

# More Theories, Formal semantics

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Parts are based on slides by Carl Pollard

Charles University, 2011-11-12

# Optimality Theory

- Universal set of violable constraints:
  - Faithfulness constraints: surface forms should be as close as to underlying forms
  - Markedness constraints: work on output (along the lines: CV structure is preferred, voiceless final sounds are preferred)
- Language differ in constraint rankings
- Language acquisition = discovering the ranking
- Mostly in phonology

# HPSG

- The most widely used grammar framework in computational linguistics
- Fully formalized
- Model theoretic approach
- Objects: Typed feature structures - directed graph with labeled edges and nodes
- Grammar: set of constraints (a la Prolog + types + negation)
- Constraints can be expressed as AVMs

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Note: Here, we are ignoring the distinction between an *expression* and an *utterance of* an expression.

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- Names are controversial! Vastly oversimplifying:
  - **Descriptivism** (Frege, Russell) the meaning of a name is a **description** associated with the name by speakers; the reference is what satisfies the description.
  - **Direct Reference Theory** (Mill, Kripke) the meaning of a name **is** its reference, so names are **rigid** (their reference is independent of how things are.)

# Grammar and Meaning

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- Grammar says nothing about reference.

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A grammar specifies

- the meaning of words (or morphemes)
- how to derive a meaning of a complex expression from its components

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- *A cake was eaten.*
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**Entailment:**  $\phi \models \psi$  iff (if  $\phi$  is true then  $\psi$  must be true)

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- World** The **worlds** (ultrafilters of propositions)
- One** The **unit set**  $\{0\}$ .

It's conventional to call the member of this set  $*$ , rather than 0, since the important thing about it is that it is a singleton and not what its member is.

# Propositions

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- We are agnostic only about their formal nature not about their properties.



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- There are the usual glb/lub, top/bottom, complement, residual operations.

## (Hyper)intensional types

**Meaning**, the kind of hyperintensional types is defined as follows:

- **Prop, Ind, One**  $\in$  **Meaning**.
- If  $A, B \in$  **Meaning** then
  - $A \times B \in$  **Meaning**.
  - $A \rightarrow B \in$  **Meaning**
- Nothing else is a hyperintensional type.

## Examples of word meanings

syntax

proper name **Chiquita**

common noun **donkey**

sentential adverb **obviously**

dummy pronoun **it<sub>d</sub>**

*It is obvious that ...*

semantics

**Chiquita'** : **Ind**

**donkey'**: **Ind** → **Prop**

**obvious'**: **Prop** → **Prop**

\* ∈ **One**

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meaning type	maps to	reference type
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etc.		

meaning	reference
<b>Chiquita'</b> : <b>Ind</b>	<b>Chiquita'</b> : <b>Ind</b>
<b>*</b> : <b>One</b>	<b>*</b> : <b>One</b>
<b>donkey'</b> : <b>Ind</b> $\rightarrow$ <b>Prop</b>	$f$ : <b>Ind</b> $\rightarrow$ <b>Bool</b> ; $f(i) \Leftrightarrow (i \text{ is a donkey})$
<b>obviously</b> : <b>Prop</b> $\rightarrow$ <b>Prop</b>	$g$ : <b>Prop</b> $\rightarrow$ <b>Bool</b> ; $g(p) \Leftrightarrow (p \text{ is obvious})$

# Categorial Grammar

- logical formalism, several implications (usually written as / and \)
- small number of language independent rules (e.g., modus ponens = function application), the rest of grammar is in the lexicon (radical lexicalism)
- syntactic structure is an equivalence set of proofs
- Usually, surface form and semantics are derived in parallel.

# Categorial Grammar

- we can say that verbs are functions taking noun phrases as their arguments
- $\frac{\text{john:NP} \quad \text{sleeps:NP}\backslash\text{S}}{\text{john sleeps:S}}$  application

If there is an object john of type NP and an object sleeps of type NP\S then there is an object john sleeps of type S. Note that john sleeps is a syntactical object, not the actual surface form. We could have written x123 for john.

# Recall

**currying** – transformation of a function with multiple parameters into a function taking a single argument (the first of the arguments of the original function) and returning a new function which takes the remainder of the arguments.

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plus(3, 4)

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$\text{plus}'(3)(4)$

$\text{inc} = \text{plus}'(1)$

## All together now

- Words, phrases and sentences are modeled as signs.
- Signs are the combinations of phonological, syntactic, and semantic objects that makes sense.
- The phonological component of a sign is the pronunciation of the syntactic component and the semantic component is the meaning of it.
- The type **Sign** is a subtype of the following tuple type
 
$$\left[ \begin{array}{ll} \textit{phon} & \mathbf{Phon}^* \\ \textit{syn} & \mathbf{Syn} \\ \textit{sem} & \mathbf{Meaning} \end{array} \right]$$
- Syn** is the kind of syntactic types, i.e., set of basic types (**NP**, **N**, **S**, ...) closed under syntactic constructors ( $\times$ ,  $\Rightarrow$ , ...).



## All together now – Lexicon

- Lexicon consists of axioms of the form:

$$\vdash \left[ \begin{array}{ll} \textit{phon} & [\text{boI}] : \mathbf{Phon}^* \\ \textit{syn} & \textit{boy} : \mathbf{N} \\ \textit{sem} & \textit{boy}' : \mathbf{Ind} \Rightarrow \mathbf{Prop} \end{array} \right]$$

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$[\text{boI}] : \mathbf{Phon}^*$	$\textit{boy} : \mathbf{N}$	$\textit{boy}' : \mathbf{Ind} \Rightarrow \mathbf{Prop}$
$[\text{sno:rz}] : \mathbf{Phon}^*$	$\textit{snores} : \mathbf{NP} \Rightarrow \mathbf{S}$	$\textit{snores}' : \mathbf{Ind} \Rightarrow \mathbf{Prop}$
$[\text{sli:ps}] : \mathbf{Phon}^*$	$\textit{sleeps} : \mathbf{NP} \Rightarrow \mathbf{S}$	$\textit{sleep}' : \mathbf{Ind} \Rightarrow \mathbf{Prop}$

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$[\text{laudli}] : \mathbf{Phon}^*$	$\textit{loudly} : \mathbf{VP} \Rightarrow \mathbf{VP}$	$\textit{loud}' : (\mathbf{Ind} \Rightarrow \mathbf{Prop}) \Rightarrow (\mathbf{Ind} \Rightarrow \mathbf{Prop})$

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$[\textit{evri}] : \mathbf{Phon}^*$	$\textit{every} : \mathbf{N} \Rightarrow \mathbf{NP}$	$\textit{every}' = \lambda q, p. \lambda x. (q(x) \Rightarrow p(x)) : (\mathbf{Ind} \Rightarrow \mathbf{Prop}) \times (\mathbf{Ind} \Rightarrow \mathbf{Prop}) \Rightarrow \mathbf{Prop}$

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$[\text{ænd}] : \mathbf{Phon}^*$	$\textit{and} : \forall A. A \times A \Rightarrow A$	$\textit{and}' : \forall A. A \times A \Rightarrow A$

## All together now – Grammar

- function application in syntax corresponds to:
  - function application in semantics
  - concatenation in phonology
- Possibly other rules in individual sub-grammars. For example, phonotactic constraints in phonology.

*every boy:*



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Relevant lexicon:

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$\textit{every}'(\textit{boy}') =$

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*every boy sleeps and snores loudly*

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$$\vdash \left[ \begin{array}{ll} \textit{phon} & [\text{ɛvri boi sli:ps ænd snɔ:rz laudli}] : \mathbf{Phon}^* \\ \textit{syn} & \text{and}(\text{sleeps}, \text{loud}(\text{snore}))(\text{every}(\text{boy})) : \mathbf{S} \\ \textit{sem} & \text{and}'(\text{sleep}', \text{loud}'(\text{snore}'))(\text{every}'(\text{boy}')) : \mathbf{Prop} \end{array} \right]$$

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