odešel | domú |  | $\triangleleft$ | -10,59 | 1,0 | -10,59 |
| Bigram log. prob. | -4,02 | -2,50 | -3,61 | -0,39 | -0,08 |  |  |  |
|  |  |  |  |  |  |  | Total | -19,75 |

- Local features decompose along hypothesis construction.
- Phrase- and word-based features.
- Non-local features span the boundaries (e.g. LM).


## Weight Optimization: MERT Loop



Minimum Error Rate Training (Och, 2003)

# Effects of Weights 



- Higher phrase penalty chops sentence into more segments.
- Too strong LM weight leads to words dropped.
- Negative LM weight leads to obscure wordings.


## Summary of PBMT

## Phrase-based MT:

- is a log-linear model
- assumes phrases relatively independent of each other
- decomposes sentence into contiguous phrases
- search has two parts:
- lookup of all relevant translation options
- stack-based beam search, gradually expanding hypotheses

To train a PBMT system:

1. Align words.
2. Extract (and score) phrases consistent with word alignment.
3. Optimize weights (MERT).

## 1: Align Training Sentences

Nemám žádného psa.
I have no dog.

Viděl kočku. He saw a cat.

## 2: Align Words

Nemám žádného psa.
I have no dog.
Viděl kočku.
He saw a cat.

## 3: Extract Phrase Pairs (MTUs)

## Nemám žádného psa. I have no dog.

## 4: New Input

## Nemám žádného psa. i have no dog.

New input: Nemám kočku.

## 4: New Input

## Nemám žádného psa. I have no dog.

Viděl kočku. He saw a cat. ... I don't have cat. New input: Nemám kočku.

## 5: Pick Probable Phrase Pairs (TM) $\left.\right|^{\omega_{\overparen{A}} L}$

## Nemám žádného psa. I have no dog.

Viděl kočku. He saw a cat. ... I don't have cat. New input: Nemám kočku. I have

## 6: So That $n$-Grams Probable (LM) $\mid \cup_{\mathcal{F} \bar{A} L}$

## Nemám žádného psa. <br> have no dog.

Viděl kočku. He saw a cat.

New input: Nemám koločku. I hàve a cat.

## Meaning Got Reversed!

## Nemám žádného psa. I have no dog.

New input:
Nemám kočku.
I have a cat.
. I don't have cat.

## What Went Wrong?

$$
\hat{e}_{1}^{\hat{I}}=\underset{I, e_{1}^{I}}{\operatorname{argmax}} p\left(f_{1}^{J} \mid e_{1}^{I}\right) p\left(e_{1}^{I}\right)=\underset{I, e_{1}^{I}}{\operatorname{argmax}} \prod_{(\hat{f}, \hat{e}) \in \text { phrase pairs of } f_{1}^{J}, e_{1}^{I}} p(\hat{f} \mid \hat{e}) p\left(e_{1}^{I}\right)
$$

- Too strong phrase-independence assumption.
- Phrases do depend on each other. Here "nemám" and "žádného" jointly express one negation.
- Word alignments ignored that dependence. But adding it would increase data sparseness.
- Language model is a separate unit.
- $p\left(e_{1}^{I}\right)$ models the target sentence independently of $f_{1}^{J}$.


## Redefining $p\left(e_{1}^{I} \mid f_{1}^{J}\right)$

What if we modelled $p\left(e_{1}^{I} \mid f_{1}^{J}\right)$ directly, word by word:

$$
\begin{align*}
p\left(e_{1}^{I} \mid f_{1}^{J}\right) & =p\left(e_{1}, e_{2}, \ldots e_{I} \mid f_{1}^{J}\right) \\
& =p\left(e_{1} \mid f_{1}^{J}\right) \cdot p\left(e_{2} \mid e_{1}, f_{1}^{J}\right) \cdot p\left(e_{3} \mid e_{2}, e_{1}, f_{1}^{J}\right) \ldots \\
& =\prod_{i=1}^{I} p\left(e_{i} \mid e_{1}, \ldots e_{i-1}, f_{1}^{J}\right) \tag{20}
\end{align*}
$$

$\ldots$ this is "just a cleverer language model:" $p\left(e_{1}^{I}\right)=\prod_{i=1}^{I} p\left(e_{i} \mid e_{1}, \ldots e_{i-1}\right)$
Main Benefit: All dependencies available.
But what technical device can learn this?
December 2018
MT2: PBMT, NMT

## NNs: Universal Approximators



- A neural network with a single hidden layer (possibly huge) can approximate any continuous function to any precision.
- (Nothing claimed about learnability.)
https://www.quora.com/How-can-a-deep-neural-network-with-ReLU-activations-in-its-hidden-layers-approximate-an


## playground.tensorflow.org

Test loss 0.033
Training loss 0.017


## Perfect Features

Test loss 0.010
Training loss 0.008


## Bad Features \& Low Depth

Test loss 0.510
Training loss 0.488


## Too Complex NN Fails to Learn

Test loss 0.195
Training loss 0.208


## Deep NNs for Image Classification

4 It's deep if it has more than one stage of non-linear feature transformation


Feature visualization of convolutional net trained on ImageNet from [Zeiler \& Fergus 2013]

## Representation Learning

- Based on training data
(sample inputs and expected outputs)
- the neural network learns by itself
- what is important in the inputs
- to predict the outputs best.

A "representation" is a new set of axes.

- Instead of 3 dimensions ( $x, y$, color), we get
- 2000 dimensions: (elephantity, number of storks, blueness, . . . )
- designed automatically to help in best prediction of the output


## One Layer $\tanh (W x+b), 2 \mathrm{D} \rightarrow$ 2D



Animation by http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/

## Four Layers, Disentagling Spirals





Animation by http://colah.github.io/posts/2014-03-NN-Manifolds-Topology/

## Processing Text with NNs

- Map each word to a vector of 0 s and 1 s ( " 1 -hot repr."):

$$
\text { cat } \mapsto(0,0, \ldots, 0,1,0, \ldots, 0)
$$

- Sentence is then a matrix:

|  |  | the | cat | is | on | the | mat |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\uparrow$ | a | 0 | 0 | 0 | 0 | 0 | 0 |
|  | about | 0 | 0 | 0 | 0 | 0 | 0 |
|  | cat | 0 | 1 | 0 | 0 | 0 | 0 |
|  | is | 0 | 0 | 1 | 0 | 0 | 0 |
|  | on | 0 | 0 | 0 | 1 | 0 | 0 |
|  | the | 1 | 0 | 0 | 0 | 1 | 0 |
| $\downarrow$ | zebra | 0 | 0 | 0 | 0 | 0 | 0 |

## Processing Text with NNs

- Map each word to a vector of 0 s and 1 s ( " 1 -hot repr."):

$$
\text { cat } \mapsto(0,0, \ldots, 0,1,0, \ldots, 0)
$$

- Sentence is then a matrix:

| ne | matrix | the | cat | is | on | the | mat |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\uparrow$ | a | 0 | 0 | 0 | 0 | 0 | 0 |
|  | about | 0 | 0 | 0 | 0 | 0 | 0 |
|  | cat | 0 | 1 | 0 | 0 | 0 | 0 |
| Vocabulary size: <br> 1.3M English <br> 2.2M Czech |  |  |  | . | . |  |  |
|  | is | 0 | 0 | 1 | 0 | 0 | 0 |
|  | on | 0 | 0 | 0 | 1 | 0 | 0 |
|  | the | 1 | 0 | 0 | 0 | 1 | 0 |
|  | zebra | 0 | 0 | 0 | 0 | 0 | 0 |

## Processing Text with NNs

- Map each word to a vector of 0 s and 1 s ( " 1 -hot repr."):

$$
\text { cat } \mapsto(0,0, \ldots, 0,1,0, \ldots, 0)
$$

- Sentence is then a matrix:

| 边 | 俉 | the | cat | is | on | the | mat |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\uparrow$ | a | 0 | 0 | 0 | 0 | 0 | 0 |
|  | about | 0 | 0 | 0 | 0 | 0 | 0 |
|  | c. cat | 0 | 1 | 0 | 0 | 0 | 0 |
| Vocabulary size: 1.3M English 2.2M Czech |  |  |  |  |  |  |  |
|  | is | 0 | 0 | 1 | 0 | 0 | 0 |
|  | . . |  |  |  |  |  |  |
|  | on | 0 | 0 | 0 | 1 | 0 | 0 |
|  | the | 1 | 0 | 0 | 0 | 1 | 0 |
|  | zebra | 0 | 0 | 0 | 0 | 0 | 0 |

Main drawback: No relations, all words equally close/far.

## Solution: Word Embeddings

- Map each word to a dense vector.
- In practice 300-2000 dimensions are used, not 1-2M.
- The dimensions have no clear interpretation.
- Embeddings are trained for each particular task.
- NNs: The matrix that maps 1-hot input to the first layer.
- The famous word2vec (Mikolov et al., 2013):
- CBOW: Predict the word from its four neighbours.
- Skip-gram: Predict likely neighbours given the word.


CBOW



Right: CBOW with just a single-word context (http://www-personal.umich.edu/~ronxin/pdf/w2vexp.pdf)

## Continuous Space of Words

Word2vec embeddings show interesting properties:

$$
\begin{equation*}
v(\text { king })-v(\text { man })+v(\text { woman }) \approx v(\text { queen }) \tag{21}
\end{equation*}
$$



Illustrations from https://www.tensorflow.org/tutorials/word2vec

## Further Compression: Sub-Words

- SMT struggled with productive morphology ( $>1 \mathrm{M}$ wordforms). nejneobhodpodařovávatelnějšími, Donaudampfschifffahrtsgesellschaftskapitän
- NMT can handle only 30-80k dictionaries.
$\Rightarrow$ Resort to sub-word units.

| Orig | český politik svezl migranty |
| :---: | :---: |
| Syllables | čes ký $\sqcup$ po li tik $\sqcup$ sve zl $\sqcup$ mig ran ty |
| Morphemes | česk ý $\sqcup$ politik $\sqcup \mathrm{s}$ vez I $\sqcup$ migrant y |
| Char Pairs | če sk ý $\sqcup$ po li ti $\mathrm{k} \sqcup \mathrm{sv}$ ez l $\sqcup$ mi gr an ty |
| Chars | český $\mathrm{p}_{\text {politik }}$ |
| BPE 30k | český politik s@@ vez@@ I mi@@ granty |

BPE (Byte-Pair Encoding) uses $n$ most common substrings (incl. frequent words).

## Variable-Length Inputs

Variable-length input can be handled by recurrent NNs:

- Reading one input symbol at a time.
- The same (trained) transformation $A$ used every time.
- Unroll in time (up to a fixed length limit).


Vanilla RNN:

$$
\begin{equation*}
h_{t}=\tanh \left(W\left[h_{t-1} ; x_{t}\right]+b\right) \tag{22}
\end{equation*}
$$

## Neural Language Model



- estimate probability of a sentence using the chain rule
- output distributions can be used for sampling

Thanks to Jindřich Libovický for the slides.

## Sampling from a LM



- "Autoregressive decoder" $=$ conditioned on its preceding output.


## Autoregressive Decoding

last_w = "<s>"
while last_w != "</s>":
last_w_embedding = target_embeddings[last_w]
state, dec_output = dec_cell(state,
last_w_embedding)
logits = output_projection(dec_output)
last_w = np.argmax (logits)
yield last_w

## RNN Training vs. Runtime

runtime: $\hat{y}_{j \text { (decocede) }} \times$ training: $y_{j \text { (gsound tutut) }}$


## NNs as Translation Model in SMT

Cho et al. (2014) proposed:

- encoder-decoder architecture and
- GRU unit (name given later by Chung et al. (2014))
- to score variable-length phrase pairs in PBMT.



## $\Rightarrow$ Embeddings of Phrases



## $\Rightarrow$ Syntactic Similarity ("of the")



## $\Rightarrow$ Semantic Similarity (Countries)



## NMT: Sequence to Sequence

Sutskever et al. (2014) use:

- LSTM RNN encoder-decoder
- to consume
and produce variable-length sentences.
First the Encoder:



## Then the Decoder

Remember: $p\left(e_{1}^{I} \mid f_{1}^{J}\right)=p\left(e_{1} \mid f_{1}^{J}\right) \cdot p\left(e_{2} \mid e_{1}, f_{1}^{J}\right) \cdot p\left(e_{3} \mid e_{2}, e_{1}, f_{1}^{J}\right) \ldots$

- Again RNN, producing one word at a time.
- The produced word fed back into the network.
- (Word embeddings in the target language used here.)



## Encoder-Decoder Architecture



## Continuous Space of Sentences



2-D PCA projection of 8000-D space representing sentences (Sutskever et al., 2014).

## Architectures in the Decoder

- RNN - original sequence-to-sequence learning (2015)
- principle known since 2014 (University of Montreal)
- made usable in 2016 (University of Edinburgh)
- CNN - convolution sequence-to-sequence by Facebook (2017)
- Self-attention (so called Transformer) by Google (2017)


## Attention (1/3)

- Arbitrary-length sentences fit badly into a fixed vector.
- Reading input backward works better.
. . . because early words will be more salient.
$\Rightarrow$ Use Bi-directional RNN and "attend" to all states $h_{i}$.



## Attention (2/3)

- Add a sub-network predicing importance of source states at each step.



## Attention (3/3)



## Attention Model in Equations (1)

## Inputs:

decoder state: $s_{i}$, encoder states: $h_{j}=\left[\overrightarrow{h_{j}} ; \overleftarrow{h_{j}}\right] \quad \forall i=1 \ldots T_{x}$
Attention energies:
$e_{i j}=v_{a}^{\top} \tanh \left(W_{a} s_{i-1}+U_{a} h_{j}+b_{a}\right)$
Attention distribution: $\alpha_{i j}=\frac{\exp \left(e_{i j}\right)}{\sum_{k=1}^{T_{x}} \exp \left(e_{i k}\right)}$
Context vector: $c_{i}=\sum_{j=1}^{T_{x}} \alpha_{i j} h_{j}$

## Attention Model in Equations (2)

Output projection:

$$
t_{i}=\operatorname{MLP}\left(U_{o} s_{i-1}+V_{o} E y_{i-1}+C_{o} c_{i}+b_{o}\right)
$$

. . . attention is mixed with the hidden state

## Output distribution:

$$
p\left(y_{i}=k \mid s_{i}, y_{i-1}, c_{i}\right) \propto \exp \left(W_{o} t_{i}\right)_{k}+b_{k}
$$

## Attention $\approx$ Alignment

- We can collect the attention across time.
- Each column corresponds to one decoder time step.
- Source tokens correspond to rows.



## Ultimate Goal of SMT vs. NMT

## Goal of "classical" SMT:

Find minimum translation units $\sim$ graph partitions:

- such that they are frequent across many sentence pairs.
- without imposing (too hard) constraints on reordering.
- in an unsupervised fashion.

Goal of neural MT:
Avoid minimum translation units. Find NN architecture that

- Reads input in as original form as possible.
- Produces output in as final form as possible.
- Can be optimized end-to-end in practice.


## Is NMT That Much Better?

The outputs of this year's best system: http://matrix.statmt.org/
SRC A 28-year-old chef who had recently moved to San Francisco was found dead in the stairwell of a local mall this week.
Osmadvacetiletý kuchař, který se nedávno přestěhoval do San Francisca, byl tento týden nalezen mrtvý na schodišti místního obchodního centra.
Osmadvacetiletý šéfkuchař, který se nedávno přistěhoval do San Franciska, byl tento týden $\emptyset$ schodech místního obchodu.

SRC There were creative differences on the set and a disagreement. Došlo ke vzniku kreativních rozdílỉ na scéně a k neshodám. Na place byly tvůrčí rozdíly a neshody.

## Is NMT That Much Better?

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SRC There were creative differences on the set and a disagreement.
REF Došlo ke vzniku kreativních rozdíli̊ na scéně a $k$ neshodám.
MT Na place byly tvůrčí rozdíly a neshody.

## Luckily ;-) Bad Errors Happen

SRC ... said Frank initially stayed in hostels...
MT ... řekl, že Frank původně zůstal v Budějovicích...

SRC Most of the Clintons' income...
MT Většinu příjmů Kliniky...

SRC The 63-year-old has now been made a special representativ MT 63letý mladík se nyní stal zvláštním zástupcem...

SRC He listened to the moving stories of the women.
MT Naslouchal pohyblivým príběhům žen.

## Catastrophic Errors

SRC Criminal Minds star Thomas Gibson sacked after hitting producer
REF Thomas Gibson, hvězda seriálu Myšlenky zločince, byl propuštěn po té, co uhodil režiséra
MT Kriminalisté Minsku hvězdu Thomase Gibsona vyhostili po zásahu producenta

SRC ...add to that its long-standing grudge...
REF ...přidejte $k$ tomu svou dlouholetou nenávist...
MT ...přidejte $k$ tomu svou dlouholetou záštitu...
(grudge $\rightarrow$ zášt $\rightarrow$ záštita)

## German $\rightarrow$ Czech SMT vs. NMT

- A smaller dataset, very first (but comparable) results.
- NMT performs better on average, but occasionally:

SRC Das Spektakel ähnelt dem Eurovision Song Contest.
REF Je to jako pěvecká soutěž Eurovision.
SMT Podívanou připomíná hudební soutěž Eurovize.
NMT Divadlo se podobá Eurovizi Conview.

SRC Erderwärmung oder Zusammenstoß mit Killerasteroid.
REF Globální oteplení nebo kolize se zabijáckým asteroidem.
SMT Globální oteplování, nebo srážka s Killerasteroid.
NMT Globální oteplování, nebo střet s zabijákem.

SRC Zu viele verletzte Gefühle.
REF Přiliš mnoho nepřátelských pocitů.
SMT Příliš mnoho zraněných pocity.
NMT Přiliš mnoho zraněných $\emptyset$.

## Summary

- What makes MT statistical.

Two crucially different models covered:

- Phrase-based: contiguous but independent phrases.
- Bayes Law as a special case of Log-Linear Model.
- Hand-crafted features (scoring functions); local vs. non-local.
- Decoding as search, expanding partial hypotheses.
- Neural: unit-less, continuous space.
- NMT as a fancy Language Model.
- Word embeddings, subwords.
- RNNs for variable-length input and output.
- Attention model.


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