

# Introduction to Natural Language Processing

a course taught as B4M36NLP at Open Informatics



by members of the Institute of Formal and Applied Linguistics



FACULTY  
OF MATHEMATICS  
AND PHYSICS  
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Today: **Week 6, lecture**  
Today's topic: **Syntactic Analysis**  
Today's teacher: **Daniel Zeman**

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# Level of (Surface) Syntax

- Relations between sentence parts
- Sentence part = token (word, number, punctuation)
  - Practical reasons:
    - Easily recognizable.
    - Unit of previous (morphological) level of processing.
    - We don't restore elided constituents, nor do we collapse nodes of function words; this can be done later on a deep-syntactic level.
  - On the other hand:
    - We must now also define relations between function words (prepositions, auxiliary verbs etc.), punctuation and the rest of the sentence.

# Level of Surface Syntax

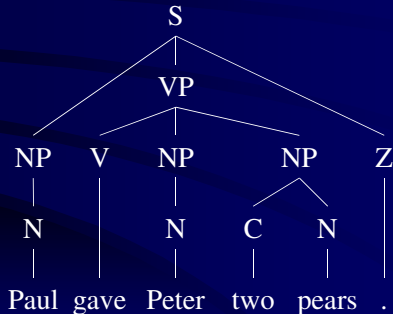
- Between morphology and meaning.
- Morphology provides / requires:
  - lemmas (it's time to obtain syntactic info from the dictionary)
  - tags (part of speech and morphosyntactic features)
  - word order (now it starts to play a role)
- Typical input is ambiguous
  - ambiguous morphological analysis
- Typical output is ambiguous
  - several syntactic structures for one sentence (several readings of the sentence)

# Syntactic Structure

- Different shapes in different theories
- Typically a tree
  - Phrasal (constituent) tree, parse tree
  - Dependency tree

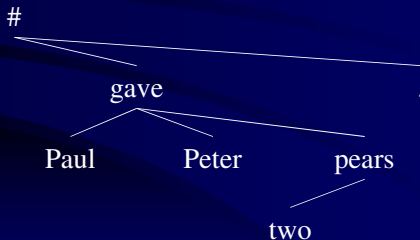
# Example of Constituent Tree

- ((Paul (gave Peter (two pears))) .)



# Example of Dependency Tree

- [# ,0] ([gave,2] ([Paul,1], [Peter,3], [pears,5] ([two,4])), [.,6])



# Words and Phrases

- Word (token)
  - smallest unit of the syntactic layer
  - grammatical (function, synsemantic) words (e.g. *and* in coordination *Paul and Peter*, *to be* in compound verb forms *he is scared*, *he will be scared*)
  - lexical (content, autosemantic) words (e.g. *dog*; *to be* in the sentence *I think, therefore I am*. (René Descartes))
- Phrase
  - composed of words and/or other phrases (**immediate constituents**)

# Words

- Relation to other words
  - Lexicon contains information on words and possible relations among them.
    - Subcategorization of verbs and other words (do they require an object? if so, should it be marked for a particular case?)
    - Semantic features (a noun has color, has size, can act as the subject of a particular set of verbs...)
- Idioms, multi-word expressions
  - Fixed, indivisible phrases may act as one word (e.g. compound prepositions (*in spite of*), foreign citations and named entities (*Rio de Janeiro*), compound nouns written as separate tokens (*stock exchange*))



# Phrase Replaceability

- A phrase can be replaced by another phrase of the same type. Specifically, it can be replaced by its head.
  - This is related to the generation of the sentence.
- ⇒ The phrases  $x$ ,  $y$ ,  $z$  can be immediate constituents of a larger phrase  $f$  only if they are related to each other. This is however a matter of the particular phrase structure grammar.
  - Example: sentence “*This is the man that I talked about.*” The part “*man that I*” is not a whole noun phrase because it cannot be replaced by another noun phrase, e.g. *man*: “\**This is the man talked about.*”

# Phrase

- Phrase
  - Sequence of immediate constituents (words or phrases).
  - May be discontinuous in some languages. **CS**: „*Soubor se nepodařilo otevřít.*“ (lit. *File oneself one-was-not-able to-open*) contains the phrase “*open file*”.
- Phrase types by their main word—**head**
  - Noun phrase: *the new book of my grandpa*
  - Adjectival phrase: *brand new*
  - Adverbial phrase: *very well*
  - Prepositional phrase: *in the classroom*
  - Verb phrase: *to catch a ball*

# Noun Phrase

- A noun or a (substantive) pronoun is the head.
  - water
  - *the book*
  - *new ideas*
  - *two millions of inhabitants*
  - *one small village*
  - *the greatest price movement in one year since the World War II*
  - *operating system that, regardless of all efforts by our admin, crashes just too often*
  - he
  - whoever

# Adjective Phrase

- An adjective or a determiner (attributive pronoun) is the head.
- Simple ADJPs are very frequent, complex ones are rare.
  - *old*
  - *very old*
  - *really very old*
  - *five times older than the oldest elephant in our ZOO*
  - *sure that he will arrive first*

# Pronouns / Determiners

- (Substantive) pronouns: similar behavior as nouns
  - Personal pronouns (*I, you, they, oneself*).
  - Some demonstrative, interrogative, relative and negative (*who, what, somebody, something, nothing*).
- Attributive pronouns (determiners): similar behavior as adjectives
  - Possessive pronouns (*my, your, his, whose*).
  - Articles (*the, a, an*).
  - Attributively used demonstrative, interrogative, relative and negative pronouns (*which, some, every, no*).

# Numeral Phrases

- In Slavic languages not always clear what should be the head: the number, or the counted noun phrase?
  - The numeral inherits the gender of the counted noun. The noun gets its grammatical number from the numeral.
    - *jeden muž* (one man), *jedna žena* (one woman), *jedno dítě* (one child)
    - *dva muži* (two men), *dvě ženy* (two women), *dvě děti* (two children)
  - The numeral governs the case of the counted noun.
    - *pět mužů* (five men: noun in genitive, numeral in nominative, accusative or vocative)
  - Both the counted noun and the numeral have a case required by their governing preposition or verb.
    - *pěti ženami* (five women: instrumental)

# Adverbial Phrases

- An adverb is the head.
  - *quickly*
  - *much more*
  - *how*
  - *louder than you can imagine*
  - *yesterday*

# Prepositional (Postpositional) Phrase

- The preposition serves as head (because it determines the case of the rest of the phrase).
- Often have a function similar to adverbial phrases (adverbiale) or noun phrases (object of a verb).
  - *in the city center*
  - *in God*
  - *around five o'clock*
  - *to a better future*
  - *up to a situation where neither of them could back out*
  - *with respect to his nonage*



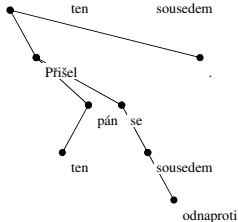
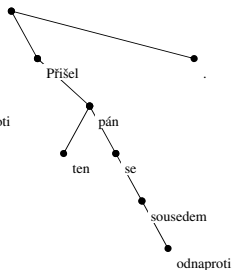
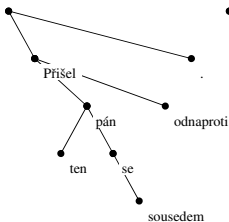
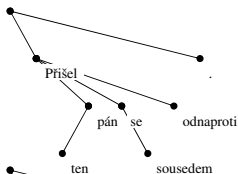
# Prepositional Phrases

- Classic English example:
  - *I saw the man with a telescope.*
    1. *Viděl jsem ho dalekohledem.*
    2. *Viděl jsem ho s dalekohledem.*

# Prepositional Phrases: Czech Example

Lit.: *Came the  
man with  
neighbor from-  
across-the-road.*

- „*Přišel ten pán se sousedem odnaproti.*“



# Prepositional Phrases and Syntactic Ambiguities

- *V letech 1991 – 1993 jsem absolvovala kurzy řízení a marketingu na Collège Bart v kanadském Québecu.*
- *In years 1991 – 1993 I attended classes of management and marketing at Collège Bart in Canadian Québec.*

*(A Czech sentence from the Prague Dependency Treebank.)*

# Prepositional Phrases and Syntactic Ambiguities

- *In years 1991 – 1993 I attended classes of management and marketing at Collège Bart in Canadian Québec.*
  - *attended at Collège Bart*
  - *classes at Collège Bart*
  - *management and marketing at Collège Bart*
  - *marketing at Collège Bart*
  - *Collège Bart in Québec*
  - *marketing in Québec...*

# Prepositional Phrases and Syntactic Ambiguities

- *In years 1991 – 1993 I attended classes of management and marketing at Collège Bart in Canadian Québec.*
  - *attended (class (of (mngmt and market))) (at Bart)*
  - *attended (class (of (mngmt and market)) (at Bart))*
  - *attended (class (of ((mngmt and market) (at Bart))))*
  - *attended (class (of (mngmt and (market (at Bart)))))*
  - ... *((at Bart) (in Québec))*
    - Is Bart in Québec or Québec in Bart?

# Prepositional Phrases and Syntactic Ambiguities

- „říjnové jednání OSN o klimatických změnách v Kodani“ (*Události ČT, 27.2.2009*)
- “October UNO summit about climatic changes in Copenhagen” (*Czech TV news, 2-27-2009*)
- Question:  
Were there climatic changes in Copenhagen?

# Verb Phrase

- The underlined finite verb form is the head.
- The repertory depends on the rules for analytical verb forms and varies greatly cross-linguistically.
  - *it rains*
  - *he could at all *sight* Mr. President*
  - *why we got wet so much*
  - *Go!*
  - *he has been transported to the hospital on Sunday*
  - *it began to rain*
  - *prohibits smoking in this room*
  - *give Mary the beads that we *brought* from the vacation in Morocco*
  - *the file could not *be opened**

# Clause

- Group of words with 1 predicate, e.g.:
  - *John loves Mary.*
  - *...that you are right.*
- Not necessarily same as a verb phrase (VP).
  - Nested VPs are part of the main VP.
  - Nested clauses are not parts of the main clause.





# Clause and Sentence

- Clause
  - simple sentence or **part of** compound sentence
  - e.g. *John loves Mary.* or “*that you are right*”.
- Sentence
  - simple sentence or compound sentence
  - consists of one or more clauses
  - e.g. *John loves Mary.* or “*I realized that you were right.*”

# Clause

- Predicative function
  - Certain activity of certain subjects and objects in certain time under certain conditions
- Main clause
  - Independent of other clauses in the sentence
- Nested clause, relative clause
  - Depends on another clause, carries out a function in that clause (as a dependent phrase)
- Functions of clauses:
  - Same as phrases plus some special, e.g. **direct speech**.

# Sentence

- Consists of one or more main clauses.
- If there are more than one main clause then they are usually coordinated.
- A written sentence begins with a capital letter (if the script distinguishes case). Sometimes begins with a parenthesis or a quotation mark. An uppercase letter can occur inside of the sentence, too.
- It ends with a period, exclamation or question mark. Sometimes ends with a parenthesis or a quotation mark. A period can occur inside of the sentence, too.
- Depending on human decision, semicolons and colons may or may not terminate a sentence. It is usually possible to view them as coordinating conjunctions.

# Coordination

- There is **no real head**. Technically, the conjunction, comma etc. can be proclaimed a head.
- The coordinated phrases are usually of the same type.
  - *chickens, hens, rabbits, cats and dogs*
  - *new or even newer*
  - *quickly and finely*
  - *he came to the conclusion that there is no point in hiding any more, so we might hear him here today*
  - *in the house or outside*
  - *to and from Prague*
  - *either now or later*
  - *not only on Monday and on Wednesday but also tomorrow or the day after tomorrow*

# Apposition

- Similarly to coordination, joins two phrases none of which depends on the other.
- Unlike coordination, apposition has never more than two members.
- The combined meaning is also different:
  - *Charles IV, Roman Emperor and Czech King*
- Coordination: multiple different phrases carry out the same function together.
- Apposition: semantically only one entity; on surface, it is described by two different ways.
  - *and the most — 40 percent — befalls to family homes*
  - *factors, especially depreciation*
  - *caretaker — natural or legal person determined by the owner of the building*
  - *costs and increase of taxes — these are matters that...*

# Elision

- A phrase omitted from the (surface of the) sentence although it is present in the underlying meaning (deep structure).
- Frequently in dialogues: the elided phrase is known from context.
  - *Whom did you see there? — Peter.* (Missing verb.)
- In written text often occurs in coordination.
  - *Czech and German researchers discussed...* (There was probably no researcher that was Czech and German at the same time. Instead, there were *Czech researchers and German researchers.*)
  - *The Penguins are leading 4:0, while the Colorado Avalanches only 3:2.* (verb in the second part)
- Systemic elision of subject in pro-drop languages (it is marked on the verb and can be deduced in the form of a pronoun).
  - *Sedím. (já) = “(I) sit.”*

# Gaps and Discontinuous Phrases

- A constituent (phrase) was moved from the position where it is expected.
- Nothing special in free-word-order languages. The terms *gap* and *trace* are typically used in English (see the Penn Treebank).
- In Czech: *gap* is a term related to non-projective constructions and its meaning is different!
- English questions and relative clauses:
  - *Who do you work for* <gap><sub>whom?</sub>
  - *I don't know why we have got so much rain* <gap><sub>why</sub>
  - *On Sundays, I usually work* <gap><sub>on sundays</sub> *but I stay at home on Tuesdays.*
  - *the story he never wrote* <gap><sub>the story</sub>

# Summary of Phrase-Based Model

- Sentence is divided to phrases (constituents).
- Phrase may be divided to even smaller phrases.
- The largest phrase is the whole sentence.
- The smallest phrase is a word.
- Phrases are named and labeled according to their type.



# Observation: Phrases Are Related to Context-Free Grammars

- Phrase structure of a sentence corresponds to the derivation tree under the grammar that generates / recognizes the sentence.
- Example:
  - $S \rightarrow NP VP$  (a sentence has a subject and a predicate)
  - $NP \rightarrow N$  (a noun is a noun phrase)
  - $VP \rightarrow V NP$  (a verb phrase consists of a verb and its object)
- Lexicon part of the grammar:
  - $N \rightarrow \text{dog} \mid \text{cat} \mid \text{man} \mid \text{car} \mid \text{John} \dots$
  - $V \rightarrow \text{see} \mid \text{sees} \mid \text{saw} \mid \text{bring} \mid \text{brings} \mid \text{brought} \mid \dots$

# Lexicon

- In practice the lexical part can (and should) be implemented separately from the grammar.
- The nonterminals of the lowest level (immediately above the terminals) might be POS tags.
  - Then morphological analysis and tagging (disambiguation of MA) solves the lowest level of the phrase tree.
    - In fact, disambiguation is not necessary. There will be other ambiguities in the tree anyway. The parser can take care of them.
  - The grammar works only with POS tags.
  - This is why we sometimes talk about *preterminals* (the nonterminals immediately above the leaf nodes).

# An Extended Grammar Example for Czech (7 Cases!)

- $NP \rightarrow N \mid AP \ N$
- $AP \rightarrow A \mid AdvP \ A$
- $AdvP \rightarrow Adv \mid AdvP \ Adv$
- $N \rightarrow pán \mid hrad \mid muž \mid stroj \dots$
- $A \rightarrow mladý \mid velký \mid zelený \dots$
- $Adv \rightarrow velmi \mid včera \mid zeleně \dots$
- $NP_{nom} \rightarrow N_{nom}$
- $NP_{nom} \rightarrow AP_{nom} \ N_{nom}$
- $NP_{nom} \rightarrow N_{nom} \ NP_{gen}$
- $N_{nom} \rightarrow pán \mid hrad \mid muž \dots$
- $N_{gen} \rightarrow pána \mid hradu \mid muže \dots$
- $N_{dat} \rightarrow pánovi \mid hradu \mid muži \dots$
- $N_{acc} \rightarrow pána \mid hrad \mid muže \dots$
- $N_{voc} \rightarrow pane \mid hrade \mid muži \dots$
- $N_{loc} \rightarrow pánovi \mid hradu \mid muži \dots$
- $N_{ins} \rightarrow pánem \mid hradem \dots$
- $NP_{gen} \rightarrow N_{gen}$
- $NP_{gen} \rightarrow AP_{gen} \ N_{gen}$
- $NP_{gen} \rightarrow N_{gen} \ NP_{gen}$

# An Extended Grammar Example for Czech (Verbs)

- $VP \rightarrow VP_{\text{obligatory}}$
- $VP \rightarrow VP_{\text{obligatory}} VP_{\text{optional}}$
- $VP_{\text{obligatory}} \rightarrow V_{\text{intr}}$
- $VP_{\text{obligatory}} \rightarrow V_{\text{trans}} NP_{\text{acc}}$
- $VP_{\text{obligatory}} \rightarrow V_{\text{bitr}} NP_{\text{dat}} NP_{\text{acc}}$
- $VP_{\text{obligatory}} \rightarrow V_{\text{mod}} V_{\text{INF}}$
- $VP_{\text{optional}} \rightarrow AdvP_{\text{location}} \mid$   
 $AdvP_{\text{time}} \dots$
- $V_{\text{intr}} \rightarrow \textit{\text{šedivět}} \mid \textit{\text{brzdit}} \dots$
- $V_{\text{trans}} \rightarrow \textit{\text{koupit}} \mid \textit{\text{ukrást}} \dots$
- $V_{\text{bitr}} \rightarrow \textit{\text{dát}} \mid \textit{\text{půjčit}} \mid \textit{\text{poslat}} \dots$
- $V_{\text{mod}} \rightarrow \textit{\text{moci}} \mid \textit{\text{smět}} \mid \textit{\text{muset}} \dots$
- ... (tens to hundreds of frames)

# Unification Grammar

- An alternative to nonterminal splitting
- Instead of seven context-free rules:
  - $NP_{nom} \rightarrow AP_{nom} N_{nom}$
  - $NP_{gen} \rightarrow AP_{gen} N_{gen}$
  - $NP_{dat} \rightarrow AP_{dat} N_{dat}$
  - $NP_{acc} \rightarrow AP_{acc} N_{acc}$
  - $NP_{voc} \rightarrow AP_{voc} N_{voc}$
  - $NP_{loc} \rightarrow AP_{loc} N_{loc}$
  - $NP_{ins} \rightarrow AP_{ins} N_{ins}$
- One unification rule:
  - $NP \rightarrow AP N := [case = AP^{case} \# N^{case}]$

# Syntactic Analysis (Parsing)

- Automatic methods of finding the syntactic structure for a sentence
  - Symbolic methods: a phrase grammar or another description of the structure of language is required. Then: the chart parser.
  - Statistical methods: a text corpus with syntactic structures is needed (a **treebank**).
  - Hybrid methods: a simple grammar, ambiguities solved statistically with a corpus.
    - Chunking / shallow parsing

# Parsing with a Context-Free Grammar

- Hierarchy of grammars:
  - Noam Chomsky (1957): *Syntactic Structures*
- Couple of classical algorithms.
  - CYK (Cocke-Younger-Kasami) ... complexity  $O(n^3)$ 
    - John Cocke (“inventor”)
    - Tadao Kasami (1965), Bedford, MA, USA (another independent “inventor”)
    - Daniel H. Younger (1967) (computational complexity analysis)
  - Constraint of CYK: grammar is in CNF (Chomsky Normal Form), i.e. the right-hand side of every rule consists of either two nonterminals or one terminal. (CFGs can be easily transformed to CNF.)

# Parsing with a Context-Free Grammar

- **Chart parser:** CYK requires a data structure to hold information about partially processed possibilities. Turn of 1960s and 1970s: the *chart* structure proposed for this purpose.
- Jay Earley (1968), PhD thesis, Pittsburgh, PA, USA
  - A somewhat different version of chart parsing.
- For details on chart parser, see the earlier lecture about morphology and context-free grammars.



# Practical Phrase-Based Parsing

- Rule-based parsers, e.g. Fidditch (Donald Hindle, 1983)
- **Collins** parser (Michael Collins, 1996–1999)
  - Probabilistic context-free grammars, lexical heads
  - Labeled precision & recall on Penn Treebank / Wall Street Journal data / Section 23 = 85%
  - Reimplemented in Java by Dan Bikel (“**Bikel** parser”), freely available
- **Charniak** parser (Eugene Charniak, NAACL 2000)
  - Maximum entropy inspired parser
  - $P \sim R \sim 89.5\%$
  - Mark Johnson: reranker => over 90%
- **Stanford** parser (Chris Manning et al., 2002–2010)
  - Produces dependencies, too. Initial  $P \sim R \sim 86.4\%$

# Dependency Parsing

Daniel Zeman

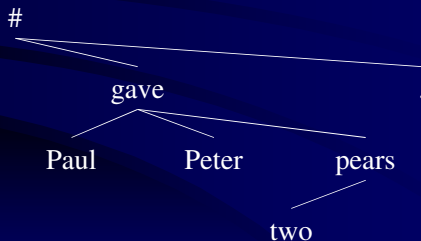
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# Dependency Model of Syntax

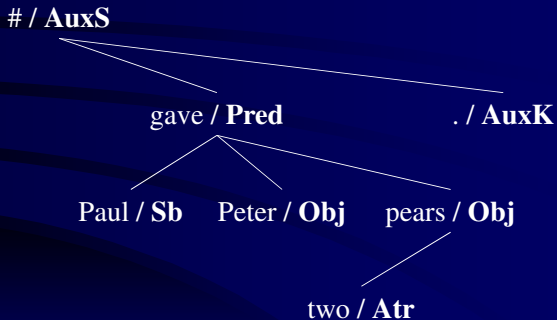
- Summary of syntactic relations:
- Sentence divided to **phrases** (constituents).
  - Cornerstone of the phrase-based (constituent-based) model.
- Phrase head, **dependency** of other phrase members on the head.
  - Head = **governing** node (token), the other nodes are **dependent**.
  - Cornerstone of a dependency tree.
- We can talk of dependencies even if we work with constituent trees and vice versa.

# Example of Dependency Tree

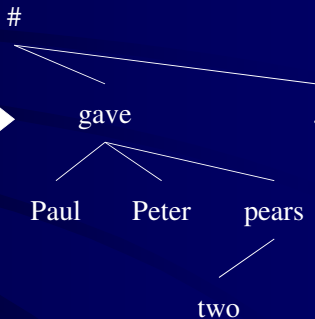
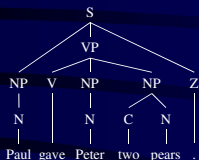
- [# ,0] ([gave,2] ([Paul,1], [Peter,3], [pears,5] ([two,4])), [.,6])



# Dependency Labels



# Phrase vs. Dependency Trees



# Phrase vs. Dependency Trees

- Phrase (constituent) trees
  - Show decomposition of sentence to phrases and label them.
  - Don't stress what is **head** and which word depends on which.
  - Needn't specify **function**, dependency type.
- Dependency trees
  - Show dependencies between words and label them.
  - Don't capture similarity of construction of different sentence parts, recursion.
  - Don't capture progress of sentence generation, **proximity** of dependent nodes to the head.
  - Don't contain **nonterminals**, phrase types—these can be only estimated from parts of speech of the heads.

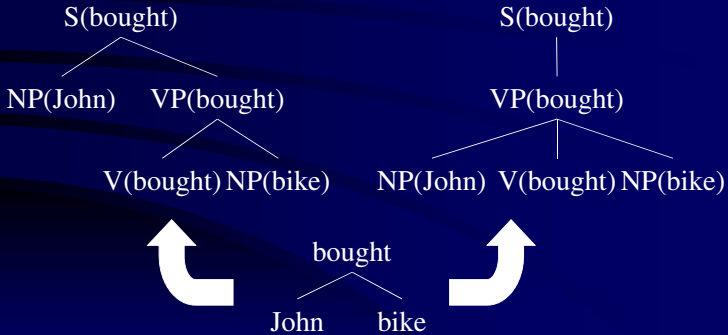
# Differences between Phrase and Dependency Model

- We want to convert a phrase tree  $P$  to a dependency tree  $D$  or vice versa.
- Phrase tree does not tell what is the phrase head.
  - To convert  $P \rightarrow D$  we need a selection function that for every grammar rule select a right-hand symbol to serve as the head.
- Dependency tree does not show how the sentence arose (recursion), nor does it necessarily cover the complete phrase decomposition.
  - It does not tell what has been added “sooner” and what “later”.
  - Several phrase structures may lead to the same dependency structure  $\Rightarrow$  back conversion ( $D \rightarrow P$ ) is ambiguous.



# Example

- Several phrase trees lead to the same dependency tree.

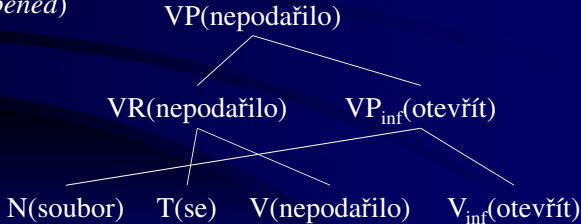


# Differences between Phrase and Dependency Model

- Dependency tree does not know phrase labels (nonterminals—because it does not even know what the phrases are, see previous slide).
  - We need a function that determines the label according to the phrase head.
  - Really we need it? To understand the meaning, one needs the relations and **their type** but not what has been generated sooner and what later.
- Phrase tree does not know the type of the relation between the head and the other members—**function**. (But cf. functional tags in Penn Treebank.)
  - We need a function that determines the dependency label for every non-head member of the phrase. (We can tell that while selecting the head.)
- A significant difference: phrase trees are **tightly bound to the word order!**

# Discontinuous Phrases

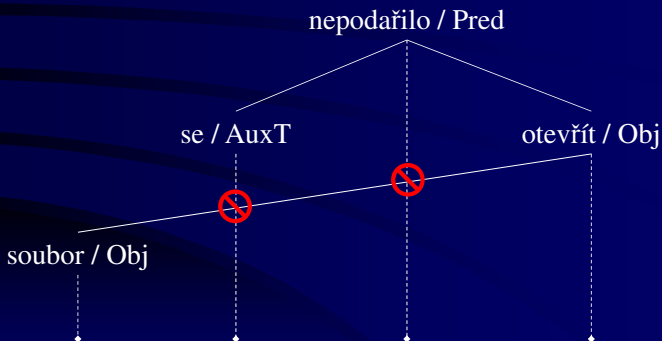
- Classical context-free grammar cannot describe them!
- They cannot be represented by bracketing.
- (*Soubor (se nepodařilo) otevřít*). (CS: *File couldn't be opened*)



# Nonprojectivity

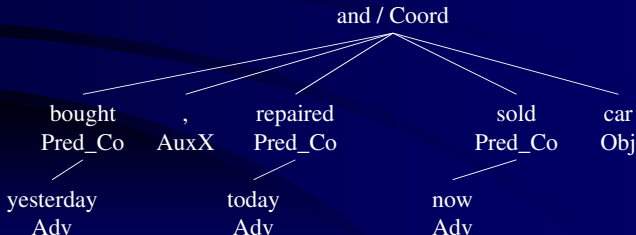
- Dependency tree including word order (horizontal coordinate of nodes).
- Projection to the base: the vertical from the node crosses a dependency (**nonprojective edge**).
- Formally:
  - Dependency  $([g, x_g], [d, x_d])$ .  $x_w$  is the order of the word  $w$  in the sentence.
  - There exists a node  $[n, x_n]$  that  $x_g < x_n < x_d$  or  $x_d < x_n < x_g$  and  $[n, x_n]$  is not in subtree rooted by  $[g, x_g]$ .
- Informally: The string spanned by the subtree of the governing node is discontinuous, contains **gaps**.

# Nonprojectivity: Can Be Handled by a Dependency Tree!

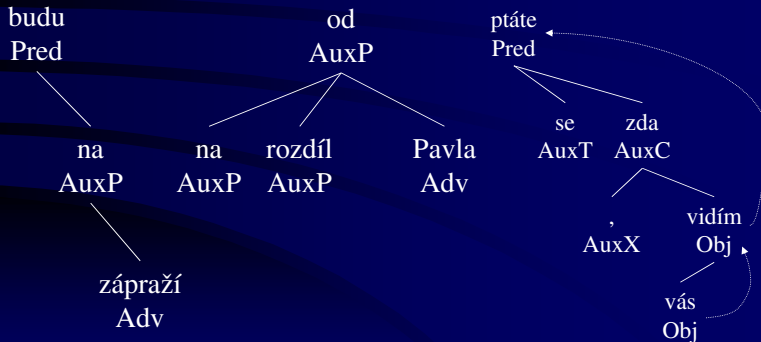


# Problem: Not Everything is Dependency

- Coordination and apposition.
  - Modifying coordination  $\times$  modifying a coordination member.
  - Auxiliary nodes (punctuation etc.)



# Prepositional Phrases, Nested Subjoined Clauses



# Nested Relative Clauses

muž / man

???

představil / introduced

Atr

,      kterého      jsem      vám  
AuxX   / whom      / I      / to you  
Obj                      AuxV                      Obj



# Phrases, Dependencies and Other Models

- Phrases (constituents, immediate constituents).
  - Originally more widespread, suitable for English.
  - Context-free grammars.
- Dependencies.
  - Originally popular e.g. in Czech (and also in Far East), now widespread.
  - Especially suitable for free-word-order languages.
  - Dependency grammars, grammars of dependency trees.
- Categorical grammars.
- Tree-adjoining grammars (TAGs).
- And many more...

# Dependency Grammar

- In contrast to phrase model, relation to grammar is artificial (“dependency tree does not demonstrate how it was generated”).
- No implementation for Czech.
- Context-free grammar + head-selection function (only projective constructions).
- Grammar rules that rewrite a nonterminal to a whole subtree (*grammar of dependency trees*).
- Related to link grammars, tree-adjointing grammars, categorial grammars.
- HPSG, unification.

# MST Parser

- McDonald et al., HLT-EMNLP 2005
- <http://sourceforge.net/projects/mstparser/>
- MST = maximum spanning tree = **CS** : nejlépe ohodnocená **kostra (orientovaného) grafu**
- Start with a total graph.
  - We assume that there can be a dependency between any two words of the sentence.
- Gradually remove poorly valued edges.
- A statistical algorithm will take care of the valuation.
  - It is trained on edge features.
  - Example features: lemma, POS, case... of governing / dependent node.

# MST Parser

- Feature engineering (tell the parser what features to track) by modifying the source code (Java).
- Not easy to incorporate *2<sup>nd</sup> order features*
  - I.e. edge weight depends e.g. on POS tag of its grandparent.
- Parser can be run **in nonprojective mode**.
- Training on the whole PDT reportedly takes about 30 hours.
  - It is necessary to iterate over all feature combinations and look for the most useful ones.
- In comparison to that, the parsing proper is quite fast.

# Malt Parser

- Nivre et al., *Natural Language Engineering*, 2007
- <http://maltparser.org/>
- Based on *transitions* from one configuration to another.
- Configuration:
  - Input buffer (words of the sentence, left-to-right)
  - Stack
  - Output tree (words, dependencies and dependency labels)
- Transitions:
  - Shift: move word from buffer to stack
  - Larc: left dependency between two topmost words on stack
  - Rarc: right dependency between two topmost words on stack

# Malt Parser

- Parser driven by **oracle** that selects the transition operation based on the current configuration.
- Training: decompose the tree from training data to a sequence of configurations and transitions
  - Sometimes there are more than one possibility
    - Various learning strategies: e.g. create dependencies eagerly, as soon as possible.
- The oracle learns based on the features of the configuration.
  - E.g. word, lemma, POS, case, number...
    - $n^{\text{th}}$  word from the top of the stack
    - $k^{\text{th}}$  word remaining in the buffer
    - particular node in output tree part created so far

# Malt Parser

- Again, a machine learning algorithm is responsible for training, here the *Support Vector Machines (SVM)*.
  - Classifier. Input vectors: values of all features of the current configuration.
  - In addition, during training there is the output value, i.e. action identifier (Shift / Larc / Rarc).
  - The trained oracle (SVM) tells the output value during parsing.
- Training on the whole PDT may take weeks!
  - Complexity  $O(n^2)$  where  $n$  is number of training examples.
  - Over 3 million training examples can be extracted from PDT.
- Parsing is relatively faster ( $\sim 1$  sentence / second) and can be parallelized.

# Example of Malt Parsing

- stack = #
- buffer = Pavel dal Petrovi dvě hrušky .
- *English* = *Paul gave to-Peter two pears .*



# Example of Malt Parsing

- stack = #
- buffer = Pavel dal Petrovi dvě hrušky .
- tree =

## SHIFT

- stack = # Pavel
- buffer = dal Petrovi dvě hrušky .
- tree =

# Example of Malt Parsing

- stack = # Pavel
- buffer = dal Petrovi dvě hrušky .
- tree =

## SHIFT

- stack = # Pavel dal
- buffer = Petrovi dvě hrušky .
- tree =

# Example of Malt Parsing

- stack = # Pavel dal
- buffer = Petrovi dvě hrušky .
- tree =

## LARC

- stack = # dal
- buffer = Petrovi dvě hrušky .
- tree = dal(Pavel)

# Example of Malt Parsing

- stack = # dal
- buffer = Petrovi dvě hrušky .
- tree = dal(Pavel)

## SHIFT

- stack = # dal Petrovi
- buffer = dvě hrušky .
- tree = dal(Pavel)

# Example of Malt Parsing

- stack = # dal Petrovi
- buffer = dvě hrušky .
- tree = dal(Pavel)

## RARC

- stack = # dal
- buffer = dvě hrušky .
- tree = dal(Pavel,Petrovi)

# Example of Malt Parsing

- stack = # dal
- buffer = dvě hrušky .
- tree = dal(Pavel,Petrovi)

## SHIFT

- stack = # dal dvě
- buffer = hrušky .
- tree = dal(Pavel,Petrovi)

# Example of Malt Parsing

- stack = # dal dvě
- buffer = hrušky .
- tree = dal(Pavel,Petrovi)

## SHIFT

- stack = # dal dvě hrušky
- buffer = .
- tree = dal(Pavel,Petrovi)

# Example of Malt Parsing

- stack = # dal dvě hrušky
- buffer = .
- tree = dal(Pavel,Petrovi)

## LARC

- stack = # dal hrušky
- buffer = .
- tree = dal(Pavel,Petrovi),hrušky(dvě)



# Example of Malt Parsing

- stack = # dal hrušky
- buffer = .
- tree = dal(Pavel,Petrovi),hrušky(dvě)

## RARC

- stack = # dal
- buffer = .
- tree = dal(Pavel,Petrovi,hrušky(dvě))

# Example of Malt Parsing

- stack = # dal
- buffer = .
- tree = dal(Pavel,Petrovi,hrušky(dvě))

## RARC

- stack = #
- buffer = .
- tree = #(dal(Pavel,Petrovi,hrušky(dvě)))

# Example of Malt Parsing

- stack = #
- buffer = .
- tree = #(dal(Pavel,Petrovi,hrušky(dvě)))

## SHIFT

- stack = # .
- buffer =
- tree = #(dal(Pavel,Petrovi,hrušky(dvě)))

# Example of Malt Parsing

- stack = # .
- buffer =
- tree = #(dal(Pavel,Petrovi,hrušky(dvě)))

## RARC

- stack = #
- buffer =
- tree = #(dal(Pavel,Petrovi,hrušky(dvě)),.)

# Nonprojective Mode of Malt

- It can be proved that the above transition system is
  - correct
    - resulting graph is always a tree (continuous, cycle-free)
  - complete for the set of **projective trees**
    - every projective tree can be expressed as a sequence of transitions
- How to add nonprojective dependencies?
  - New transition operation **SWAP**:
  - Take second topmost word from stack and return it to buffer. That will swap the order of the input words.
  - This action is permitted only for words that have not been swapped before (their order on the stack corresponds to their original order in the sentence).

# Nonprojective Parsing Example

- stack = #
- buffer = Soubor se nepodařilo otevřít .
- *English* = *File itself it-did-not-succeed to-open .*

# Nonprojective Parsing Example

- stack = #
- buffer = Soubor se nepodařilo otevřít .
- tree =

## SHIFT

- stack = # Soubor
- buffer = se nepodařilo otevřít .
- tree =

# Nonprojective Parsing Example

- stack = # Soubor
- buffer = se nepodařilo otevřít .
- tree =

## SHIFT

- stack = # Soubor se
- buffer = nepodařilo otevřít .
- tree =



# Nonprojective Parsing Example

- stack = # Soubor se
- buffer = nepodařilo otevřít .
- tree =

## SHIFT

- stack = # Soubor se nepodařilo
- buffer = otevřít .
- tree =

# Nonprojective Parsing Example

- stack = # Soubor se nepodařilo
- buffer = otevřít .
- tree =

## LARC

- stack = # Soubor nepodařilo
- buffer = otevřít .
- tree = nepodařilo(se)

# Nonprojective Parsing Example

- stack = # Soubor nepodařilo
- buffer = otevřít .
- tree = nepodařilo(se)

## SHIFT

- stack = # Soubor nepodařilo otevřít
- buffer = .
- tree = nepodařilo(se)

# Nonprojective Parsing Example

- stack = # Soubor nepodařilo otevřít
- buffer = .
- tree = nepodařilo(se)

## SWAP

- stack = # Soubor otevřít
- buffer = nepodařilo .
- tree = nepodařilo(se)

# Nonprojective Parsing Example

- stack = # Soubor otevřít
- buffer = nepodařilo .
- tree = nepodařilo(se)

## LARC

- stack = # otevřít
- buffer = nepodařilo .
- tree = nepodařilo(se),otevřít(Soubor)

# Nonprojective Parsing Example

- stack = # otevřít
- buffer = nepodařilo .
- tree = nepodařilo(se),otevřít(Soubor)

## SHIFT

- stack = # otevřít nepodařilo
- buffer = .
- tree = nepodařilo(se),otevřít(Soubor)

# Nonprojective Parsing Example

- stack = # otevřít nepodařilo
- buffer = .
- tree = nepodařilo(se),otevřít(Soubor)

## LARC

- stack = # nepodařilo
- buffer = .
- tree = nepodařilo(se,otevřít(Soubor))

# Nonprojective Parsing Example

- stack = # nepodařilo
- buffer = .
- tree = nepodařilo(se,otevřít(Soubor))

## RARC

- stack = #
- buffer = .
- tree = #(nepodařilo(se,otevřít(Soubor)))



# Nonprojective Parsing Example

- stack = #
- buffer = .
- tree = #(nepodařilo(se,otevřít(Soubor)))

## SHIFT

- stack = # .
- buffer =
- tree = #(nepodařilo(se,otevřít(Soubor)))

# Nonprojective Parsing Example

- stack = # .
- buffer =
- tree = #(nepodařilo(se,otevřít(Soubor)))

## RARC

- stack = #
- buffer =
- tree = #(nepodařilo(se,otevřít(Soubor)),.)

# Malt and MST Accuracy

- Czech (PDT):
  - MST Parser over 85%
  - Malt Parser over 86%
    - Sentence accuracy (“complete match”) 35%, that is high!
  - The two parsers use different strategies and can be combined (either by voting (third parser needed) or one preparing features for the other)
- Other languages (CoNLL shared tasks)
  - MST was slightly better on most languages.
  - Accuracies not comparable cross-linguistically, figures are very dependent on particular corpora.

# Features Are the Key to Success

- Common feature of MST and Malt:
  - Both can use large number of input text features.
  - Nontrivial machine learning algorithm makes sure that the important features will be given higher weight.
  - Machine learning algorithms are general classifiers.
    - Typically there is a library ready to download.
    - The concrete problem (here tree building) must be converted to a sequence of classification decisions, e.g. vectors (feature values + answer).