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# Neural Networks Classifier for Data Selection in Statistical Machine Translation

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## Abstract

Corpora are precious resources, as they allow for a proper estimation of statistical machine translation models. Data selection is a variant of the domain adaptation field, aimed to extract those sentences from an out-of-domain corpus that are the most useful to translate a different target domain. We address the data selection problem in statistical machine translation as a classification task. We present a new method, based on neural networks, able to deal with monolingual and bilingual corpora. Empirical results show that our data selection method provides slightly better translation quality, compared to a state-of-the-art method (cross-entropy), requiring substantially less data. Moreover, the results obtained are coherent across different language pairs, demonstrating the robustness of our proposal.

## 1. Introduction

The performance of a statistical machine translation (SMT) system is dependent on the quantity and quality of the available training data. Typically, SMT systems are trained with all available data, assuming that the more data used to train the system, the better. Nevertheless, it is critical that such data is related to the task at hand. Translation quality is negatively affected when there is a lack of domain-specific training data (Callison-Burch et al., 2007; Koehn, 2010). In addition, growing the amount of data available is only feasible to a certain extent. The aim of data selection (DS) is to properly select for training a subset of sentence pairs from a large sentence pool, so that the translation quality achieved in the target domain is improved.

DS techniques extract monolingual or bilingual data that are similar to the indomain corpus based on some criteria, either at monolingual or bilingual level. Such

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selection is incorporated into the training data. The similarity metric varies depending on each technique. Cross-entropy (CE) difference is a typical and well-established ranking function (Moore and Lewis, 2010; Axelrod et al., 2011; Mansour et al., 2011; Schwenk et al., 2012; Rousseau, 2013). CE-based methods train n-gram language models on the in-domain corpus to select similar sentences from the out-of-domain corpus according to their CE difference.

On the other hand, distributed representation of words have proliferated spectacularly during the last years in the research community. Neural networks provide powerful tools for processing text, achieving success in text classification (Kim, 2014), machine translation (Sutskever et al., 2014; Bahdanau et al., 2015) or domain adaptation (Joty et al., 2017). Related to the DS field, Duh et al. (2013) leveraged neural language models to perform DS, reporting substantial gains over conventional n-gram language models.

Recently, convolutional neural networks (CNN) (LeCun et al., 1998) have also been used in the domain adaptation field (Chen and Huang, 2016; Chen et al., 2016). In these works, the authors used a similar strategy to the one proposed in Section 3, but in a different domain adaptation case—close to a transductive learning scenario: they have no in-domain training corpus, only a large out-of-domain pool and small sets of translation instances. Their goal was to select from the out-of-domain corpus, the more suitable samples for translating their in-domain corpora.

This paper tackles DS by taking advantage of neural networks as sentence classifiers, with the ultimate goal of obtaining corpora subsets that improve translation quality. In order to make systems scalable, such subsets should be as reduced as possible. Therefore, our goal is twofold: we want to select sentences subsets with the least size possible that improve translation quality.

The main contributions of this paper are:

- We tackle the DS problem for SMT as a classification task, employing CNNs and bidirectional long short-term memory (BLSTM) networks.
- We conduct a wide experimentation, using monolingual and bilingual corpora. The results show that our method outperforms a state-of-the-art DS technique in terms of translation quality and selection sizes.
- We show that both CNNs and BLSTM networks provide a similar performance for the task at hand.
- In order to make results reproducible, we release the source code of our method. Corpora are also publicly available.

The paper is structured as follows. Section 2, presents our neural DS method. We introduce two architectures, for taking into account a monolingual or a bilingual corpus. Section 3 presents a semi-supervised algorithm for training our classifiers. Next, Section 4 describes the experimental framework, detailing and discussing the results obtained. are detailed and discussed. Finally, Section 5 concludes the work, tracing the future lines of research.

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### 2. Data selection

The goal of DS methods consists in selecting a subset S of sentences from an outof-domain pool of sentences G, based on an in-domain corpus I. The objective is to enhance the performance of a SMT system trained using this selection. Note that, the lesser the size of S is, the easier is to extend the original SMT system. Therefore, the selection S must represent a trade-off between size and translation improvement.

#### 2.1. Data selection using cross-entropy

As mentioned in Section 1, a well-established DS method consists in scoring the sentences from the out-of-domain corpus (G) by their CE difference (Moore and Lewis, 2010). For selecting S, this technique relates the CE given by a language model trained on the in-domain corpus I, together with an out-of-domain language model, computing a score for a sentence x:

$$\mathbf{c}(\mathbf{x}) = \mathbf{H}_{\mathrm{I}}(\mathbf{x}) - \mathbf{H}_{\mathrm{G}}(\mathbf{x}) \tag{1}$$

where  $H_I$  and  $H_G$  are the in-domain and out-of-domain CE of sentence x, respectively.

Note that this method is defined in terms of I, as defined by the original authors. Even though it would also be feasible to define this method in terms of S, such redefinition lies beyond the scope of this paper, since our purpose is only to use this method only for comparison purposes.

In Axelrod et al. (2011), the authors propose a extension to this monolingual CE method, so that it is able to deal with bilingual information. To this end, they sum the CE difference for each side of the corpus, both source and target. Let  $I_s$  and  $G_s$  be the in-domain source corpus and the out-of-domain source corpus respectively, and  $I_t$  and  $G_t$  be the in-domain and out-of-domain target corpora. Then, the CE difference between a source sentence x and a target sentence y is defined as:

$$c(\mathbf{x}, \mathbf{y}) = [H_{I_s}(\mathbf{x}) - H_{G_s}(\mathbf{x})] + [H_{I_t}(\mathbf{y}) - H_{G_t}(\mathbf{y})]$$
(2)

#### 2.2. Data selection using neural networks

In this work, we tackle the DS problem as a classification task. Let us consider a classifier model M that assigns a probability  $p_M(I | x)$  to a given sentence x, depending whether x belongs to the in-domain corpus I or not.

In this case, to obtain the selection S, one could just apply M to each sentence from the out-of-domain pool G and select the most probable ones.

We propose to use a neural classifier, exploring CNN and BLSTM networks as sentence encoders. As shown in Fig. 1 (left), the input sentence is fed to our system following a one-hot codification scheme and is projected to a continuous space by means of a word-embedding matrix. Next, the sequence of word embeddings is processed either by a CNN or a BLSTM network. After this, we stack one or more fully-connected

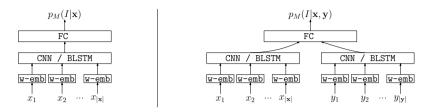


Figure 1: General architecture of the proposed classifiers. The monolingual model is shown at the left while the bilingual model is shown at the right. w-emb stands for word-embedding and FC for fully-connected layer.

(FC) layers. Finally, we can apply a softmax function, if we wish to obtain normalized probabilities. All elements can be jointly trained by maximum likelihood.

This reasoning can be extended in order to be applicable to a bilingual corpus. Therefore, if we have the source sentence x and its corresponding translation y, we can model the probability  $p_M(I | x, y)$ . For doing this, we used two networks, one for the source language and another one for the target language. We concatenated their outputs and apply FC layers, as in the previous case, computing an unique score for each bilingual pair. Fig. 1 (right) shows this architecture.

**Convolutional neural networks.** CNNs have proven their representation capacity, not only in computer vision tasks (Szegedy et al., 2015), but also representing text (Kalchbrenner and Blunsom, 2013; Kim, 2014). In this work, we used the non-static CNN proposed by Kim (2014). This CNN consists in the application of a set of filters to windows of different length. These filters apply a non-linear function (e.g. ReLU). Next, a max-pooling operation is applied to the set of convolutional filters. As result, the CNN obtains a feature vector representing the input sentence.

**Recurrent neural networks.** In recurrent neural networks, connections form a directed cycle. This allows the network to maintain an internal state and be effective sequence modelers. Moreover, bidirectional networks (Schuster and Paliwal, 1997) have two independent recurrent layers, one processing the input sequence in a forward manner and other processing it a backward manner. Therefore, they allow to exploit the full context at each time-step. Gated units, such as LSTM (Hochreiter and Schmidhuber, 1997; Gers et al., 2000), mitigate the vanishing gradient problem and hence, they are able to properly model long sequences. BLSTM networks can be used for encoding a sentence by concatenating the last hidden state of the forward and backward LSTM layers. This provides a compact representation of the sentence, which accounts for relationships in both time directions.

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## 3. Semi-supervised selection

Properly training these neural classifiers may be a challenging task, since the indomain data is scarce. Hence, for training them, we follow a semi-supervised iterative protocol (Yarowsky, 1995).

```
Input: P<sub>0</sub> (positive samples),
N<sub>0</sub> (negative samples),
G_0 (out-of-domain corpus),
l (selection size),
r (training granularity)
Output: P<sub>i</sub> (selection of size l)
begin
    i = 0
    while |P_i| \leq l do
         M_i \leftarrow \text{Train model on} \{P_i \cup N_i\}
         S_i \leftarrow Classify \ G_i \ with \ M_i
         P_{i+1} \leftarrow \{P_i \cup get\_top(S_i, r)\}
         N_{i+1} \leftarrow \{N_i \cup get\_bottom(S_i, r)\}
         G_{i+1} \leftarrow \{G_i - get\_top(S_i, r) - get\_bottom(S_i, r)\}
         i++
    end
    return P<sub>i</sub>
end
```

Algorithm 1: Semi-supervised selection. The functions get\_top and get\_bottom select the top-r and the bottom-r scoring sentences from a scored set. The algorithm returns a selection consisting of l sentences.

Algorithm 1 shows this semi-supervised training procedure. Since the data selection is a binary classification problem, we need a set of positive and negative training samples. We start from an initial set of positive samples  $P_0$  and a set of negative samples  $N_0$ . At each iteration  $i \ge 0$ , we train a model with the current sets of data ( $P_i$ ,  $N_i$ ). Next, we classify all sentences belonging to the out-of-domain pool ( $G_i$ ). We extract a number r of top-scoring sentences and include them into the set of positive samples, producing a new set  $P_{i+1}$ . Analogously, the r bottom-scoring sentences are included into a new negative samples set  $N_{i+1}$ . Hence, at each iteration, we remove 2r samples from the out-of-domain set, producing the pool  $G_{i+1}$ . Then, a new iteration starts. This is repeated until the selection  $P_i$  reaches the desired size (1).

We set our in-domain corpus I as  $P_0$ . We randomly extract |I| sentences from G for constructing  $N_0$ . The initial out-of-domain pool  $G_0$  is defined as  $\{G - N_0\}$ .

#### 4. Experiments in SMT

In this section, we empirically evaluate the DS strategy proposed in Section 2. We conducted experiments on different language pairs for evaluating whether the conclusions drawn from one single language pair hold in further scenarios.

#### 4.1. Corpora

Two corpora are involved within the DS task: an out-of-domain corpus G and an in-domain corpus I. DS selects only a portion of the out-of-domain corpus, and leverages that subset together with the in-domain data to train a, hopefully improved, SMT system. We used the publicly available Europarl (Koehn, 2005) and EMEA (Tiedemann, 2009) corpora as out-of-domain and in-domain data, respectively. As in-domain test sets, we used the Medical-Test and Medical-Mert corpora, partitions established in the 2014 Workshop on Statistical Machine Translation<sup>1</sup>. We focused on the English (En), French (Fr) and German (De) language pairs, conducting experiments in all directions. Table 1 shows the corpora figures.

	EMEA		Medical-Mert		Medical-Test		Europarl	
	S	V	S	V	S	V	S	V
En Fr	1.0M	98k 112k	501	979 1.0k	1.0k	1.8k 26.9k	2.0M	157k 215k
En De	1.1M	99k 141k	500	979 874	1.0k	1.9k 1.7k	1.9M	153k 290k

Table 1: Corpora main figures. EMEA is the in-domain corpus, Medical-Test is theevaluation data and Medical-Mert is the development set. Europarl is the out-of-domaincorpus. |S| stands for number of sentences and |V| for vocabulary size. M denotesmillions of elements and k thousands.

#### 4.2. Experimental setup

All neural models were initialized using word-embedding matrices from word2vec, obtained using the skip-gram model from Mikolov et al. (2013) and trained on part of Google News dataset in the case of English and on Wikipedia in the case of French and German. Word-embedding matrices were fine-tuned during the semi-supervised selection protocol. The size of the word-embeddings was 300.

Following Kim (2014), we used filter windows of lengths 3, 4, 5 with 100 features maps each for the CNN classifier. In order to have a similar number of parameters

<sup>&</sup>lt;sup>1</sup>http://www.statmt.org/wmt14/medical-task/

than in the CNN (20 million approximately), we used 300 units in each LSTM layer. 2 FC layers of size 200 and 100 were introduced after the CNN and BLSTM (Section 2.2).

For training the CNN classifier, we used Adadelta (Zeiler, 2012) with its default parameters. The BLSTM network was trained with Adam (Kingma and Ba, 2014), with a learning rate of  $10^{-4}$ . During training, we applied Gaussian noise to the weights ( $\sigma = 0.01$ ). All neural models<sup>2</sup> were implemented using the Theano (Theano Development Team, 2016) and Keras libraries. The number of sentences selected at each iteration (r) was chosen trading off speed and granularity (r = 50,000).

All SMT experiments were carried out using the open-source phrase-based SMT toolkit Moses (Koehn et al., 2007). The language model used was a 5-gram, with modified Kneser-Ney smoothing (Kneser and Ney, 1995), built with the SRILM toolkit (Stolcke, 2002). The phrase table was generated by means of symmetrised word alignments obtained with GIZA++ (Och and Ney, 2003). The log-lineal combination weights were optimized using MERT (minimum error rate training) (Och, 2003). In order to minimize the random nature of MERT and purposing to provide robustness to the results, every result of this paper constitutes the average of 10 repetitions. In the tables, 95% confidence intervals of these repetitions are shown.

The final translation quality was evaluated by means of BLEU (Papineni et al., 2002). Nevertheless, since we are in a DS scope, the amount of data required for training each system also becomes a fundamental evaluation metric.

The SMT systems were trained using the selection provided by the proposed methods together with the in-domain corpus. We compared the selection methods with two baseline systems. The first one consists in training the SMT system only with indomain data. We refer to this setup with the name of bsln-emea. The second baseline was obtained training with all available data (i.e., in-domain and out-of-domain). We will refer to this setup as bsln-all. In addition, we also included results of a purely random sentence selection without replacement.

#### 4.3. Experimental results

Table 2 shows the best results obtained with our DS method using the two neural network architectures proposed (CNN and BLSTM) and the CE method for each language pair.

In En-Fr and En-De, Fr-En, translation quality using DS improves over bsln-all, but using significantly less data (20%, 23% and 26% of the total amount of out-of-domain data, respectively). In the case of De-En, translation quality results are similar, but also reducing the amount of data required: only a 23%. According to these results, we can state that our DS strategy is able to deliver similar quality than using all the data, but only with a rough quarter of the data.

<sup>&</sup>lt;sup>2</sup>Source code available at https://github.com/lvapeab/sentence-selectioNN.

	I	En-Fr	Fr-En			
Strategy	BLEU	#Sentences	BLEU	#Sentences		
bsln-emea	$28.6 \pm 0.2$	1.0M	$\textbf{29.9} \pm \textbf{0.2}$	1.0M		
bsln-all	$29.4 \pm 0.1$	1.0M+1.5M	$32.4\pm0.1$	1.0M+1.5M		
Random	$29.4\pm0.4$	1.0M+500k	$32.3\pm0.3$	1.0M+500k		
CE	$\textbf{29.8}\pm\textbf{0.1}$	1.0M+450k	$31.8\pm0.1$	1.0M+600k		
BLSTM	$\textbf{29.9}\pm\textbf{0.3}$	1.0M+300k	$32.3\pm0.1$	1.0M+500k		
CNN	$\textbf{29.8}\pm\textbf{0.2}$	1.0M+450k	$32.3\pm0.2$	1.0M+350k		
	Γ	De-En		En-De		
Strategy	BLEU	#Sentences	BLEU	#Sentences		
bsln-emea	$23.7\pm0.2$	1.0M	$15.6 \pm 0.1$	1.0M		
bsln-all	$26.2\pm0.3$	1.0M+1.5M	$16.6\pm0.2$	1.0M+1.5M		
Random	$25.5\pm0.1$	1.0M+600k	$16.8\pm0.1$	1.0M+550k		
CE	$25.5\pm0.3$	1.0M+600k	$16.8\pm0.2$	1.0M+500k		
BLSTM	$25.9\pm0.1$	1.0M+500k	$17.1\pm0.2$	1.0M+400k		
CNN	$25.9 \pm 0.1$	1.0M+400k	$16.9 \pm 0.1$	1.0M+350k		

Table 2: Summary of best results obtained. Columns denote, from left to right: selection strategy, BLEU, number of sentences, given in terms of the in-domain corpus size, and (+) selected sentences.

All proposed DS methods are mostly able to improve over random selection but in some cases differences are not significant. It should be noted that beating random is very hard, since all DS methods, including random, will eventually converge to the same point: adding all the data available. The key difference is the amount of data needed for achieving the same translation quality.

Results obtained in terms of BLEU with our DS method are slightly better than the ones obtained with CE difference. However, CE difference requires significantly more sentences to reach comparable translation quality.

Finally, CNN and BLSTM networks seem to perform similarly. Therefore, we conclude that both architectures are good options for this task.

Table 3 shows the best results obtained with our bilingual data selection method using both neural architectures proposed (Bili-CNN and Bili-BLSTM) and bilingual CE (Bili-CE) method for each language pair. Again, the DS selection techniques beat all baselines in terms of BLEU, requiring less data to train the SMT system.

Compared to the monolingual methods, our bilingual DS techniques provide similar results. Nevertheless, in all cases the bilingual methods are able perform better selections at the early stages of the process, as illustrated in Figure 2. As we steadily select more sentences, monolingual and bilingual methods eventually converge to

	]	En-Fr	Fr-En			
Strategy	BLEU	#Sentences	BLEU	#Sentences		
Bili-CE	$\textbf{30.2}\pm\textbf{0.2}$	1.0M+350k	$32.5\pm0.1$	1.0M+450k		
Bili-BLSTM	$30.2\pm0.2$	1.0M+300k	$32.3\pm0.1$	1.0M+450k		
Bili-CNN	$30.1\pm0.3$	1.0M+300k	$32.6\pm0.2$	1.0M+500k		
	Ι	De-En		En-De		
Strategy	BLEU	#Sentences	BLEU	#Sentences		
Bili-CE	$25.9\pm0.2$	1.0M+350k	$17.0\pm0.2$	1.0M+500k		
Bili-BLSTM	$26.0\pm0.1$	1.0M+500k	$17.1\pm0.2$	1.0M+250k		
Bili-CNN	$25.8\pm0.1$	1.0M+200k	$17.0\pm0.1$	1.0M+350k		

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Table 3: Summary of bilingual results obtained. Columns denote, from left to right: selection strategy, BLEU, number of sentences, given in terms of the in-domain corpus size, and (+) selected sentences.

similar results. We can see that adding sentences selected by means of DS techniques improves over the baselines from the very beginning. Selecting at a bilingual level is specially effective in small selections: while the monolingual method requires 150k sentences for beating the bsln-all baseline, the bilingual methods only require 50k. Here we show only the En-Fr language pair due to space restriction, but this behavior is consistent across all languages.

## 5. Conclusion and future work

We developed a DS method, based on sentence classification techniques. The uses CNNs or BLSTM networks for computing a sentence representation. We thoroughly evaluated it over four language pairs. Our method yielded better translation performance than the cross-entropy DS technique, requiring a minor amount of data. Additionally, we found that both CNN and BLSTM networks performed similarly, thus being both suitable sentence encoders.

At the light of the monolingual results, we expected higher gains of performance when considering the both sides of the corpora. It should be tested if a different combination strategy of the classifiers is able to exploit parallel corpora to their full. Moreover, we should also compare the performance of classical classifiers, such as support vector machines (SVM) or logistical regression. We also noted that the De-En language pair had a different behavior than other language pairs. We should study the DS process when applied to inflected languages.

In this work, we chose the initial set of negative samples  $(N_0)$  following a random criterion. In the future, we should investigate if a more informed technique (e.g. per-

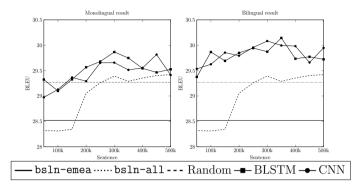


Figure 2: Effect of adding sentences over the BLEU score using the different DS techniques (with monolingual and bilingual form) and random selection techniques for the En-Fr language pair. Horizontal lines represent the scores when using just the in-domain training corpus(bsln-emea) and all the data available(bsln-all).

plexity or the invitation model from Hoang and Sima'an (2014)) helps the selection system by providing a more suitable  $N_0$ .

In addition, we aim to delve into the usage of semi-supervised training strategies for the classifier. Ladder networks (Rasmus et al., 2015) seem a promising tool. We should investigate how to include them in our pipeline. We should also explore oneshot learning strategies in a scenario where only the text to translate is available.

Finally, we should also test our data selection method within the neural machine translation (NMT) technology. NMT systems rely on the usage of large amount of data, but it should be investigated whether the inclusion of in-domain data effectively helps the system. Moreover, as by product of the NMT training, we could use the NMT encoder for pre-initializing our classifier, hoping a boost in the system performance.

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