NPFL116 Compendium of Neural Machine Translation

# Sequence-to-Sequence Learning March 15, 2017

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### **RNN Language Model**

 train RNN as classifier for next words (unlimited history)



- ► can be used to estimate sentence probability / perplexity → defines a distribution over sentences
- we can sample from the distribution



### Two views on RNN LM

- RNN is a for loop / functional map over sequential data
- ► all outputs are conditional distributions → probabilistic distribution over sequences of words

$$P(w_1,\ldots,w_n) = \prod_{i=1}^n P(w_i|w_{i-1},\ldots,w_1)$$

### Encoder-Decoder – Image



#### source language LM + target language LM

#### Encoder-Decoder Model – Code

```
state = np.zeros(emb size)
for w in input words:
    input embedding = source embeddings[w]
    state, = enc cell(encoder state,
                        input embedding)
last w = " < s > "
while last w != "</s>":
    last w embeding = target embeddings[last w]
    state, dec output = dec cell(state,
                                  last w embeding)
    logits = output projection(dec output)
    last w = np.argmax(logits)
    vield last w
```

#### **Encoder-Decoder Model – Formal Notation**

#### Data

input embeddings (source language)  $\mathbf{x} = (x_1, \dots, x_{T_x})$ output embeddings (target language)  $\mathbf{y} = (y_1, \dots, y_{T_y})$ 

#### Encoder

initial state *j*-th state final state

$$egin{aligned} & h_{0} \equiv \mathbf{0} \ h_{j} = \mathsf{RNN}\mathsf{enc}(h_{j-1}, x_{j}) \ h_{T_{\mathbf{v}}} \end{aligned}$$

Decoder

initial state *i*-th decoder state *i*-th word score

output

$$\begin{split} & s_0 = h_{T_x} \\ & s_i = \mathsf{RNNdec}(s_{i-1}, \hat{y}_i) \\ & t_{i+1} = U_o + V_o E y_i + b_o, \\ & \text{or multi-layer projection} \\ & \hat{y}_{i+1} = \arg\max t_{i+1} \end{split}$$

### **Encoder-Decoder: Training Objective**

For output word  $y_i$  we have:

- estimated conditional distribution  $\hat{p}_i = \frac{\exp t_i}{\sum \exp t_i}$ (softmax function)
- unknown true distribution  $p_i$ , we lay  $p_i \equiv \mathbf{1}[y_i]$

Cross entropy  $\approx$  distance of  $\hat{p}$  and p:

$$\mathcal{L} = H(\hat{p}, p) = \mathbf{E}_{p} \left( -\log \hat{p} \right) = -\log \hat{p}(y_{i})$$

...computing  $\frac{\partial \mathcal{L}}{\partial t_i}$  is super simple

Implementation: Runtime vs. training



### Sutskever et al.

- reverse input sequence
- impressive empirical results made researchers believe NMT is way to go

method	BLEU score
vanilla SMT	33.0
tuned SMT	37.0
Sutskever et al.: reversed	30.6
-"-: ensemble + beam search	34.8
<ul> <li>-"-: vanilla SMT rescoring</li> </ul>	36.5
Bahdanau's attention	28.5

Why is better Bahdanau's model worse?

#### Sutskever et al. $\times$ Bahdanau et al.

#### Sutskever et al. Bahdanau et al.

vocabulary

encoder

decoder

word embeddings

training time

160k enc, 80k dec  $4 \times$  LSTM, 1,000 units  $4 \times$  LSTM, 1,000 units 1,000 dimensions 7.5 epochs 30k both bidi GRU, 2,000 GRU, 1,000 units 620 dimensions 5 epochs

#### With Bahdanau's model size:

method	BLEU score
encoder-decoder	13.9
attention model	28.5

#### Main Idea

- same as reversing input: do not force the network to catch long-distance dependencies
- use decoder state only for target sentence dependencies and a as query for the source word sentence
- RNN can serve as LM it can store the language context in their hidden states

## Inspiration: Neural Turing Machine



- general architecture for learning algorithmic tasks, finite imitation of Turing Machine
- needs to address memory somehow – either by position or by content
- in fact does not work well it hardly manages simple algorithmic tasks
- ▶ content-based addressing → attention

### Small Trick before We Start



- read the input sentence from both sides
- every h<sub>i</sub> contains in fact information from the whole sentence

## **Attention Model**



### Attention Model in Equations (1)

**Inputs:** decoder state  $s_i$ encoder states  $h_j = \left[\overrightarrow{h_j}; \overleftarrow{h_j}\right] \quad \forall i = 1 \dots T_X$ 

Attention energies: Attention distribution:

$$\mathbf{e}_{ij} = \mathbf{v}_{a}^{\top} \tanh \left( \mathbf{W}_{a} \mathbf{s}_{i-1} + \mathbf{U}_{a} \mathbf{h}_{j} + \mathbf{b}_{a} 
ight) \qquad \alpha_{ij} = rac{\exp \left( \mathbf{e}_{ij} 
ight)}{\sum_{k=1}^{T_{x}} \exp \left( \mathbf{e}_{ik} 
ight)}$$

**Context vector:** 

$$c_i = \sum_{j=1}^{T_x} \alpha_{ij} h_j$$

### Attention Model in Equations (2)

**Output projection:** 

 $t_i = \mathsf{MLP}\left(U_o s_{i-1} + V_o E y_{i-1} + C_o c_i + b_o\right)$ 

...attention is mixed with the hidden state

**Output distribution:** 

 $p(y_i = k | s_i, y_{i-1}, c_i) \propto \exp(W_o t_i)_k + b_k$ 

## **Attention Visualization**



### Attention vs. Alignment

Differences between attention model and word alignment used for phrase table generation:

attention (NMT) probabilistic declarative LM generates

## alignment (SMT)

discrete imperative LM discriminates

## Image Captioning

#### Attention over CNN for image classification:



A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.



A stop sign is on a road with a mountain in the background.



A little girl sitting on a bed with a teddy bear.



A group of people sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

Source: Xu, Kelvin, et al. "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention." ICML. Vol. 14. 2015.

### Reading for the Next Week

Chung, Junyoung, Kyunghyun Cho, and Yoshua Bengio. "A character-level decoder without explicit segmentation for neural machine translation." arXiv preprint arXiv:1603.06147 (2016). https://arxiv.org/pdf/1603.06147.pdf

Question:

What are the reasons authors do not use character-level encoder? How would you improve the architecture such that it would allow character level encoding?